

Two Phase Refinements of the Structures of α - Si_3N_4 and β - Si_3N_4 Made from Rice Husk by Rietveld Analysis

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Abstract: The crystalline structures of α - Si_3N_4 and β - Si_3N_4 made from rice husk were obtained at room temperature (300 K) from X-ray powder diffraction with $\text{CuK}\alpha$ radiation using Rietveld analysis for the two phase mixture. For α - Si_3N_4 at 300 K, crystal data: $M_r = 140.285$, the rhombohedral system, $P31c$, $a = 7.7650(4) \text{ \AA}$, $c = 5.6275(2) \text{ \AA}$, $V = 293.85(2) \text{ \AA}^3$, $Z = 4$, $D_x = 3.1709 \text{ g cm}^{-3}$, $\mu = 133.474 \text{ cm}^{-1}$ ($\lambda = 1.5406 \text{ \AA}$), $F(000) = 280.0$, the structure was refined with 29 parameters to $R_b = 2.42\%$, $R_t = 1.78\%$ for 243 peaks. For β - Si_3N_4 at 300 K, crystal data: $M_r = 140.285$, the hexagonal system, $P6_3/m$, $a = 7.6093(8) \text{ \AA}$, $c = 2.9079(2) \text{ \AA}$, $V = 145.81(2) \text{ \AA}^3$, $Z = 2$, $D_x = 3.1951 \text{ g cm}^{-3}$, $\mu = 134.492 \text{ cm}^{-1}$ ($\lambda = 1.5406 \text{ \AA}$), $F(000) = 140.0$, the structure was refined with 17 parameters to $R_b = 3.02\%$, $R_t = 1.88\%$ for 145 peaks. The whole diffraction was fitted and refined with 46 parameters to $R_{wp} = 6.98\%$, $R_p = 5.33\%$ for 3301 step intensities and 'goodness of fit' $S = 3.50$.

1 INTRODUCTION

Si_3N_4 is important in industry because of its good refractory properties and high temperature ceramic properties and has been extensively studied.^{1,2} Previous studies showed that there were two types of structures for Si_3N_4 with α phase (rhombohedral)^{3,4} and β phase (hexagonal).^{5–8} Moreover different space groups $P6_3$ and $P6_3/m$ were assigned to β - Si_3N_4 .^{7,8}

This article presents the structural parameters of α - Si_3N_4 and β - Si_3N_4 at 300 K by Rietveld analysis from the diffraction of the mixed two phase powder.

2 SAMPLE PREPARATION

The submicrometer Si_3N_4 powder was prepared from rice husk.⁹ The prewashed rice husk was digested with hot HNO_3 and then pyrolysed at 800°C to a constant weight under a flow of argon

gas. Si_3N_4 powder was produced by the carbothermal reduction and nitridation of the pyrolysed digested husk at 1430°C . After nitridation, the product was burned in a muffle furnace at 700°C for 30 min in order to remove any excess carbon.

3 EXPERIMENT AND REFINEMENT

A standard Siemens D-5000 sample holder was used. The Si_3N_4 powder was gently pressed into the sample holder and then carefully serrated with a razor blade to make a smooth surface and to minimize any possible preferred orientation in the specimen.

The diffraction data for Rietveld analysis were collected at room temperature (300 K) with the Siemens D-5000 powder diffractometer, Bragg-Brentano geometry, diffracted-beam graphite (0002) monochromator, $\text{CuK}\alpha$ radiation, 40 KV of tube voltage and 30 mA of tube current, step-scan-size of 0.04° , radius of the diffractometer 216 mm. With counting time 70 s, divergence slit $DS = 0.8^\circ$, anti-scattering slit $AS = 1.0^\circ$, receiving slit

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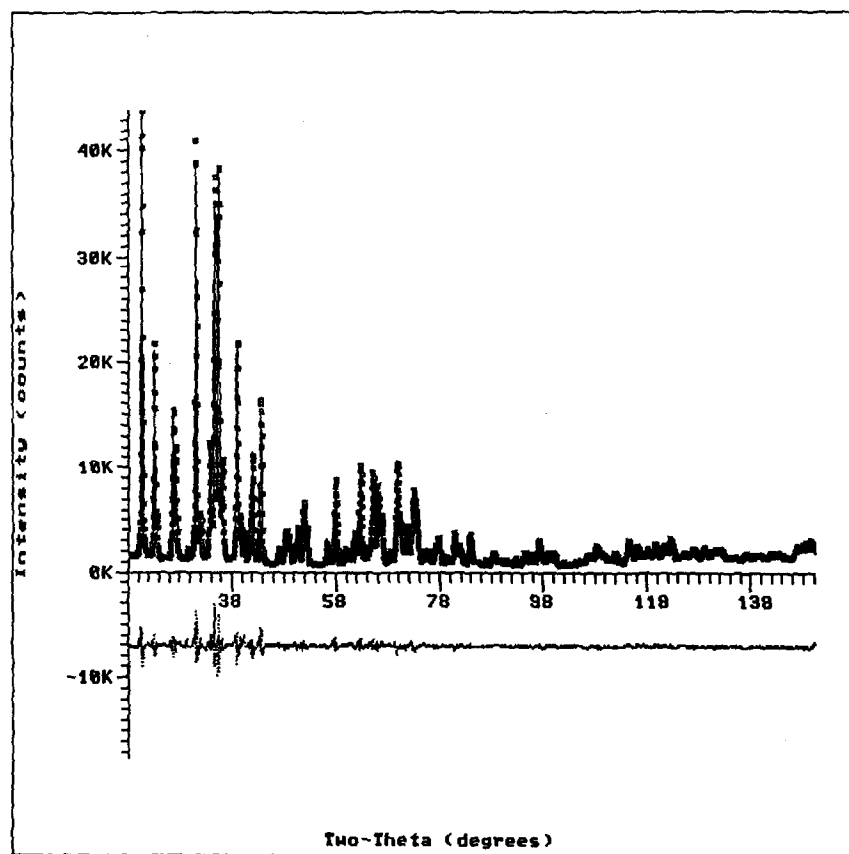


Fig. 1. Observed (dotted), calculated (curve) data and residuals of the powder diffraction patterns for α - Si_3N_4 and β - Si_3N_4 . Residual is the difference between observed and calculated data: $I_{\text{obs}} - I_{\text{cal}}$.

Table 1. Structure parameters of α - Si_3N_4 by Rietveld analysis at 300 K with ESDs in parentheses

Formula: Si_3N_4
Temperature: 300 K
Space group: P31c
Crystal Data*: $M_r = 140.285$
 $F(000) = 280.0$
 $\mu = 133.474 \text{ cm}^{-1}$ ($\lambda = 1.5406 \text{ \AA}$)

$Z = 4$
 $D_x = 3.1709 \text{ g/cm}^3$

Cell parameters (Rietveld refinement):
 $a = 7.7650(4) \text{ \AA}$
 $c = 5.6275(2) \text{ \AA}$
 $V = 293.85(2) \text{ \AA}^3$

Refinements of the structure:
 $R_b = 2.42$ and $R_f = 1.78\%$

| Atom | Site | x | y | z | $B_{\text{iso}} (\text{\AA}^2)$ | Occupancy |
|-------|------|-----------|------------|------------|---------------------------------|-----------|
| Si(1) | 6c | 0.5106(3) | 0.0815(3) | 0.1904(4) | 0.73(3) | 1 |
| Si(2) | 6c | 0.1658(2) | 0.2520(2) | -0.0168(4) | 0.54(3) | 1 |
| N(1) | 2a | 0 | 0 | -0.029(2) | 0.26(3) | 1 |
| N(2) | 2b | 1/3 | 2/3 | 0.634(2) | 0.3(2) | 1 |
| N(3) | 6c | 0.3488(6) | -0.0383(8) | -0.022(1) | 0.8(1) | 1 |
| N(4) | 6c | 0.3159(5) | 0.3165(6) | 0.241(1) | 0.1(1) | 1 |

Selected interatomic distances (\AA) and angles (degrees)* for two types of the Si-N tetrahedra:

Bond lengths:

| | | | |
|-------------------------|----------|------------|----------|
| Si(1)-N11: [†] | 1.739(3) | Si(2)-N21: | 1.724(3) |
| Si(1)-N12: | 1.644(5) | Si(2)-N22: | 1.709(5) |
| Si(1)-N13: | 1.774(6) | Si(2)-N23: | 1.929(6) |
| Si(1)-N14: | 1.825(6) | Si(2)-N24: | 1.766(7) |

Bond angles:

| | | | |
|----------------|----------|----------------|----------|
| N13-Si(1)-N14: | 102.4(3) | N23-Si(2)-N24: | 115.3(3) |
| N12-Si(1)-N14: | 111.0(3) | N22-Si(2)-N24: | 100.2(3) |
| N12-Si(1)-N13: | 114.5(3) | N22-Si(2)-N23: | 103.2(2) |
| N11-Si(1)-N14: | 112.1(2) | N21-Si(2)-N24: | 110.6(2) |
| N11-Si(1)-N13: | 101.9(2) | N21-Si(2)-N23: | 111.2(2) |
| N11-Si(1)-N12: | 114.2(2) | N21-Si(2)-N22: | 115.9(2) |

*Calculated using PARST.¹²

[†]The notations are for N atoms of the Si-N tetrahedra, similar to previous references.^{4,7}

RS = 1.0 mm, the data were collected over the range from 18–150°. The powder diffraction pattern is as shown in Fig. 1, in which only α - Si_3N_4 and β - Si_3N_4 phases exist.

The Rietveld analysis program version DBWS-9006PC (release 12.8.91)^{10,11} was used. The background was refined with a fifth order polynomial. The profile was refined with a pseudo-Voigt function. The preferred orientation (001) was refined with a March–Dollas function. No absorption correction was taken into account. The wavelengths of the $\text{CuK}\alpha_2$, $\text{CuK}\alpha_1$ and the intensity ratio were chosen as 1.5444 Å, 1.5406 Å and 0.497, respectively. The isotropic thermal parameters could be refined to the reasonable values. For α - Si_3N_4 , the structure was refined with 29 parameters to $R_b = 2.42\%$, $R_f = 1.78\%$ for 243 peaks. For β - Si_3N_4 , the structure was refined with 17 parameters to $R_b = 3.02\%$, $R_f = 1.88\%$ for 145 peaks. The whole diffraction pattern was fitted and refined with 46 parameters to $R_{wp} = 6.98\%$, $R_p = 5.33\%$ for 3301 step intensities and 'goodness of fit' $S = 3.50$.

The refinement was performed according to the following group order: (1) scale factor; (2) background; (3) zero point shift/sample displacement, transparency coefficient; (4) cell parameters; (5) peak shape; (6) half width; (7) asymmetry parameter and preferred orientation; (8) atom position parameters, and (9) isotropic thermal parameters.

The refined structure parameters are listed in Tables 1 and 2. The data, fitting curves and the differences (residuals: $I_{\text{obs}} - I_{\text{cal}}$) are shown in Fig. 1. The numbers in the parentheses in the tables are the estimated standard deviations (ESDs). x , y , z are the fractional coordinates and B_{iso} the equivalent thermal parameter. R -values are defined as follows:

$$R_{wp} = \left[\frac{\sum w_i (Y_{io} - Y_{ic})^2}{\sum w_i Y_{io}^2} \right]^{1/2} \quad R_p = \frac{\sum |Y_{io} - Y_{ic}|}{\sum Y_{io}}$$

$$R_b = \frac{\sum |I_{ko} - I_{kc}|}{\sum I_{ko}} \quad R_f = \frac{\sum |F_{ko} - F_{kc}|}{\sum F_{ko}}$$

where Y_{io} and Y_{ic} are observed and calculated intensities at step i , I_{ko} and I_{kc} are the peak intensities observed and calculated for k th peak, F_{ko} and F_{kc} are the observed and calculated structure factors, w_i is the weight ($= 1/Y_{io}$).

4 RESULTS AND DISCUSSION

The structures were refined with space group $P31c$ for α - Si_3N_4 and $P6_3/m$ for β - Si_3N_4 . The structural parameters are as listed in Tables 1 and 2. The (0001) projections of the structures are shown in

Table 2. Structure parameters of β - Si_3N_4 by Rietveld analysis at 300 K with ESDs in parentheses

| | | | | | | |
|--|--|-----------|------------|-----|---------------------------------|-------------------------------|
| Formula: | Si_3N_4 | | | | | |
| Temperature: | 300 K | | | | | |
| Space group: | $p6_3/m$ | | | | | $Z = 2$ |
| Crystal data*: | $M_r = 140.285$ | | | | | $D_x = 3.1951 \text{ g/cm}^3$ |
| | $F(000) = 140.0$ | | | | | |
| | $\mu = 134.492 \text{ cm}^{-1}$ ($\lambda = 1.5406 \text{ \AA}$) | | | | | |
| Cell parameters (Rietveld refinement): | $a = 7.6093(8) \text{ \AA}$ | | | | | $V = 145.81(2) \text{ \AA}^3$ |
| | $c = 2.9079(2) \text{ \AA}$ | | | | | |
| Refinements of the structure: | | | | | | |
| $R_b = 3.02\%$ and $R_f = 1.88\%$ | | | | | | |
| Atom | Site | x | y | z | $B_{\text{iso}} (\text{\AA}^2)$ | Occupancy |
| Si(1) | 6h | 0.1742(7) | -0.2322(7) | 1/4 | 0.51(8) | 1 |
| N(1) | 2c | 1/3 | 2/3 | 1/4 | 0.9(5) | 1 |
| N(2) | 6h | 0.329(2) | 0.039(2) | 1/4 | 2.0(3) | 1 |
| Selected interatomic distances (\AA) and angles (degrees)* for the Si-N tetrahedron: | | | | | | |
| Bond lengths: | | | | | | |
| Si(1)-N11: [†] | 1.729(5) | | | | | |
| Si(1)-N12: | 1.79(1) | | | | | |
| Si(1)-N13: | 1.709(9) | | | | | |
| Si(1)-N14: | 1.709(9) | | | | | |
| Bond angles: | | | | | | |
| N13-Si(1)-N14: | 116.6(5) | | | | | |
| N12-Si(1)-N14: | 105.10(1) | | | | | |
| N12-Si(1)-N13: | 105.10(1) | | | | | |
| N11-Si(1)-N14: | 110.67(1) | | | | | |
| N11-Si(1)-N13: | 110.67(1) | | | | | |
| N11-Si(1)-N12: | 108.1(4) | | | | | |

*Calculated using PARST.¹³

†The notations are for N atoms of the Si–N tetrahedra, similar to previous references.^{4,7}

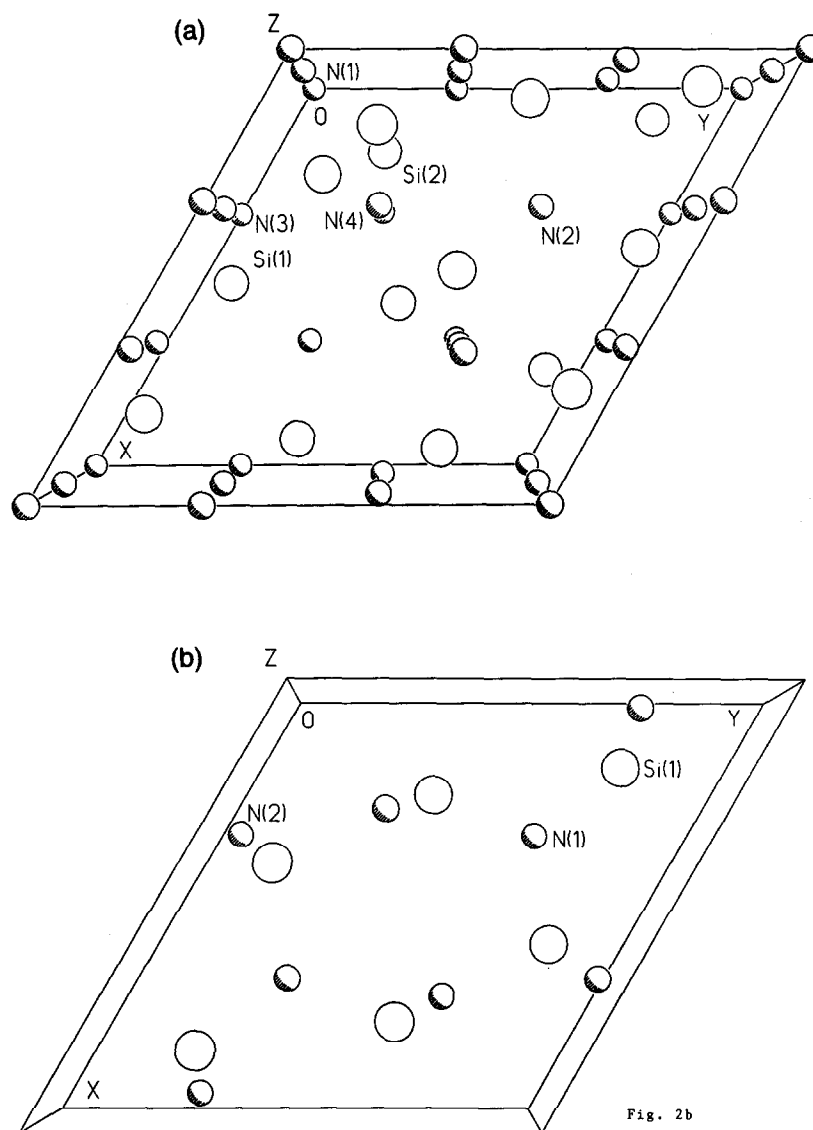


Fig. 2. Projections of the structures for, (a) α - Si_3N_4 , and (b) β - Si_3N_4 .

Fig. 2(a) and (b), respectively for α - Si_3N_4 and β - Si_3N_4 . The results reveal that pure α - Si_3N_4 and β - Si_3N_4 can be made from rice husks and the structures are the same as those made from Si.^{4,7} The ratio of crystalline α - Si_3N_4 and β - Si_3N_4 can be obtained by the following formula:¹²

$$S_j = \frac{K \cdot V_j}{\Omega_j^2 \cdot \bar{\mu}}$$

The scale factor S_j is obtained from

$$I_j = S_j \cdot [n \cdot |F|^2 \cdot Lp \cdot e^{-M}]_j$$

where I_j is the integrated intensity, S_j the scale factor, n the multiplicity factor, F the structure factor, Lp the Lorentz polarization factor and e^{-M} the Debye-Waller factor for phase j , K a constant, V_j the fractional volume of phase j in the powder, Ω_j the volume of unit cell of phase j and $\bar{\mu}$ the average absorption coefficient of the sample. From the

refinements, the overall scale factors are 0.0323 and 0.0118, respectively for α - Si_3N_4 and β - Si_3N_4 . The weight percentages are then calculated out to be 91.7 wt% (α - Si_3N_4) and 8.3 wt% (β - Si_3N_4).

The atomic coordinates, selected bond lengths and bond angles for Si-N tetrahedra are listed in Tables 1 and 2 for α - Si_3N_4 and β - Si_3N_4 , respectively. The results are compared to the previous structure determinations.^{4,7} One may notice that the coordinates and isothermal parameters of N atoms have less precision than those of Si atoms. This is because N atoms are very light and not very sensitive to the diffraction data.

Space group $P6_3$ for β - Si_3N_4 was also used for another refinement where the 2 coordinates were refined for the N atoms replacing the special position in the $z = 1/4$ (mirror plane) in $P6_3/m$. Nearly the same R -values were obtained, i.e., $R_b = 2.53$, $R_f = 1.83\%$ for α - Si_3N_4 , $R_b = 3.19$, $R_f = 1.93\%$ for β - Si_3N_4 and $R_{wp} = 7.12$, $R_p = 5.40\%$. But the

Table 3. Observed and calculated intensities of the powder diffraction pattern for α - Si_3N_4 in P31c ($\lambda = 1.5406\text{\AA}$)

| 2-theta (degree) | <i>d</i> (\AA) | <i>I</i> _{obs} (observed) | <i>I</i> _{calc} (calculated) | <i>h</i> | <i>k</i> | <i>l</i> |
|---------------------|------------------------------|---------------------------------------|--|----------|----------|----------|
| 13.16 | 6.7247 | * | 19.34 | 0 | 1 | 0 |
| 20.56 | 4.3157 | 100.00 | 95.34 | 0 | 1 | 1 |
| 22.89 | 3.8825 | 50.04 | 44.92 | -1 | 2 | 0 |
| 26.49 | 3.3623 | 35.32 | 33.52 | 0 | 2 | 0 |
| 30.96 | 2.8864 | 96.69 | 99.08 | 0 | 2 | 1 |
| 31.78 | 2.8138 | 10.30 | 9.57 | 0 | 0 | 2 |
| 34.53 | 2.5957 | 89.13 | 94.46 | 0 | 1 | 2 |
| 35.28 | 2.5417 | 92.83 | 100.00 | -1 | 3 | 0 |
| 38.85 | 2.3164 | 52.30 | 17.18 | -1 | 3 | 1 |
| 38.85 | 2.3164 | | 43.33 | 1 | 2 | 1 |
| 39.52 | 2.2783 | 10.15 | 3.70 | -1 | 2 | 2 |
| 39.52 | 2.2783 | | 5.17 | 1 | 1 | 2 |
| 40.20 | 2.2416 | 6.60 | 4.49 | 0 | 3 | 0 |
| 41.83 | 2.1579 | 24.80 | 32.82 | 0 | 2 | 2 |
| 43.42 | 2.0824 | 38.97 | 45.93 | 0 | 3 | 1 |
| 48.21 | 1.8861 | 7.35 | 0.33 | -1 | 3 | 2 |
| 48.21 | 1.8861 | | 9.29 | 1 | 2 | 2 |
| 48.79 | 1.8651 | 5.91 | 8.39 | -1 | 4 | 0 |
| 50.47 | 1.8069 | 8.24 | 12.63 | 0 | 1 | 3 |
| 51.58 | 1.7704 | 14.31 | 19.48 | -1 | 4 | 1 |
| 51.58 | 1.7704 | | 0.11 | 1 | 3 | 1 |
| 56.10 | 1.6381 | 5.12 | 8.59 | 0 | 2 | 3 |
| 57.64 | 1.5979 | 20.61 | 21.58 | -2 | 4 | 2 |
| 57.64 | 1.5979 | | 7.06 | 2 | 2 | 2 |
| 62.36 | 1.4879 | 23.14 | 1.38 | -2 | 5 | 1 |
| 62.36 | 1.4879 | | 36.13 | 2 | 3 | 1 |
| 64.75 | 1.4386 | 20.07 | 31.81 | 0 | 3 | 3 |
| 65.70 | 1.4200 | 18.94 | 26.94 | -1 | 5 | 1 |
| 65.70 | 1.4200 | | 2.43 | 1 | 4 | 1 |
| 66.39 | 1.4069 | 11.61 | 16.52 | 0 | 0 | 4 |
| 69.42 | 1.3528 | 24.43 | 18.04 | -2 | 5 | 2 |
| 69.42 | 1.3528 | | 22.92 | 2 | 3 | 2 |
| 71.23 | 1.3227 | 8.61 | 5.17 | -1 | 2 | 4 |
| 71.23 | 1.3227 | | 0.81 | 1 | 1 | 4 |
| 71.24 | 1.3226 | | 3.48 | -1 | 4 | 3 |
| 71.24 | 1.3226 | | 5.09 | 1 | 3 | 3 |
| 72.60 | 1.3011 | 17.83 | 7.94 | -1 | 5 | 2 |
| 72.60 | 1.3011 | | 16.72 | 1 | 4 | 2 |
| 73.05 | 1.2942 | 11.23 | 14.59 | -3 | 6 | 0 |
| 76.84 | 1.2396 | 7.20 | 6.11 | -2 | 6 | 1 |
| 76.84 | 1.2396 | | 1.76 | 2 | 4 | 1 |
| 77.48 | 1.2309 | 6.30 | 6.76 | -1 | 3 | 4 |
| 77.48 | 1.2309 | | 4.56 | 1 | 2 | 4 |
| 80.55 | 1.1916 | 7.47 | 0.70 | 0 | 3 | 4 |
| 80.56 | 1.1915 | | 10.75 | -2 | 5 | 3 |
| 80.56 | 1.1915 | | 2.78 | 2 | 3 | 3 |
| 83.59 | 1.1558 | 7.32 | 0.72 | -1 | 5 | 3 |
| 83.59 | 1.1558 | | 8.41 | 1 | 4 | 3 |
| 96.92 | 1.0291 | 5.51 | 3.61 | -1 | 3 | 5 |
| 96.92 | 1.0291 | | 3.74 | 1 | 2 | 5 |
| 96.94 | 1.0290 | | 1.07 | -3 | 7 | 2 |
| 96.94 | 1.0290 | | 0.39 | 3 | 4 | 2 |
| 114.17 | 0.9176 | 5.60 | 1.79 | -4 | 8 | 2 |
| 114.17 | 0.9176 | | 2.27 | 4 | 4 | 2 |
| 122.19 | 0.8799 | 5.86 | 0.42 | -1 | 3 | 6 |
| 112.19 | 0.8799 | | 0.70 | 1 | 2 | 6 |
| 122.22 | 0.8798 | | 2.99 | -1 | 8 | 1 |
| 122.22 | 0.8798 | | 0.89 | 1 | 7 | 1 |

The observed intensities were obtained directly from the Siemens D5000 powder diffractometer. The calculated intensities were obtained using XPOW, Version 4.2, Siemens Analytical X-ray Inst. Inc., 1990; with the structure parameters from Table 1 by Rietveld refinement. (The preferred orientation and monochromator correction are not taken into account. Atomic scattering factors are for Si and N and anomalous dispersion correction was used.) Only lines with observed intensities greater than 5% are listed.

*Out of the observation range.

Table 4. Observed and calculated intensities of the powder diffraction pattern for β - Si_3N_4 in $\text{P6}_3/\text{m}$ ($\lambda = 1.5406\text{\AA}$)

| 2-theta (degree) | <i>d</i> (\AA) | <i>I</i> _{obs} (observed) | <i>I</i> _{calc} (calculated) | <i>h</i> | <i>k</i> | <i>l</i> |
|---------------------|------------------------------|---------------------------------------|--|----------|----------|----------|
| 13.43 | 6.5898 | * | 31.21 | 0 | 1 | 0 |
| 23.36 | 3.8047 | 36.17 | 31.19 | -1 | 2 | 0 |
| 27.04 | 3.2949 | 94.40 | 97.25 | 0 | 2 | 0 |
| 33.66 | 2.6604 | 100.00 | 94.84 | 0 | 1 | 1 |
| 36.03 | 2.4907 | 81.93 | 100.00 | -1 | 3 | 0 |
| 41.38 | 2.1803 | 32.54 | 32.98 | 0 | 2 | 1 |
| 49.85 | 1.8277 | 9.34 | 13.07 | -3 | 4 | 0 |
| 49.85 | 1.8277 | | 0.25 | -1 | 4 | 0 |
| 52.14 | 1.7527 | 28.43 | 46.08 | 0 | 3 | 1 |
| 61.26 | 1.5118 | 9.50 | 10.88 | -3 | 5 | 0 |
| 61.26 | 1.5118 | | 7.07 | -2 | 5 | 0 |
| 63.98 | 1.4540 | 11.65 | 17.07 | 0 | 0 | 2 |
| 64.78 | 1.4380 | 6.30 | 6.02 | -4 | 5 | 0 |
| 64.78 | 1.4380 | | 3.46 | -1 | 5 | 0 |
| 70.09 | 1.3414 | 30.38 | 46.40 | -3 | 5 | 1 |
| 70.09 | 1.3414 | | 6.25 | -2 | 5 | 1 |
| 75.68 | 1.2557 | 8.94 | 0.45 | -2 | 3 | 2 |
| 75.68 | 1.2557 | | 20.31 | -1 | 3 | 2 |
| 89.29 | 1.0962 | 3.26 | 0.00 | -5 | 6 | 1 |
| 89.29 | 1.0962 | | 5.70 | -1 | 6 | 1 |
| 101.90 | 0.9919 | 2.67 | 3.02 | -5 | 7 | 1 |
| 101.90 | 0.9919 | | 0.27 | -2 | 7 | 1 |
| 104.15 | 0.9765 | 2.35 | 3.38 | 0 | 5 | 2 |
| 123.91 | 0.8728 | 3.85 | 0.09 | -7 | 8 | 0 |
| 123.91 | 0.8728 | | 2.50 | -1 | 8 | 0 |
| 141.47 | 0.8160 | 5.13 | 2.40 | -3 | 5 | 3 |
| 141.47 | 0.8160 | | 0.29 | -2 | 5 | 3 |
| 146.80 | 0.8038 | 3.26 | 0.00 | -4 | 5 | 3 |
| 146.80 | 0.8038 | | 1.05 | -1 | 5 | 3 |

The observed intensities were obtained directly from the Siemens D5000 powder diffractometer. The calculated intensities were obtained using XPOW, Version 4.2, Siemens Analytical X-ray Inst. Inc., 1990; with the structure parameters from Table 2 by Rietveld refinement. (The preferred orientation and monochromator correction are not taken into account. Atomic scattering factors are for Si and N and anomalous dispersion correction was used.) Only lines with observed intensities greater than 5% are listed.

*Out of the observation range.

coordinates were quite near the mirror plane ($z = 1/4$) in that $z-1/4 = 0.06(1)$ for N1 and $z-1/4 = 0.03(3)$ for N2. Furthermore, since $z-1/4 = \sigma$ for N2, where standard error $\sigma = 0.03$, the difference from the mirror plane is not significant. Our Rietveld analysis favours β - Si_3N_4 belonging to the space group $\text{P6}_3/\text{m}$.⁸ The observed and calculated intensities of the powder diffraction patterns for α - Si_3N_4 and β - Si_3N_4 are listed in Tables 3 and 4, respectively.

5 CONCLUSION

The Rietveld analysis demonstrated conclusively that the Si_3N_4 powder produced from rice husk according to the method of Rahman⁹ is a pure two-phase mixture of α - Si_3N_4 (91.7 wt%) and β - Si_3N_4 (8.3 wt%). No other impurities were detected.

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