# Superplasticity in Al<sub>2</sub>O<sub>3</sub>–20 vol% Spinel (MgO·1·5Al<sub>2</sub>O<sub>3</sub>) Ceramics

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Abstract: Superplasticity in  $Al_2O_3$ -20 vol% spinel (MgO·1·5Al<sub>2</sub>O<sub>3</sub>) is investigated by means of tensile testing in the temperature range 1300–1550°C. The dispersion of spinel phase in  $Al_2O_3$  slightly reduces the flow stress, and highly enhances the high-temperature ductility at the same stress level in comparison with 0·1 wt% MgO-doped single-phase  $Al_2O_3$ . A maximum elongation of 396% is obtained at 1550°C and a strain rate of  $2\cdot4\times10^{-4}s^{-1}$ . The flow stress reduction is associated with a slight reduction in activation energy for superplastic flow. The extensive ductility in  $Al_2O_3$ -20 vol% spinel cannot be explained only from the stress reduction. The  $Al_2O_3$ /spinel boundaries are expected to have much larger resistance to crack extension than  $Al_2O_3$  grain boundaries. © 1997 Elsevier Science Limited and Techna S.r.l.

# 1 INTRODUCTION

Superplasticity in ceramics has been widely studied in recent years.  $^{1-18}$  Tensile elongation in excess of 100% is obtained in fine-grained ceramics whose grain size is less than about 1  $\mu$ m. Among various fine-grained ceramics, yttria-stabilized tetragonal zirconia polycrystal (Y-TZP) exhibits huge elongation, up to 800%, at high temperatures.  $^{17}$  The extensive ductility in Y-TZP is explained in terms of grain size stability during high temperature deformation.  $^{19}$ 

In contrast to Y-TZP, the tensile ductility of fine-grained  $Al_2O_3$  is very limited; the elongation of high-purity  $Al_2O_3$  with a grain size of  $0.90 \,\mu\mathrm{m}$  is only 18% at  $1400^{\circ}\mathrm{C}.^{20}$  The limited ductility of  $Al_2O_3$  arises from extensive grain growth and resultant cavitation during high-temperature plastic flow. A small addition of MgO into high-purity  $Al_2O_3$  inhibits the concurrent grain growth during deformation, and hence improves the tensile ductility.  $^{16,20-26}$  In  $0.1 \,\mathrm{wt}\%$  MgO-doped single-phase  $Al_2O_3$ , an elongation of over 100% is

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obtained in temperature-raising tests, which are effective in suppressing grain growth during deformation.<sup>16</sup>

The present paper aims to report the improvement of tensile ductility of Al<sub>2</sub>O<sub>3</sub> due to the dispersion of spinel (MgO·1·5Al<sub>2</sub>O<sub>3</sub>) phase and to discuss the origin of the ductility improvement.

### 2 EXPERIMENTAL

High-purity alumina powders of 99.99% purity, with an average diameter of  $0.2 \,\mu\text{m}$ , supplied by Taimei Chemical Co. Ltd (TM-DAR) and high-purity magnesia powders of 99.97% purity, with about 17 nm diameter, supplied by Ube Co. Ltd were used for starting materials. Al<sub>2</sub>O<sub>3</sub>-20 vol%-spinel was prepared from these powders as follows. They were mixed in a ball mill in ethanol, together with 5 mm diameter high-purity alumina balls, for 24 h, and then dried and sifted through a 60 mesh sieve for granulation. The sifted powders were pressed under a pressure of 33 MPa, and further cold-isostatically pressed under a pressure of 100 MPa in a rubber tube. Sintering was carried out at 1400°C for 2 h in air. The bulk density of the

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sintered bodies was measured using the Archimedes technique. Tensile test specimens were cut and ground from the sintered bodies. The specimen size was 2 mm×2 mm×13.5 mm in gauge length. Uniaxial tensile tests were carried out in the temperature range 1300–1550°C in air. An Instron-type mechanical testing machine Shimadzu AG-5000C, equipped with a high-temperature furnace and SiC tensile jigs, was used. XRD (X-ray diffraction) studies were carried out with Mac Science MXP-18. The microstructure was examined with a scanning electron microscope (SEM) JEOL JSM-5200. For SEM examinations, ground and polished samples were thermally-etched at a temperature of about 50°C below the sintering or testing temperatures, and further chemically etched in a mixture of concentrated sulphuric acid and orthophosphoric acid (3:1 by volume) at 200°C for 10 min. They were coated with gold film using an ion spattering machine. The grain size was measured with linear intercept method on SEM photographs.

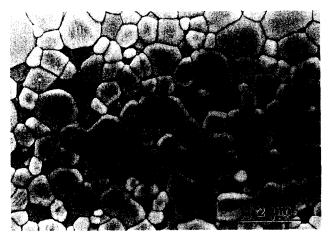


Fig. 1. Scanning electron micrograph of Al<sub>2</sub>O<sub>3</sub>–20 vol% spinel sintered at 1400°C for 2 h. "A" and "S" represent Al<sub>2</sub>O<sub>3</sub> and spinel grains, respectively.

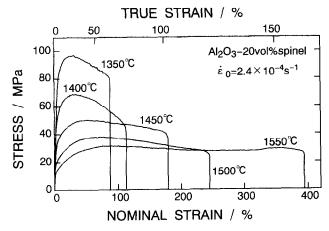


Fig. 2. Stress-strain curves in  $Al_2O_3$ -20 vol% spinel deformed at a temperature between 1350 and 1550°C and an initial strain rate of  $2.4 \times 10^{-4} \, \text{s}^{-1}$ .

## **3 RESULTS**

Figure 1 shows the scanning electron micrograph of as-sintered  $Al_2O_3$ –20 vol% spinel. The relative density is about 99% and the average grain size is  $1\cdot 1 \mu m$  in the as-sintered state. In the micrograph, the grains with light grey contrast are  $Al_2O_3$  and those with dark gray contrast are spinel. Some  $Al_2O_3$  and spinel grains are marked as "A" and "S", respectively, in Fig. 1. From XRD studies, the ratio of  $Al_2O_3$  and MgO in spinel is estimated to be  $1\cdot 47$  at  $1400^{\circ}C$  and  $1\cdot 64$  at  $1500^{\circ}C$ , which is in good agreement with the previous report.<sup>27</sup>

The stress-strain curves in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel deformed at various temperatures are shown in Fig. 2. In the temperature range examined, the flow stress decreases and the elongation to failure increases with an increase of temperature. A maximum elongation of 396% is obtained at 1550°C, which is probably the largest elongation in Al<sub>2</sub>O<sub>3</sub>-based ceramics reported so far. The photograph of the sample which failed at 1550°C is shown in Fig. 3. The specimen is deformed almost uniformly throughout the specimen gauge and necking is not observed as well as other superplastic ceramics.<sup>13</sup>

Figure 4 is a comparison of the stress–strain curves between  $Al_2O_3$ –20 vol% spinel and 0·1 wt% MgO-doped single-phase  $Al_2O_3$ , whose initial grain size is 0·8  $\mu$ m. <sup>16</sup> It is interesting to note that  $Al_2O_3$ –20 vol% spinel has a higher peak stress than 0·1 wt% MgO-doped  $Al_2O_3$  at each temperature, but the tensile ductility is very much improved by spinel dispersion.

Figure 5 is a plot of log 10% flow stress against log strain rate. The strain rate sensitivity value of m (estimated from strain rate change tests) is about 0.6, except at low strain rates below about  $2 \times 10^{-5} \, \text{s}^{-1}$ . The m value is close to that obtained from the slope of the straight line at each temperature in Fig. 5.

A log-log plot of 5% flow stress against grain size in 0.1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel is shown in Fig. 6. The relationship

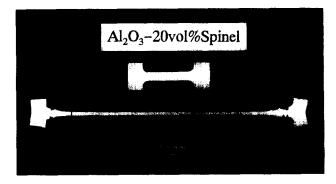


Fig. 3. Photograph of Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel undeformed (upper) and failed at 396% strain at 1550°C (lower).

is approximated to be a straight line in each material, but the flow stress at the same grain size is reduced by spinel dispersion. The slope of the straight lines, which is equal to a product of m and grain size exponent p, is calculated to be 1.8 in the two materials. In 0.1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub>, m is reported to be about 0.6, 1.6 as well as in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel, and hence the grain size exponent p becomes about 3 in both materials.

Figure 7 is a plot of log strain rate (at a flow stress of  $60 \, MPa$ ) against inverse temperature in  $Al_2O_3$ – $20 \, vol\%$  spinel. From the slope of the straight line, the activation energy is estimated to be  $364 \, kJ/mol$ .

Figure 8 is the grain size change with strain in  $Al_2O_3-20$  vol% spinel, together with the previous data on pure  $Al_2O_3$  and 0.1 wt% MgO-doped  $Al_2O_3$ .<sup>20</sup> The grain growth in  $Al_2O_3-20$  vol% spinel is much more sluggish than that in pure  $Al_2O_3$ , but is not so different from that in 0.1 wt% MgO-doped  $Al_2O_3$ .

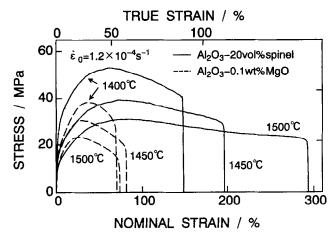


Fig. 4. The stress-strain curves in 0.1 wt% MgO-doped  $Al_2O_3^{16}$  and  $Al_2O_3-20 \text{ vol}\%$  spinel for an initial strain rate of  $1.2 \times 10^{-4} \text{ s}^{-1}$ .

#### **4 DISCUSSION**

The strain rate  $(\dot{\varepsilon})$  vs stress  $(\sigma)$  relationship in superplastic ceramics has been discussed from the following semiempirical equation:

$$\dot{\varepsilon} = A \frac{\sigma^n}{d^p} \exp\left(-\frac{Q}{RT}\right) \tag{1}$$

where A is the constant depending on the microstructure and deformation mechanism, n is the stress exponent, which is the inverse strain rate sensitivity m, p is the grain size exponent, Q is the activation energy and RT is the gas constant times absolute temperature.

The m and p values obtained in  $Al_2O_3-20$  vol% spinel are almost the same as those in  $0.1 \text{ wt}\% \text{ MgO-doped Al}_2\text{O}_3$ , i.e. m is about 0.6 andp is about 3 in both materials, as described before. However, as shown in Fig. 6, the flow stress-grain size relationship is different between the two materials. The flow stress in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel is lower than that in 0.1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> at the same grain size. The difference may be caused by the difference in activation energy Q. The activation energy is not reported in 0.1 wt% MgOdoped Al<sub>2</sub>O<sub>3</sub>, but the value can be roughly estimated to be about 400 kJ/mol from the reported stress-strain rate relationship. 16 This value is not so different from the value of 419 kJ/mol for the activation energy for grain boundary diffusion of Al<sup>3+</sup> in Al<sub>2</sub>O<sub>3</sub> reported by Cannon et al.<sup>22</sup> The activation energy of 364 kJ/mol in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel obtained in this study is a little smaller than that in 0.1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub>. The slight reduction in activation energy due to spinel dispersion in Al<sub>2</sub>O<sub>3</sub> must be a major origin of the decrease in flow stress at the same grain size level in comparison with 0.1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub>, as shown in Fig. 6, although the diffusion

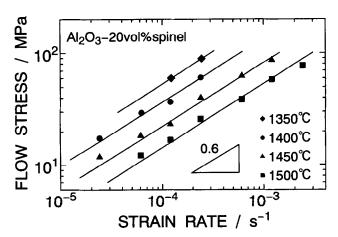


Fig. 5. A log-log plot of 10% flow stress against strain rate in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel.

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species and path controlling the superplastic flow cannot be identified because of the limited diffusivity data. The situation is similar to the enhanced superplastic flow in TZP with TiO<sub>2</sub> doping.<sup>28</sup>

Another important fact clarified in this study is that the large elongation in Al<sub>2</sub>O<sub>3</sub>–20 vol% spinel is not simply related to the flow stress reduction. Figure 9 is a plot of fracture strain against log(peak stress) in Al<sub>2</sub>O<sub>3</sub>–20 vol% spinel, together with the previous data on 0·1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>–10 wt% ZrO<sub>2</sub>.<sup>29</sup> The relationship is represented by a single straight line in 0·1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>–10 wt% ZrO<sub>2</sub>. The limited ductility in Al<sub>2</sub>O<sub>3</sub>–10 wt% ZrO<sub>2</sub> is explained in terms of flow stress increment with ZrO<sub>2</sub> addition.<sup>29</sup> In contrast to Al<sub>2</sub>O<sub>3</sub>–10 wt% ZrO<sub>2</sub>, the

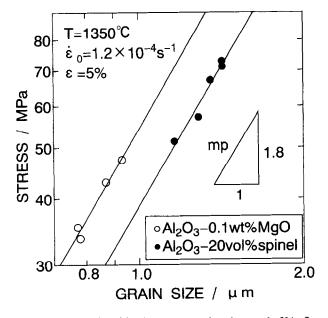


Fig. 6. The relationship between grain size and 5% flow stress at  $1350^{\circ}$ C and a strain rate of  $1.2 \times 10^{-4}$  s<sup>-1</sup>.

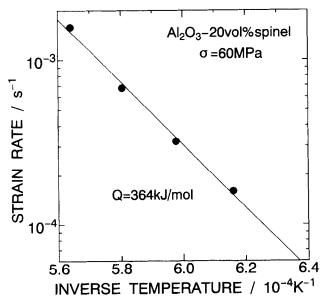


Fig. 7. The strain rate at a stress of 60 MPa as a function of inverse temperature in Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel.

fracture strain-peak stress relationship in  $Al_2O_3$ -20 vol% spinel is very different from that in the other two materials. The fracture strain at the same stress level is very large in  $Al_2O_3$ -20 vol% spinel, in comparison with 0·1 wt% MgO-doped  $Al_2O_3$  or  $Al_2O_3$ -10 wt%  $ZrO_2$ .

Figure 10 shows the optical micrographs of  $0.1 \text{ wt}\% \text{ MgO-doped } \text{Al}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3-20 \text{ vol}\%$ -spinel, deformed by 50% at 1450°C and an initial strain rate of  $1.2 \times 10^{-4} \, \text{s}^{-1}$ . In  $0.1 \, \text{wt}\% \text{ MgO-doped } \text{Al}_2\text{O}_3$ , many crack-like cavities are developed normal to the tensile axis, while no large crack-like cavities are formed and only small cavities generate in  $\text{Al}_2\text{O}_3-20 \, \text{vol}\%$  spinel. The crack-like cavity growth is very limited in  $\text{Al}_2\text{O}_3-20 \, \text{vol}\%$  spinel. In  $\text{Al}_2\text{O}_3-20 \, \text{vol}\%$  spinel, there are three types of boundaries, i.e.  $\text{Al}_2\text{O}_3/\text{Al}_2\text{O}_3$  boundaries,  $\text{Al}_2\text{O}_3/\text{spinel}$  boundaries and spinel/spinel boundaries.

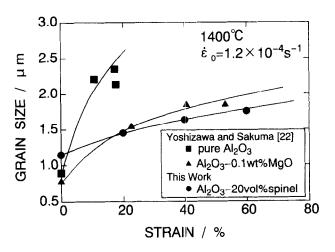


Fig. 8. Grain size change of pure Al<sub>2</sub>O<sub>3</sub>, 0·1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel as a function of strain.

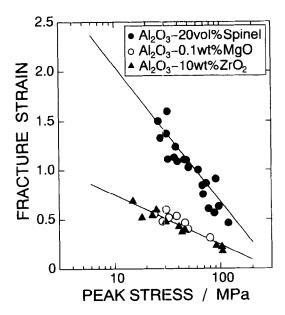


Fig. 9. The relationship between fracture strain and peak stress in Al<sub>2</sub>O<sub>3</sub>-10 wt% ZrO<sub>2</sub>,<sup>29</sup> Al<sub>2</sub>O<sub>3</sub>-20 vol% spinel and 0·1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub>.

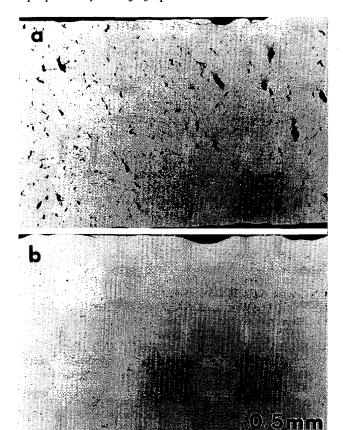


Fig. 10. Optical micrographs of 50% deformed samples in (a)  $0.1 \text{ wt}\% \text{ MgO-doped Al}_2\text{O}_3$  and (b)  $\text{Al}_2\text{O}_3-20 \text{ vol}\%$  spinel at  $1450^{\circ}\text{C}$  and an initial strain rate of  $1.2 \times 10^{-4} \, \text{s}^{-1}$ . The tensile direction is horizontal.

Since the fraction of spinel/spinel boundaries is small in  $Al_2O_3$ –20 vol% spinel, the limited cavity growth may be caused by the presence of  $Al_2O_3$ / spinel boundaries. Namely, it is possible to expect that the crack extension is suppressed along  $Al_2O_3$ / spinel boundaries in comparison with  $Al_2O_3/Al_2O_3$  boundaries. The resistance to crack extension along  $Al_2O_3$ /spinel boundaries may be associated with the non-stoichiometry of the spinel phase, as pointed out by Lappalainen *et al.*<sup>30</sup> More details on crack extension in  $Al_2O_3$ –20 vol% spinel are reported elsewhere.

# **5 CONCLUSION**

Superplastic flow in Al<sub>2</sub>O<sub>3</sub>–20 vol% spinel is examined. The results obtained are summarized as follows:

(a) The dispersion of the spinel phase is very effective in improving the tensile ductility of  $Al_2O_3$  at high temperatures, and a maximum elongation of about 400% is obtained at 1550°C and an initial strain rate of  $2.4 \times 10^{-4} \, \text{s}^{-1}$ .

- (b) The flow stress at the same grain size is reduced by spinel dispersion in Al<sub>2</sub>O<sub>3</sub>. This is likely to be caused by a slight reduction in activation energy for superplastic flow.
- (c) The extensive ductility in Al<sub>2</sub>O<sub>3</sub>–20 vol%-spinel can not simply be explained from the flow stress reduction due to the presence of spinel phase, because the fracture strain-peak stress relationship is very different between 0·1 wt% MgO-doped Al<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>–20 vol% spinel. Crack expansion must be more effectively suppressed along Al<sub>2</sub>O<sub>3</sub>/spinel boundaries than along Al<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> boundaries.

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