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# The Formation of Different Si<sub>3</sub>N<sub>4</sub> Phases in the Presence of V<sub>2</sub>O<sub>5</sub> During Carbothermal Reduction of Untreated and Acid Treated Rice Husk

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Abstract: Silicon nitride with different phases was prepared by nitriding undigested and digested rice husk at  $1430^{\circ}C$ , under flowing nitrogen gas, in the present of  $V_2O_5$  as catalyst. It was found that β-Si<sub>3</sub>N<sub>4</sub> was the predominant phase in the product obtained from nitridation of undigested rice husk that containing excess of carbon (C/SiO<sub>2</sub>=7). Meanwhile, Si<sub>2</sub>ON<sub>2</sub> constitutes the major phase of the powder obtained from nitridation of digested husk which contains carbon and silica in a stoichiometric ratio of the overall reaction. However, both the undigested and digested husk produced α-Si<sub>3</sub>N<sub>4</sub> when nitridation was carried in the absence of  $V_2O_5$ . © 1998 Elsevier Science Limited and Techna S.r.l. All rights reserved

### INTRODUCTION

The overall reaction for carbothermal reduction and nitridation of rice husk can be written as

$$3SiO_2(s) + 6C(s) + 2N_2(g) = Si_3N_4(s) + 6CO(g)$$
 (1)

The reaction involves silica and carbon in solid forms, but the actual mechanism as generally agreed is that  $Si_3N_4$  forms through vapour phase in a complicated reaction sequences.<sup>1-3</sup>

The overall reaction is influenced by many factors such as characteristics of starting materials, temperature, gas flowrate and catalysts, which were reported by several researchers.  $^{2,4,5}$  However, studies on the effect of  $V_2O_5$  on the formation of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> is limited. In the authors' previous work,  $^6$  it was reported that  $\beta$ -Si<sub>3</sub>N<sub>4</sub> was formed during nitridation of rice husk in the presence of 2%  $V_2O_5$ . The present work reports the extension of the studies on the effects of  $V_2O_5$  as catalyst for producing  $\beta$ -Si<sub>3</sub>N<sub>4</sub> at a lower temperature as compared to those employing iron catalyst. The effect of stoichiometry, carbon–silica molar ratio, during the formation of silicon nitride is also reported.

# **EXPERIMENTAL**

Rice husk was washed with diluted HNO<sub>3</sub> (~1 M), filtered and repeatedly washed with distilled water, and dried. The dried husk was pyrolysed at 800°C for 1 h under flowing argon. The pyrolysed husk was ball-milled to provide the starting powder for nitridation. The content of silica and carbon was estimated by heating pyrolysed rice husk powder in air at 700°C for 1 h. Vanadium pentoxide (98.5%, BDH Chemical) was incorporated into pyrolysed powder by wet mixing for 2 h, and dried in a hot plate.

The sample ( $\sim$ 2 g) in an alumina boat was placed in a controlled atmosphere furnace, and was heated in a controlled rate up to 1430°C for 2–6 h under flowing nitrogen gas (12.5 ml s<sup>-1</sup>) at 1 atm pressure. After nitridation, the product was burned in air at 700°C for 30 min to remove any excess carbon.

The phases composition of the products was determined by X-ray diffraction (Siemens D5000). Morphology of the products was examined by scanning electron microscope (Stereoscan S500, and Cambridge S360).

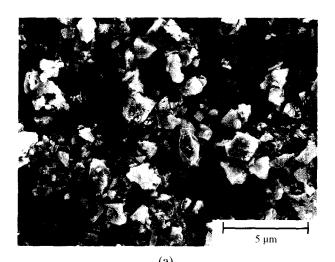
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Another set of experiments was performed by using digested rice husk as starting material. The husk was digested in 12 M HNO<sub>3</sub> for 3 h as described in detail elsewhere.<sup>7</sup> Further treatments of the samples followed the same procedure as described above.

# **RESULTS AND DISCUSSION**

# Starting materials

Scanning electron micrographs of undigested, and HNO<sub>3</sub> digested rice husk powder after pyrolysed at 800°C under flowing argon are shown in Fig. 1. Overall, the particle size of the undigested husk is irregular and the distribution is not homogeneous as compared to digested husk. Table 1 shows the C/SiO<sub>2</sub> molar ratio of the pyrolysed husks. The pyrolysed raw husk produced a starting material containing carbon and silica molar ratio of 7. After treating rice husk with 12 M, HNO<sub>3</sub> at 60°C for 3 h, the carbon and silica content of the pyrolysed



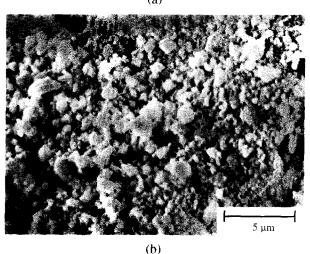


Fig. 1. Scanning electron micrographs of the pyrolysed rice husk powders, (a) undigested husk, and (b) digested husk.

Table 1. C/SiO<sub>2</sub> molar ratio of pyrolysed rice husk at 800°C under flowing argon atmosphere

Sample	Content(%)		C/SiO <sub>2</sub>
	Carbon	Silica	Molar ratio
Undigested husk (Raw husk)	58	42	7
Digested husk (12 M HNO <sub>3</sub> , 3 h)	29	31	2

husk was reduced to 2, that is, stoichiometric molar ratio of the overall reaction for carbothermal reduction.

## Nitridation of undigested rice husk

Nitridation of rice husk is, in principle, identical to the carbothermal reduction and nitridation of carbon and silica mixture (eqn (1)). The process has been reviewed through different mechanisms with different paths of reactions. However, the general opinion seem to be that SiO gas plays an important role in Si<sub>3</sub>N<sub>4</sub> formation. Our authors' previous work<sup>6,7</sup> suggested that SiO(g) was generated by the reaction between SiO<sub>2</sub> and C (eqn (2)) due to the naturally mixed of the both reactants presence in rice husk:

$$SiO_2(s) + C(s) = SiO(g) + CO(g)$$
 (2)

The subsequent reaction is

$$SiO(g) + 2/3N_2(g) = 1/3Si_3N_4(s) + 1/2O_2(g)$$
 (3)

The equilibrium partial pressure of oxygen associated with eqn (3) is calculated to be  $3.3 \times 10^{-10}$  Pa. In order for the reaction to proceed without the formation of silicon oxynitride,  $Si_2ON_2$ , oxygen must effectively be removed from the system by eqn (4).

$$1/2O_2(g) + C(s) = CO(g)$$
 (4)

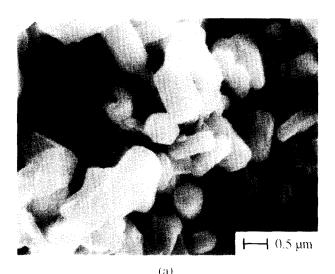
This is not difficult since eqn (4) is thermodynamically favourable.  $K_p$  of eqn (4) at 1430°C is  $1.1 \times 10^8$ . Equations (3) and (4) proceed simultaneously which constitute a composite, eqn (5):

$$SiO(g) + C(s) + 2/3N_2(g) = 1/3Si_3N_4(s) + CO(g)$$
 (5)

Thus, it is believed that the formation of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> may be nucleated and grown on the rice husk particles through eqn (5). The formation of silicon oxynitride can be eliminated as long as carbon is present in excess or at least in the stoichiometric ratio of the overall carbothermal reduction<sup>8</sup>.

The scanning electron micrographs of the powder obtained from nitridation of undigested rice husk, as in Fig. 2(a) shows that  $Si_3N_4$  powder consisted of hexagonal cross-section particles with about 1.3  $\mu$ m in length. The morphology which is completely different from that of rice husk is attributed to  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is being the major product formed through a vapour phase reaction. The irregularity of the crystal shape indicates that may be associated with the view that eqn (5) is the most likely the growth reaction for this system.

Most of the works<sup>1–4,6,7</sup> on the preparation of  $Si_3N_4$  powders by carbothermal reduction process reported  $\alpha$ -phase as the major phase, in belief that  $\alpha$ - $\beta$  transformation led to complete densification.<sup>9</sup> However, Lee *et al.*<sup>10</sup> proved that a dense body could be obtained during densification of  $\beta$ -phase powder. Sajgalik<sup>11</sup> reported that higher content of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phase in the starting powder resulted in a higher rate of  $\alpha$ - $\beta$  transformation in the system reaction without sintering aids. This initiate several



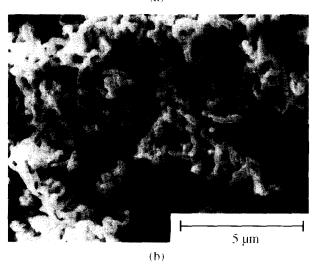


Fig. 2. Scanning electron micrographs of  $Si_3N_4$  powders obtained from nitridation of pyrolysed rice husk. (a) Undigested husk: (b) undigested husk  $\pm 2\% V_2O_5$ .

researchers to investigate the possibility of producing  $\beta$ -Si<sub>3</sub>N<sub>4</sub>, for example, by carbothermal reduction. Recently, it was reported<sup>2</sup> that Si<sub>3</sub>N<sub>4</sub> powder with a predominant  $\beta$ -phase was produced from a fine silica–carbon mixture at 1540°C, in the presence of iron as catalyst. Below this temperature, a mixture of  $\beta$ -Si<sub>3</sub>N<sub>4</sub>, SiC and Si<sub>2</sub>ON<sub>2</sub> was formed.

In this work, β-Si<sub>3</sub>N<sub>4</sub> powder was prepared from rice husk which was incorporated with V<sub>2</sub>O<sub>5</sub>. The powder was prepared by nitridation of pyrolysed rice husk powder at 1430°C, which is 100°C lower than reported by Bandyopadyay *et al.* 

XRD analysis shown in Fig. 3 indicates a high percentage of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> in the products. The amount of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> increased significantly with V<sub>2</sub>O<sub>5</sub> content, reaching a maximum of  $\sim$ 80% at 10% oxide, before decreasing again. VC and VN were detected as by-products, presumably to be formed through eqns (6) and (7), respectively:

$$V_2O_5(1) + 7C(s) = 2VC + 5CO$$
 (6)

$$V_2O_5(1) + 5C(s) + N_2(g) = 2VN(s) + 5CO(g)$$
 (7)

In all cases, VC was found to be the predominant phase due to its greater thermodynamic stability as compared to VN under reaction conditions.<sup>6</sup> On burning off the excess carbon in air, VC and VN were found to be oxidised to vanadium oxide, which was readily soluble in 2% sodium hydroxide solution. No SiC or  $Si_2ON_2$  were detected in the products. The SiC growth is not favoured in the presence of liquid  $V_2O_5$  as in Fe–Si liquid<sup>2,3,5</sup> (iron catalyst) suggesting that  $V_2O_5$  liquid is not able to take into solution a significant amount of carbon or carbon monoxide and silicon monoxide, through solid-liquid–vapour mechanism.

Figure 2(b) shows the morphology of the powder obtaining from nitridation of pyrolysed rice husk containing 2% V<sub>2</sub>O<sub>5</sub>. A marked difference is observed in the morphology of the powder obtained which consists of coilly fibrous particles

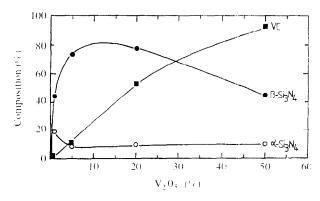


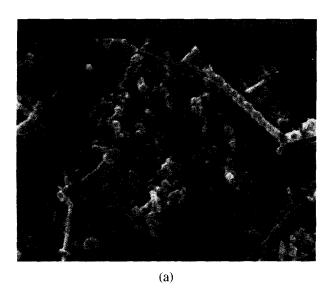
Fig. 3. The effect of V<sub>2</sub>O<sub>5</sub> on nitridation of undigested rice husk

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of  $1-2 \,\mu m$ . The nature of the powder morphology indicates that is grows in the present of liquid. This condition is not difficult to achieve since melting temperature of  $V_2O_5$  is 690°C. This supports the observation that the products after nitridation has increased in volume like 'baked cake'.

# Nitridation of digested rice husk

It was reported that an equiaxed, submicrometer and high  $\alpha$ -phase silicon nitride powder was prepared by nitriding rice husk that previously diges-



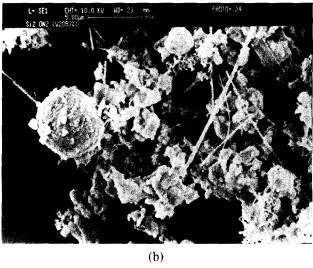


Fig. 4. Scanning electron micrographs of Si<sub>3</sub>N<sub>4</sub> powders obtained from nitridation of pyrolysed rice husk. (a) Digested husk; (b) digested husk + 3% V<sub>2</sub>O<sub>5</sub>.

Table 2. Phase composition of the powder prepared from nitridation of the digested rice husk incorporated with  $V_2O_5$ 

V <sub>2</sub> O <sub>5</sub> (%)	Phase composition (%)			
	α-Si <sub>3</sub> N <sub>4</sub>	β-Si <sub>3</sub> N <sub>4</sub>	Si <sub>2</sub> ON <sub>2</sub>	
0	92	8		
3	11	9	80	
7	10	11	79	

ted with HNO<sub>3</sub>.<sup>7</sup> The carbon and silica molar ratio in the starting husk was 2. The SEM of the powder is shown in Fig. 4(a).

The fact that  $V_2O_5$  was found to be an effective catalyst for β-Si<sub>3</sub>N<sub>4</sub> formation, led to further experiments using rice husk which was digested with HNO<sub>3</sub> as starting material. The husk was incorporated with V<sub>2</sub>O<sub>5</sub> up to 7% and nitridation procedure was carried out in the same manner as for undigested husk. The product was found to consist mainly of Si<sub>2</sub>ON<sub>2</sub> as shown in Fig. 4(b) and Table 2. VC and VN were also detected in the product. This phenomenon might be due to the carbon in the system being consumed by eqns (6) and (7). Thus, carbon to silica molar ratio is reduced below the stoichiometric ratio. This condition most likely caused the oxygen partial pressure to increase beyond  $10^{-10}$  Pa. Therefore, the formation of Si<sub>2</sub>ON<sub>2</sub> was favoured through eqn (8) and formed the predominant phase:

$$2SiO_2(g) + N_2(g) + 3C(s) = Si_2ON_2(s) + 3CO(g)$$
 (8)

### CONCLUSION

Si<sub>3</sub>N<sub>4</sub> with a predominant  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phase could be obtained by carbothermal reduction of rice husk at 1430°C in the presence of V<sub>2</sub>O<sub>5</sub> and in the condition of excess carbon. Si<sub>2</sub>ON<sub>2</sub> appeared to be the predominant phase when carbon and silica content was reduced to the stoichiometric molar ratio of the overall carbothermal reduction reaction. This provides a comparatively cheap and easy way of producing  $\beta$ -Si<sub>3</sub>N<sub>4</sub>,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> and Si<sub>2</sub>ON<sub>2</sub>. However,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> could be obtained by nitridation of either undigested or digested rice husk without adding V<sub>2</sub>O<sub>5</sub>.

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