

# Phase composition and fracture toughness of $\text{Si}_3\text{N}_4\text{--ZrO}_2$ with $\text{CeO}_2$ additions

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## Abstract

Zirconia toughened  $\text{Si}_3\text{N}_4$  was prepared with addition of  $\text{CeO}_2$  by applying hot pressing technique. Phase composition, densification, fracture toughness and hardness were investigated as a function of hot pressing temperature and  $\text{CeO}_2$  content. The absence of nitrogen containing zirconia phase was discussed. Fracture toughness of  $\text{Si}_3\text{N}_4\text{--ZrO}_2(+\text{CeO}_2)$  with 12 mol% of  $\text{CeO}_2$  in  $\text{ZrO}_2$  reached  $15 \text{ MPa m}^{1/2}$ . Dominating toughening mechanism according to our data seems to be stress induced microcracking. © 1998 Elsevier Science Limited and Techna S.r.l. All rights reserved

## 1. Introduction

Transformation toughening of  $\text{Si}_3\text{N}_4$  with  $\text{ZrO}_2$  dispersoids was first reported by Claussen [1]. Later on, a great deal of publications appeared which confirmed the toughening effect of a  $\text{Si}_3\text{N}_4$  matrix by  $\text{ZrO}_2$  with different stabilizing oxides [2–6]. The valency of cations in stabilizing oxides and their concentration determine the concentration of oxygen vacancies in substitutional  $\text{ZrO}_2\text{--Me}_x\text{O}_y$  solid solutions. Oxygen vacancies in the dispersoid phase enable the incorporation of nitrogen on oxygen sites, giving rise to  $\text{ZrN}$  or  $\text{ZrON}$  formation which is not desirable [7,8]. In the  $\text{Si}_3\text{N}_4\text{--ZrO}_2$  system without stabilizers, N-containing zirconia phases were not found [9], i.e. the total  $\text{ZrO}_2$  was monoclinic. In the case of  $\text{Ca}^{2+}$  as stabilizing oxide, N-containing  $\text{ZrO}_2$  phases were not detected, independent of whether prereacted  $\text{CaO--ZrO}_2$  solid solution or  $\text{CaO+ZrO}_2$  mixture of the same composition was added to  $\text{Si}_3\text{N}_4$  [3]. However, with  $\text{Y}^{3+}$  as stabilizing oxide,  $\text{ZrON}$  was detected both with addition of presynthesized YPSZ or with a corresponding mixture of  $\text{Y}_2\text{O}_3+\text{ZrO}_2$  in the  $\text{Si}_3\text{N}_4$  matrix [9], which suggested that presynthesizing of  $\text{ZrO}_2$  solid solution to form an additive might not be effective in preventing N-containing zirconia formation.

The reason for  $\text{ZrN}$  formation in  $\text{Y}_2\text{O}_3\text{--ZrO}_2\text{--Si}_3\text{N}_4$  system may be searched for in the liquid phase composition, melting temperature and properties. Surrounded

by the liquid in which  $\alpha\text{-Si}_3\text{N}_4$  is getting dissolved, YPSZ can take up nitrogen which occupies oxygen sites. In the case of  $\text{CaO}$ , however, the melting temperature is considerably lower, and fast densification inhibits vapour reaction in which  $\text{ZrN}$  can be formed [3,5].

With  $\text{CeO}_2$  as stabilizing oxide  $\text{Ce}^{4+}$  ions should produce substitutional solid solutions of the  $\text{Zr}_{1-x}\text{Ce}_x\text{O}_2$  type, without additional point defects (oxygen vacancies). Therefore, no N incorporation in  $\text{ZrO}_2$  may be expected during the processing. On the other hand, ceria is less expensive and more stable in water vapour in comparison with yttria. This work presents the influence of temperature and  $\text{CeO}_2$  concentration on the phase composition, densification and the fracture toughness of  $\text{Si}_3\text{N}_4$ . It was found that high fracture toughness is strongly related to chemical composition of the starting mixture and for constant chemical composition is strongly dependent on the hot pressing conditions.

## 2. Experimental work

Starting powder,  $\alpha\text{-Si}_3\text{N}_4\text{--LC12}$  (H. C. Starck), was homogenized with  $\text{ZrO}_2$  (Magnesium Electron) and  $\text{CeO}_2$  (Merck) in a vibratory mill made of tungsten carbide, for 2 h in isopropanol. Composition of starting mixtures with varying  $\text{CeO}_2$  content are given in Table 1. Oxygen content in the  $\text{Si}_3\text{N}_4$  powder was 1.8 mass%. Hot pressing temperatures ranged from  $1600^\circ\text{C}$ – $1780^\circ\text{C}$

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Table 1  
Starting compositions (mass%)

Mixture	Si <sub>3</sub> N <sub>4</sub>	ZrO <sub>2</sub>	CeO <sub>2</sub>	mol% CeO <sub>2</sub> in ZrO <sub>2</sub>
A	70	27.60	2.74	6.7
B	70	25.20	4.80	12.0
C	70	22.24	7.76	20.0
D	99	—	1	—
F	97	—	3	—

with heating and cooling rates of 45 and 15°C min<sup>-1</sup>, respectively. Isothermal hot pressing time was 1 h (in argon atmosphere). Density, fracture toughness and hardness were measured. Indentation fracture toughness was measured by making 10 indents under the load of 100 N for 10 s X-ray diffraction analysis was performed (using Siemens D-500 instrument) to identify the phases, present as well as to determine t + c modifications of ZrO<sub>2</sub>. SEM analysis was performed using a JEOL-35 microscope, and elemental analysis using ISIS Link 300 to determine the composition of ZrO<sub>2</sub> grains (~5 µm in diameter was analysed), quantitatively.

### 3. Results and discussion

#### 3.1. Phase composition change with CeO<sub>2</sub> addition

Phase composition change of the starting mixtures (Table 1) as a function of temperature is followed by X-ray analysis. The obtained results are summarised in Table 2 and Fig. 2. The intensities of characteristic peaks of Si<sub>2</sub>N<sub>2</sub>O (Fig. 1) decrease while the ones of

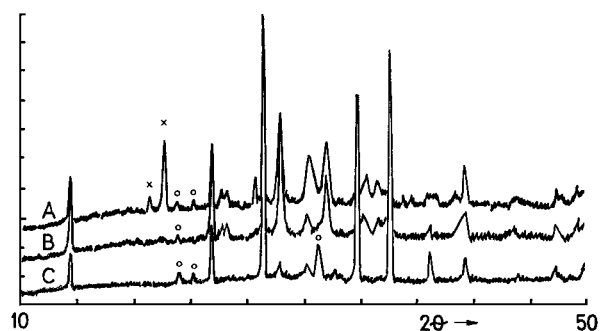
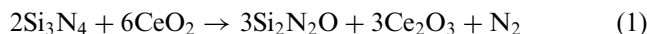
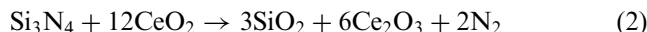


Fig. 1. X-ray pattern of samples A, B and C, at 1700°C, 1 h. x, Si<sub>2</sub>N<sub>2</sub>O; o, N-apatite.

Ce–N-apatite (5Ce<sub>2</sub>O<sub>3</sub>·Si<sub>2</sub>N<sub>2</sub>O·4SiO<sub>2</sub>) increase with increasing CeO<sub>2</sub> content. It should be outlined that with samples B only traces of Ce–N-apatite were found by X-ray, may be due to its small amount or small particle size. The existence of Si<sub>2</sub>N<sub>2</sub>O in samples A indicate that a reaction of CeO<sub>2</sub> with Si<sub>3</sub>N<sub>4</sub> according to



is dominant. On the other hand, the fact that Si<sub>2</sub>N<sub>2</sub>O was not detected in samples C means that it had already reacted with SiO<sub>2</sub> and Ce<sub>2</sub>O<sub>3</sub> to form Ce–N-apatite (Table 2). This is possible if additional SiO<sub>2</sub> is formed according to the reaction



The samples C contain a larger amount of CeO<sub>2</sub> as compared to samples A. Therefore, it can be assumed that in addition to reaction (1), reaction (2) could take place, as well, in the mentioned composition C. To

Table 2

Intensities of characteristics peaks (%) of phases detected in Si<sub>3</sub>N<sub>4</sub>–CeO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub>–ZrO<sub>2</sub>–CeO<sub>2</sub> samples as a function of hot pressing temperature and CeO<sub>2</sub> content

Sample	T (°C)	Si <sub>3</sub> N <sub>4</sub> , t <sup>a</sup>	ZrO <sub>2</sub> , t	Si <sub>2</sub> N <sub>2</sub> O	5Ce <sub>2</sub> O <sub>3</sub> ·Si <sub>2</sub> N <sub>2</sub> O·4SiO <sub>2</sub>	CeO <sub>2</sub> (mass%)
A	1500	39	41	20	—	2.7
	1650	42	44	14	tr <sup>b</sup>	
	1700	42	45	13	tr	
	1780	44	46	10	10	
B	1500	40	40	20	—	4.8
	1600	41	36	18	6	
	1650	33	36	25	8	
	1700	52	48	—	tr	
C	1500	50	13	—	37	7.8
	1600	58	20	—	2	
	1700	59	24	—	17	
	1780	52	48	—	tr	
D	1650	78	—	14	8	1.0
	1700	79	—	14	14	
F	1650	83	—	10	7	3.0
	1700	85	—	8	7	

<sup>a</sup> t, total.

<sup>b</sup> tr, traces.

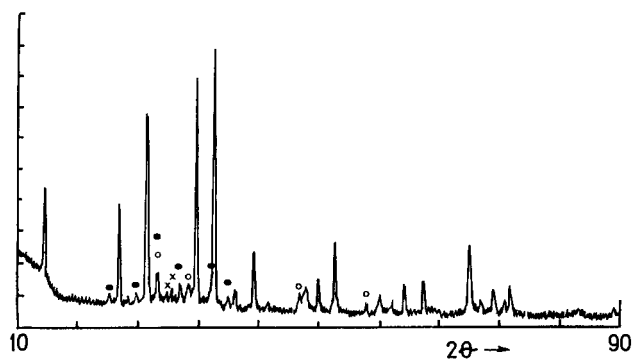


Fig. 2. X-ray pattern of  $\text{Si}_3\text{N}_4 + 10 \text{ mass\% CeO}_2$ , 1780°C, 1 h. o,  $\text{CeO}_2$ , x,  $\text{Ce}_2\text{O}_3$ , ●, cristobalite.

support this assumption a separate sample containing 10 mass%  $\text{CeO}_2$  in  $\text{Si}_3\text{N}_4$  was sintered at 1700 and 1780°C in Ar. In this composition peaks of  $\beta\text{-Si}_3\text{N}_4$ ,  $\text{CeO}_2$ , traces of  $\text{Ce}_2\text{O}_3$  were detected at 1780°C (Fig. 2) and in addition the peaks of  $\text{SiO}_2$ . This proved that with even higher  $\text{CeO}_2$  content reaction (2) was dominant in the  $\text{Si}_3\text{N}_4\text{-ZrO}_2\text{-CeO}_2$  system.

The reaction (1) and (2) cannot take place without reduction of  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$ . Literature data [10] pointed out that this process takes place in Ar, in excess of 1700°C, as was found in the sintering experiment described above. However, the presence of Ce–N–apatite in our hot pressed samples at 1500°C indicate that under the given hot pressing conditions the mentioned reduction does take place (samples C, Table 2).

According to microanalysis data (Table 3) nitrogen was not detected within the zirconia grains. Nitrogen containing zirconia phases were detected in none of the compositions studied. The reason may be the low temperature at which liquid phase forms in this system which suppressed gas forming reactions [5]. Literature data [11] showed that in the  $\text{Si}_3\text{N}_4\text{-CeO}_2$  system, liquid phase is formed at about 1450°C and its amount progressively increases with increasing  $\text{CeO}_2$  content. Our data (Table 2, Fig. 1) proved that the intensities of  $\text{ZrO}_2$  peaks decrease with increasing  $\text{CeO}_2$  in  $\text{Si}_3\text{N}_4\text{-ZrO}_2\text{-CeO}_2$  system. With highest  $\text{CeO}_2$  concentration diffraction line intensities of  $\text{ZrO}_2$  are very low. In addition, from SEM micrographs (Fig. 3) a larger amount of glassy phase is evident in samples C (Fig. 3(c)) which suggests that  $\text{ZrO}_2$  got dissolved in the liquid phase. Moreover, by hot pressing of samples C, highest densities were obtained at temperatures as low as 1500°C,

indicating that liquid phase in the studied system appeared below 1500°C.

According to the results in Table 3, a much higher concentration of Si (7.5 mass%  $\text{SiO}_2$ ) than Ce (0.04 mass%  $\text{CeO}_2$ ) is present within zirconia grains. On the other hand, the interplanar spacings “d” in  $\text{ZrO}_2\text{m}$  measured from  $(11\bar{1})$  and  $(111)$  peaks decreased with increasing temperature from 0.3160 (starting value) to 0.3148 nm and from 0.2837 (starting value) to 0.2833 nm, respectively, which also points to the dissolution of  $\text{Si}^{4+}$  in  $\text{ZrO}_2\text{m}$  (ionic radii of  $\text{Si}^{4+}$  and  $\text{Zr}^{4+}$  are 0.42 and 0.84 Å, respectively). The mentioned results indicate that anion vacancies are not created in  $\text{ZrO}_2$ , because a great majority of  $\text{Zr}^{4+}$  is substituted by  $\text{Si}^{4+}$  and only a small number of  $\text{Zr}^{4+}$  is exchanged most probably for  $\text{Ce}^{4+}$  ions (which are smaller than  $\text{Ce}^{3+}$ ). The possibility of the existence of  $\text{Ce}^{4+}$  ions under similar experimental conditions was mentioned in the case of  $\alpha\text{-SiAlON}$  sintered in the presence of  $\text{Y}_2\text{O}_3 + \text{CeO}_2$  additive [12]. Besides,  $\text{Ce}^{4+}$  is shown [13] to enter the  $\text{ZrO}_2$  lattice in the solid state which, applied to our case, means during the heating up period. This was proved on the basis of the X-ray data obtained for  $\text{ZrO}_2 + 10 \text{ mass\% CeO}_2$  sample. The sample was hot pressed up to 1400°C and immediately cooled down, whereby the heating rate and the atmosphere were kept identical as in the hot pressing experiments with the  $\text{Si}_3\text{N}_4\text{-ZrO}_2\text{-CeO}_2$  system. On the basis of X-ray data it appears that Ce ions are in the fourvalent state, which is proved by the appearance of the  $\text{Zr}_{0.4}\text{Ce}_{0.6}\text{O}_2$  solid solution diffraction line ( $d = 0.3062 \text{ nm}$ ). This means that zirconia grains are in fact  $\text{Zr}_{1-x-y}\text{Si}_x^{4+}\text{Ce}_y^{4+}$  solid solutions, with  $x \gg y$ . As far as the valent state of the Ce ions in the liquid phase is concerned, as suggested by our data, they are most probably in the threevalent state. This could be proved on the basis of the presence of Ce–N–apatite which was formed by crystallization from that liquid.

### 3.2. Densification

Densification of  $\text{Si}_3\text{N}_4\text{-ZrO}_2\text{-CeO}_2$  (compositions A, B and C, Table 1) was followed as a function of temperature and  $\text{CeO}_2$  amount. The results in Fig. 4 show that samples which do not contain  $\text{ZrO}_2$  (D,F) achieved full density at 1700°C. Densification of  $\text{ZrO}_2$  containing samples depended on  $\text{CeO}_2$  content and temperature which is more obvious from Fig. 5 Typical densification curves for liquid phase sintered samples as a function of the additive content at constant temperature are obtained [14]. Optimum  $\text{CeO}_2$  concentration according to the given data is found in composition B (3.81 mol%  $\text{CeO}_2$ ) at 1700°C. With lower and higher  $\text{CeO}_2$  amount lower and higher densities were achieved respectively, due to insufficient (samples A) or too high (samples C) liquid phase amount. With samples C highest density was obtained at 1600°C (Fig. 4) which is

Table 3  
Composition of  $\text{ZrO}_2$  grains in sample B, 1700°C

Element	O	Zr	Si	Ce	Hf
at%	61.32	32.50	5.55	0.01	0.63

in agreement with the above discussion on liquid phase amount. The amount of liquid in C is too high and as it keeps increasing with increasing temperature the sintered density decreases [14]. It can also be noticed that the amount of  $\text{CeO}_2$  is almost the same in samples A and F (Table 1) but the densification degree is much higher in the system without  $\text{ZrO}_2$  (Fig. 4). The reason is the fact that the amount of the liquid phase in samples F is higher due to higher  $\text{SiO}_2$  content introduced via the  $\text{Si}_3\text{N}_4$  (Table 4).

Not only the amount of the liquid phase affects densification. The viscosity of the liquid is a very important parameter in densification as well. In Table 4 calculated amounts of the liquid forming oxides in the  $\text{Si}_3\text{N}_4$ – $\text{ZrO}_2$ – $\text{CeO}_2$  compositions investigated are given taking into account the oxygen content in the starting silicon nitride powder. These mixtures are not the eutectic mixtures. In the compositions investigated they represent relative fractions of oxides among which liquid phase will be formed during heating. The concentration of  $\text{CeO}_2$  increases (with  $\text{SiO}_2$  being constant) from A to C which may result in lowering the liquid phase viscosity during densification. Taking this into account and bearing in mind the highest amount of the liquid in

samples C it may be understandable why this composition would have the highest density at temperatures below  $1600^\circ\text{C}$ . Indeed, this was proved by additional hot pressing of composition C at  $1550^\circ\text{C}$  whereby the densification degree of 99.5 % TD was attained.

### 3.3. Fracture toughness

The dependence of fracture toughness and hardness on the amount of  $\text{CeO}_2$  are given in Fig. 6. It is obvious that the hardness of the composition without  $\text{ZrO}_2$  (sample F) is much higher as compared to composites containing zirconia. The indents in sample B at  $1650$  and  $1700^\circ\text{C}$  (Fig. 7) are large, metal like and correspond to the low hardness values. Fracture toughness passes through a maximum at 3.81 mol%  $\text{CeO}_2$ . With further increase of  $\text{CeO}_2$  the fracture toughness at constant temperature decreases sharply in sample C. It should be recalled that the amount of liquid phase increased with the increase in  $\text{CeO}_2$  content (Fig. 3(b) and (c)). Besides, the composition of the intergranular phase changed, as mentioned before. If samples B and C are compared at  $1700^\circ\text{C}$  (which are highly dense at  $1700^\circ\text{C}$  contrary to sample A) the total amount of crystalline zirconia (Fig. 1)

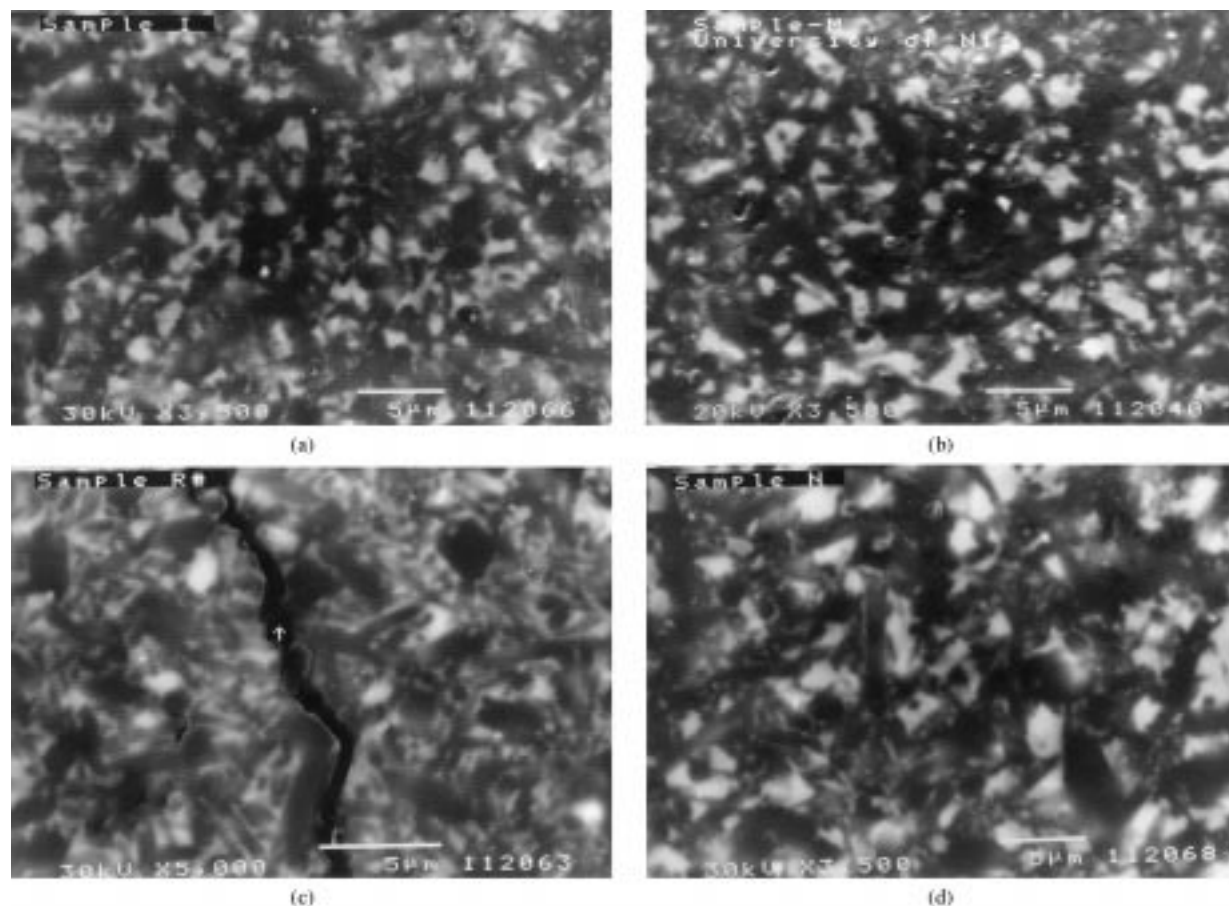


Fig. 3. SEM micrographs of (a) sample A, (b) sample B and (c) sample C hot pressed at  $1700^\circ\text{C}$ , and (d) sample B hot pressed at  $1780^\circ\text{C}$ , 1 h.

is lower in C, due to the dissolution of  $\text{ZrO}_2$  in the liquid. Bearing also in mind that the content of Ce–N–apatite in C is high, it could be accepted that the composition of the glassy phase is richer in  $\text{ZrO}_2$  and poorer in  $\text{CeO}_2$  and  $\text{SiO}_2$ . It is also suggested by our results in Table 2, that the intergranular phase composition in sample B is different comparing to C. According to the data in Tables 2 and 4, glassy phase in B is rich in  $\text{CeO}_2$  and  $\text{SiO}_2$  with lower  $\text{ZrO}_2$  content.

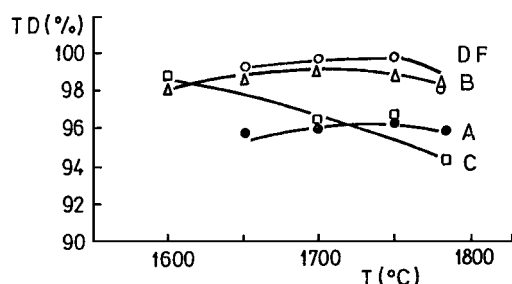


Fig. 4. Densification degree as a function of temperature: (A) 2.74 mass%  $\text{CeO}_2$ , (B) 4.80 mass%  $\text{CeO}_2$ , (C) 7.76 mass%  $\text{CeO}_2$ , (D) 1 mass%  $\text{CeO}_2$ , (F) 3 mass%  $\text{CeO}_2$ .

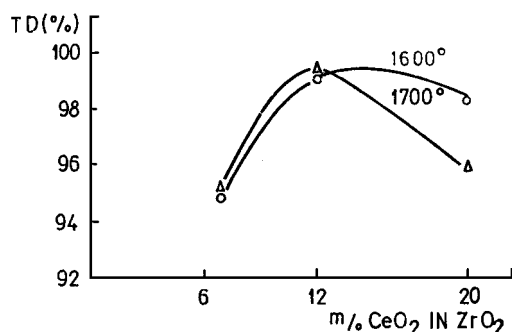


Fig. 5. Densification degree vs  $\text{CeO}_2$  content.

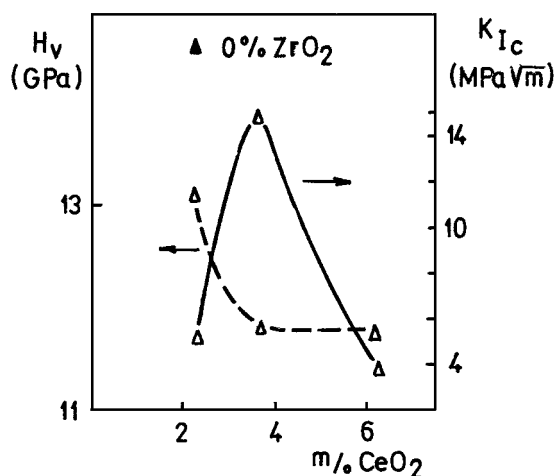
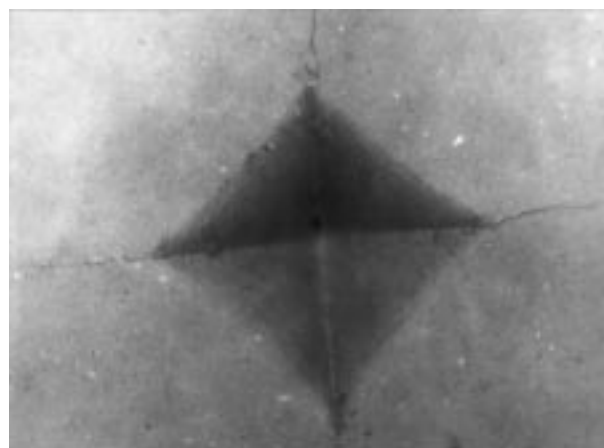


Fig. 6. Fracture toughness at 1700°C vs  $\text{CeO}_2$  content (mol%) in the composite (D, hardness of sample F).

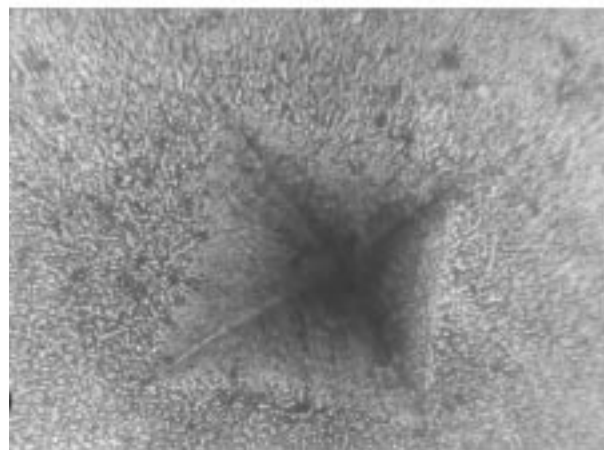
The amount of c + t  $\text{ZrO}_2$  in composition C (Table 5) is higher whereas the total content of crystalline zirconia is considerably lower, (Fig. 1). Being not-transformable it does not affect the fracture toughness in a positive sense. It was found that with composition C,  $K_{Ic}$  and  $H_v$  were independent of temperature in the range 1650–1780°C. This indicates that the toughening concept with zirconia is no longer valid in this composition. It is due to the large amount of liquid (Fig. 3(c)) in which zirconia is dissolved and on the other hand due to the low total crystalline zirconia content which is obviously too low to be effective in the toughening process.

Table 4  
Liquid forming oxides (mass%)

Mixture	$\text{SiO}_2$	$\text{ZrO}_2$	$\text{CeO}_2$
A	7.35	84.19	8.46
B	7.35	77.83	14.82
C	7.35	68.68	23.97
D	77.06	0	22.94
F	52.38	0	47.62



(a)



(b)

Fig. 7. Vickers indents: (a) 1650°C and (b) 1700°C, sample B ( $\times 560$ ).

Table 5  
ZrO<sub>2</sub>(c+t) calculated from XRD lines intensities (%)

Sample	1600°C	1650°C	1700°C	1750°C	1780°C
A	—	28	32	29	17
B	20	13	15	11	6
C	—	25	35	33	36

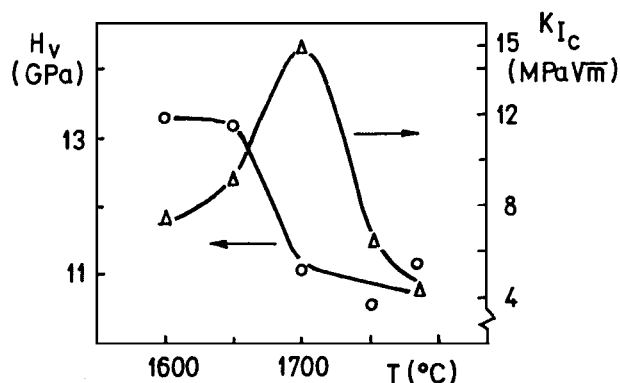


Fig. 8. Fracture toughness of composition B vs hot pressing temperature.

Fracture toughness of composition B, being most interesting, was studied as a function of hot pressing temperature (Fig. 8). The same type of curve as in Fig. 6 with a maximum at 1700°C, is obtained. With increasing hot pressing temperature the fracture toughness decreased. Vickers hardness sharply drops at 1700°C, and continues to decrease with further temperature increase.

According to the data in Table 2 it can be accepted that the composition of the liquid phase in B samples, coincides with an invariant point of the Si<sub>3</sub>N<sub>4</sub>–ZrO<sub>2</sub>–CeO<sub>2</sub>–SiO<sub>2</sub> system, the temperature of which is ~1700°C.

According to the data in Table 2 and in Figs. 1 and 7b, Ce–N–apatite is dispersed in the glassy phase in the form of fine particles.

It may be worth mentioning that composition B from Table 4 was hot pressed at 1700°C. The sample contained large amount of the liquid phase, but it is important to outline that the sample was very “soft”, indicating that the nature of the intergranular phase in the composite might be of great importance both for hardness and for fracture toughness.

Sample B contains a very high percentage of the monoclinic phase (Table 5). This proves that the majority of ZrO<sub>2</sub> remained unstabilized at room temperature which was also found for the hot pressed SiAlON/ZrO<sub>2</sub> composites containing 12 mol% CeO<sub>2</sub> [5]. According to our data Si ions in the ZrO<sub>2</sub> grains obviously do not act as c+t stabilizers. Some tetragonal content (Table 5), may be due to a low concentration of

Ce<sup>4+</sup> dissolved in zirconia (also found in Ref. 15). The majority of ZrO<sub>2</sub> t, however, is due to very fine zirconia particles which remained untransformed during cooling. High fraction of tetragonal zirconia, as mentioned, did transform during cooling from 1700°C, into monoclinic, creating high residual stresses which do not exceed critical tensile stress in the intergranular phase. Results in Fig. 6, showing the decrease of hardness and fracture toughness with increasing temperature above 1700°C, indirectly point to the fact that in sample B at 1700°C, stress induced microcracking is the dominant toughening mechanism. With further increase in temperature the amount of liquid phase increased (Fig. 3(b),(d)), its composition changed, and ZrO<sub>2</sub> grains got larger. During cooling tetragonal zirconia transformed into monoclinic thus creating high concentration of microcracks in the intergranular layer which are responsible for lower fracture toughness and the observed low hardness.

It was shown [16,17] that the composition of the intergranular phase plays an important role in fracture toughness. The same conclusion can be drawn from our results. Composition of the intergranular phase (rich in Ce ions) corresponding to an invariant point, is unique in creating conditions under which the stress induced microcracking is most efficient in toughening of composition B hot pressed at 1700°C.

#### 4. Conclusion

With increasing CeO<sub>2</sub> content density passes through a maximum. The optimum additive amount is found to be 3.81 mol% CeO<sub>2</sub>, at 1700°C. Nitrogen containing zirconia phases were not observed in the compositions studied, primarily because of the low liquid forming temperature (<1500°C). Within zirconia grains high concentration of Si and low concentration of Ce ions were found. Most of ZrO<sub>2</sub> is present in the form of the monoclinic phase. Fracture toughness is strongly influenced by both CeO<sub>2</sub> content and the hot pressing conditions. The intergranular phase in sample with 3.81 mol% CeO<sub>2</sub> is rich in ceria, at 1700°C. Its composition correspond to an invariant point in the Si<sub>3</sub>N<sub>4</sub>–ZrO<sub>2</sub>–CeO<sub>2</sub>–SiO<sub>2</sub> system. Stress induced microcracking is found to be the dominating toughening mechanism in samples having 3.81 mol% CeO<sub>2</sub> hot pressed at 1700°C in which K<sub>IC</sub> was cca 15 MPa m<sup>1/2</sup>.

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