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# Phase transformation and gas-solid reaction of Al<sub>2</sub>O<sub>3</sub> during high-energy ball milling in N<sub>2</sub> atmosphere

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#### Abstract

 $Al_2O_3$  powders were milled in  $N_2$  atmosphere using an attritor ball mill. The phase transformation and gas—solid reaction of  $Al_2O_3$  during high-energy ball milling in  $N_2$  atmosphere were investigated. It is found that the  $\gamma$ - $Al_2O_3$  transform to  $\alpha$ - $Al_2O_3$  as milling time increases. Milled for 20 h in  $N_2$  atmosphere,  $Al_2O_3$  becomes partially amorphous and the gas—solid reaction between  $Al_2O_3$  and  $N_2$  takes place. A new phase with cubic aluminum nitride (AlN) structure forms during milling which is different from normal hexagonal AlN produced by carbon thermal reduction processing. As milling energy increases, the amount of this new phase with cubic AlN structure increases. The amount of this new phase is as high as 72% when  $Al_2O_3$  is milled in  $N_2$  atmosphere at 650 rpm for 40 h.

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Keywords: Cubic AlN; High-energy ball milling; Phase transformation; Gas-solid reaction

### 1. Introduction

Aluminum nitride (AlN) is an important ceramic material because of its excellent properties. It demonstrated high thermal conductivity (theoretical thermal conductivity is 320 W/m K) low dielectric constant (about 8.8), thermal expansion coefficient matching silicon (293–773 K,  $4.8 \times 10^{-6}$  K<sup>-1</sup>) and good electrical insulation. It is thus expected to replace the others ceramic such as SiC, BeO and Al<sub>2</sub>O<sub>3</sub>, which are currently used as substrate materials because of their thermal properties [1]. There are many researches on the AlN sintering process [1–5], but the most critical factor in the manufacture of advanced ceramic materials is the synthesis of the starting powder. Though there are some new methods to produce ultra-fine AlN [1,6-8], the main process mostly used in industry is the carbothermal reduction. Using this method, the alumina should be heated over 1600 °C to react with carbon and nitrogen for relatively long times to form AlN. So, the cost of AlN is expensive. To decrease the cost of AlN synthesis, the reaction temperature and time of this carbothermal reduction should be decreased. One promising way is to enhance

Ball milling is an effective method for synthesizing active solids. The fine effect of ball milling has been studied widely. But there are few researches on the chemical active effect of mechanical milling [9,10]. Recently, the high-energy ball milling, i.e. the mechanical alloying which was first developed by Benjamin to produce ODS Ni-base superalloys, has been used as a special technology to synthesize new materials such as amorphous phase, nanocrystalline materials [11,12], and others. Although it is widely researched all over the word, most researches focus onto the systems in which the alloying or the phase transformation and/or the chemical reaction could occur during high-energy ball milling. There were a few researches on the activity of high-energy ball milling [13–15]. But the main attentions of these researches were put on the active effect on the sintering of ball-milled powders. As a new technology, the active process during the high-energy ball milling is fundamental for synthesizing material. The active process is also very important for us to understand the mechanism of mechanical active synthesizing new materials by high-energy ball milling. So, it is very necessary to study the active process of high-energy ball milling.

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the activity of  $Al_2O_3$  used in this method. Usually, the grain size of raw material  $Al_2O_3$  is in range of 5–100  $\mu m$  and its activity is low.

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During high-energy ball milling, not only the powders were fined but the complex structures such as micro-strain and amorphous phase, etc. were also formed in the powders. In this paper,  $Al_2O_3$  powders were milled in  $N_2$  atmosphere using an attritor ball mill. The phase transformation and gas–solid reaction of  $Al_2O_3$  during high-energy ball milling in  $N_2$  atmosphere were investigated.

### 2. Experiment details

The raw materials used in this experiment are commercial Al<sub>2</sub>O<sub>3</sub> powders. The characteristics of these powders are shown in Table 1. The powders were milled using an attritor ball mill in pure nitrogen atmosphere. Hardened chromium steel balls were used as grinding medium. The canister of the mill was cooled using circulation of water during milling. The speed of the drive shaft is 450/650 rpm and the ball to powder weight ratio is 20:1. After predetermined milling time, the milled powders were removed from the vial and their structure was analyzed using X-ray diffractometer (XRD) and transmission electron microscope (TEM).

To prevent contamination by Fe during ball milling, before formal experiment,  $Al_2O_3$  powders were milled for 30 h using this attritor and these powders were discarded, so that a thin adherent coating of  $Al_2O_3$  were formed on the internal surface of the container and also on the surface of grinding medium and the impellers.

The morphology of milled powder was analyzed using a JEM-200cx TEM and the selected area electron diffraction (SAED) of milled powder was also completed using this TEM.

The X-ray patterns of the milled powder were obtained via a D/max-B diffractometer using Cu K $\alpha$  radiation and a graphite secondary monochromater. The generator settings were 40 KV and 100 mA. The diffraction data were collected over  $2\theta$  range of 15– $110^{\circ}$  with a step width of 0.004 and a counting time of 4 s per step.

### 3. Results and discussion

### 3.1. Phase evolution of $Al_2O_3$ powders milling for short times

It is well known that, besides  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, there are still about 11 types of crystal structures for Al<sub>2</sub>O<sub>3</sub>, such as  $\beta$ -,  $\gamma$ -,  $\delta$ -,  $\zeta$ -,  $\xi$ -,  $\theta$ -,  $\eta$ -,  $\kappa$ -,  $\chi$ -,  $\lambda$ - and  $\rho$ -Al<sub>2</sub>O<sub>3</sub>, etc.  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is the final and

Table 1 Characteristics of commercial  $Al_2O_3$  powder

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Material	Al <sub>2</sub> O <sub>3</sub> powder
Granularity (µm)	75
Impurity (wt.%)	0.005
Sulfate	0.003
Chloride	0.01
Pb	0.005

Table 2 Crystal structure and activation of various Al<sub>2</sub>O<sub>3</sub> [16]

Phase	Crystal structure	Lattice	Mean crystallite size (μm)	Oxygen content after carbothermal reduction at 1500 °C/wt.%
$\theta$ -Al <sub>2</sub> O <sub>3</sub>	Monoclinic	C <sup>2</sup> /m	0.51	26.4
$\alpha$ -Al <sub>2</sub> O <sub>3</sub>	Rhombohedral	R3C	1.0	24.7
$\gamma$ -Al <sub>2</sub> O <sub>3</sub>	Cubic	Fd3m	0.005	1.53

steady phase at 1300 °C, all other phases could be considered as transition phases during the calcination stages of Al(OH)<sub>3</sub>. There are three important crystal structures for Al<sub>2</sub>O<sub>3</sub>, which are  $\theta$ -Al<sub>2</sub>O<sub>3</sub>,  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Their reaction activities are different as shown in Table 2. Fig. 1 is the XRD pattern of original commercial Al<sub>2</sub>O<sub>3</sub> powders and the powders milled at 450 rpm for different milling times. It indicated that the original Al<sub>2</sub>O<sub>3</sub> powders were mainly composed of γ-Al<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. There were a spot of Al(OH)<sub>3</sub> in these powders which remained during calcination. All of diffraction peaks of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> were narrow and those of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> were broad. This indicated the crystallite size of α-Al<sub>2</sub>O<sub>3</sub> was large and that of γ-Al<sub>2</sub>O<sub>3</sub> was small. This was consistent with the result of Tsuge's research [16]. He reports that different phases of Al<sub>2</sub>O<sub>3</sub> have different crystallite sizes. As the crystallite size of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> is smaller than 100 nm, the specific surface area of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> is very large and it has high reaction activity. This is in favor of the carbon thermal reduction processing. So, it was an aim to remain as much more γ-Al<sub>2</sub>O<sub>3</sub> as possible after ball milling.

It could be found in Fig. 1 that the transformation of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> took place. When milling time more than 2 h, the intensities of all peaks of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> decreased as milling time increased. The half-width of all peaks, not only that of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> but also  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, increased as milling prolonged. This indicated that the crystallite size of Al<sub>2</sub>O<sub>3</sub> powders was fined during ball milling. As shown in Fig. 2, after milling for 1 h, the amount of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> increased

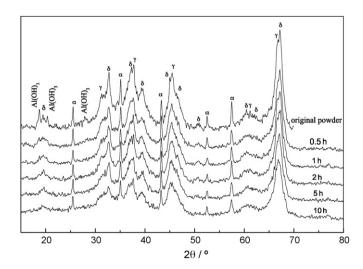


Fig. 1. XRD patterns of original Al<sub>2</sub>O<sub>3</sub> powder and powders milled for different times.

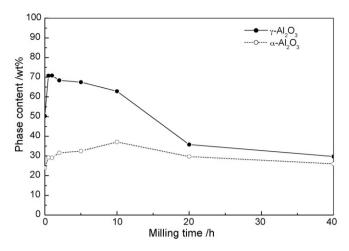


Fig. 2. Variation of the amount of different phases with various milling time.

obviously and the amount of  $\alpha\text{-}Al_2O_3$  increased slightly. This was due to the decomposition of  $Al(OH)_3$  remained in the original  $Al_2O_3$  powders during ball milling. After then, as milling time increased the amount of decreased obviously and correspondingly the amount of  $\alpha\text{-}Al_2O_3$  increased. Due to mechanical active the  $\gamma\text{-}Al_2O_3$  transformed to the  $\alpha\text{-}Al_2O_3$ . When milling time more than 10 h, a new phase with cubic AlN structure was formed. This would be discussed later.

## 3.2. The gas-solid reaction of $Al_2O_3$ with $N_2$ during ball milling

Fig. 3 is the XRD pattern of  $Al_2O_3$  powder milled at 450 rpm for 20 h. It is different from the Fig. 1 obviously. There were two important phenomena presented when milling time lasts for more than 10 h. One is that the  $Al_2O_3$  was partially amorphous. The background of the XRD pattern milled for 20 h was increased obviously compared to that of which milled for less than 10 h, especially when  $2\theta$  was between  $15^\circ$  and  $40^\circ$ , it was almost to form a broad hump. This is due to the amorphous  $Al_2O_3$ . Another important new phenomenon is the appearance of a new phase with cubic AlN structure formed in

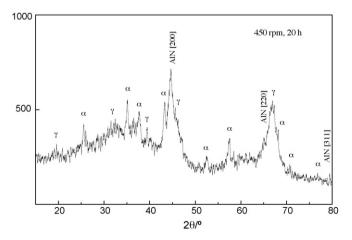


Fig. 3. XRD pattern of Al<sub>2</sub>O<sub>3</sub> powder milled at 450 rpm for 20 h.

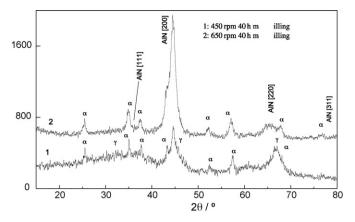


Fig. 4. XRD patterns of  $Al_2O_3$  powder milled at 450 and 650 rpm for 20 h.

these milled powder. During ball milling, the  $Al_2O_3$  was fined and the content of crystalline defects of  $Al_2O_3$  increased. All these were favor for the adsorption of  $N_2$  on the fined powders. Milled with enough energy, the bonding of Al–O could be ruptured and the N atom could react with Al, so that the new phase with cubic AlN structure could be formed during ball milling.

It could be expected that there would be an increasing content of this new phase (with cubic AlN structure) in the powder milled with higher milling energy. Fig. 4 shows the results for Al<sub>2</sub>O<sub>3</sub> milled for 40 h at 450 rpm and 650 rpm. Compared to Fig. 3, there is no obvious difference between the XRD patterns of powders milled for 20 h and 40 h at the same speed (450 rpm, which means the same milling energy). After prolonged milling times, the amount of this new phase did not increase obviously when milled at the same milling energy. The remaining Al<sub>2</sub>O<sub>3</sub> could not react with N<sub>2</sub> further at this milling energy. To promote the gas-solid reaction, the milling energy should be increased. As shown in Fig. 4, this was proved by the XRD pattern of powders milled at 650 rpm for 40 h. The amount of this new phase in these powders reached 72%, which is higher than that in the powder milled at 450 rpm for 40 h. This new phase formed was AlN with cubic structure. It could be identified in the XRD pattern. The XRD patterns of Al<sub>2</sub>O<sub>3</sub>

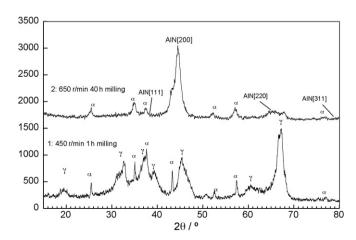
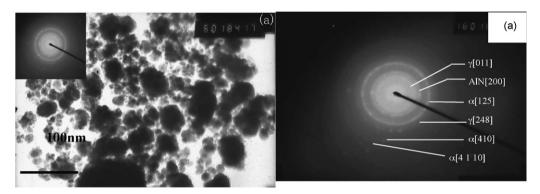


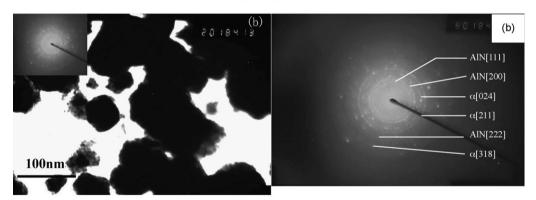
Fig. 5. XRD patterns of  $Al_2O_3$  powders milled at 450 rpm for 1 h and milled at 650 rpm for 40 h.

Table 3
Peak positions of powders milled at different condition contrasted with the standard XRD card

Peak positions of powder milled at 450 rpm for 1 h	$\gamma$ -Al <sub>2</sub> O <sub>3</sub> (XRD card no. 29-0063)	Peak positions of powder milled at 650 rpm for 40 h	Cubic AlN (XRD card no. 46-1200)
$2\theta/d$ (according to the descending in	ntensity of diffraction)		
67.260/1.3908	1.40 [440]	44.620/2.0291	2.0226 [200]
45.300/2.0002	1.98 [400]	65.204/1.4297	1.4300 [220]
37.660/2.3865	2.39 [311]	38.460/2.3387	2.3349 [111]
32.740/2.7331	2.80 [220]	None	0.9045 [420]
39.320/2.2895	2.28 [222]	None	1.1678 [222]
19.460/4.5577	4.53 [111]	78.310/1.2200	1.2195 [311]
60.620/1.5263	1.53 [511]		



(a) 450 rpm, 20h



(b) 650 rpm, 40h

Fig. 6. TEM image and SEAD of Al<sub>2</sub>O<sub>3</sub> powders after ball milling.

powder milled at 450 rpm for 1 h and at 650 rpm for 40 h are contrastively shown in Fig. 5 and the peak positions of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and cubic AlN are given in Table 3. The new phase formed in these powders is different from AlN synthesized by carbon thermal reduction, the crystalline structure of which is hexagonal. The exact reason for this phenomenon should be studied further more.

Fig. 6(a) shows the image and selected area electron diffraction of powders milled at 450 rpm for 20 h. The powder's size was about 30–50 nm. Selected area electron diffraction of powders showed that they were composed of a nanocrystalline new phase with cubic AlN structure and nanocrystalline  $Al_2O_3$  and amorphous, which is consistent with the result of XRD analysis. When milled at high speed and for longer times, the

amorphous  $Al_2O_3$  were recrystallized due to the energy import during ball milling, as shown in Fig. 6(b).

### 4. Conclusions

 $Al_2O_3$  powders were milled in  $N_2$  atmosphere using an attritor ball mill, and the phase transformation and gas–solid reaction of  $Al_2O_3$  during high-energy ball milling in  $N_2$  atmosphere were investigated. The main conclusions were:

(1)  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> transforms to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as milling time increase. This does not favor the decrease of the activation energy of the carbon thermal reduction, which is an industrial processing to produce AlN ceramics.

- (2) After milling for 20 h in N<sub>2</sub> atmosphere, Al<sub>2</sub>O<sub>3</sub> was partially amorphous and the gas-solid reaction between Al<sub>2</sub>O<sub>3</sub> and N<sub>2</sub> took place. A new phase with cubic AlN structure formed during milling, which is different from AlN produced by carbon thermal reduction, the crystalline structure of AlN synthesized by carbon thermal reduction processing being hexagonal.
- (3) As milling energy increases, the amount of cubic AlN increases. The amount of this new phase is as high as 72% when milling is operated in  $N_2$  atmosphere at 650 rpm for 40 h.

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