

# Energy transfer and up-conversion luminescence in $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped transparent glass ceramic containing $\text{YF}_3$ nano-crystals

Fangyi Weng, Daqin Chen, Yuansheng Wang<sup>\*</sup>, Yulong Yu, Ping Huang, Hang Lin

*State Key Laboratory of Structural Chemistry, Fujian Institute of Research on the Structure of Matter, Chinese Academy of Sciences, Graduate School of Chinese Academy of Sciences, Fuzhou, Fujian 350002, China*

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## Abstract

Transparent glass ceramics containing  $\text{YF}_3$  nano-crystals were fabricated by heat treatment of the  $\text{SiO}_2\text{--Al}_2\text{O}_3\text{--NaF--YF}_3\text{--LnF}_3$  ( $\text{Ln} = \text{Er}, \text{Yb}$ ) glasses. X-ray diffraction and transmission electron microscopy analyses evidenced the homogeneous distribution of spherical  $\text{YF}_3$  nano-crystals sized 25–30 nm among the glassy matrix. Energy dispersive X-ray spectroscopy measurement, combined with the Stark splitting of the absorption and emission bands, verified the incorporation of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  ions into  $\text{YF}_3$  nano-crystals. The infrared to visible up-conversion emission of  $\text{Er}^{3+}$  intensified with the increasing of  $\text{Yb}^{3+}$  concentration, ascribing to the increase of the efficiency of non-radiative energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$  which exceeded 45% for the  $0.5\text{Er}^{3+}/1.0\text{Yb}^{3+}$  co-doped sample. The up-conversion luminescence at 545 and 660 nm were affirmed coming from two-photon excitation process.

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## 1. Introduction

In recent years, the rare earth (RE) doped optical materials have been extensively investigated due to their potential applications in many fields, such as color display, optical data storage, sensor, laser and optical amplifier for communication [1–5]. Among the trivalent RE ions,  $\text{Er}^{3+}$  attracted much attention for its useful up-conversion characteristic [6,7]. However, the low absorption cross-section of  $\text{Er}^{3+}$  around 980 nm limits its further commercial applications.  $\text{Yb}^{3+}$ , having a high and broad absorption band matching well with the emission wavelength of the InGaAs laser diode, was reported as an excellent sensitizer for the  $\text{Er}^{3+}$ -activated optical materials [5,8].

It is well known that, as the host for the luminescent RE, fluoride is preferable over oxide mainly for the lower phonon energy to avoid non-radiative transition of RE ions [9]. However, the synthesis of fluoride crystals is complicated, and the stability and fiberizability as practical materials still remain

problematic. A good solution would be the oxyfluoride glass ceramic with fluoride nano-crystallites embedding among an oxide glassy matrix of high chemical and mechanical stabilities [10–13]. The advantages of this material are that the RE ions would be incorporated selectively into the fluoride crystalline phase during the course of controlled crystallization, and good transparency is maintained due to much smaller of the crystal size than the wavelength of the visible light [14]. In this work, the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped glass ceramics containing  $\text{YF}_3$  nano-crystals were fabricated, and the partition, the infrared and up-conversion fluorescence, as well as the energy transfer processes, of the RE ions were studied.

## 2. Experimental

The  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped precursor glasses were prepared with the following composition (in mol%):  $44\text{SiO}_2\text{--}28\text{Al}_2\text{O}_3\text{--}17\text{NaF--}11\text{YF}_3\text{--}x\text{ErF}_3\text{--}y\text{YbF}_3$  ( $x = 0, 0.1, 0.5$ ;  $y = 0, 0.1, 0.2, 0.4, 0.8, 1.0$ , respectively). All the raw materials are of analytical reagent grade. For each batch, about 15 g of starting materials were fully mixed, and melted in a covered corundum crucible in air atmosphere at 1450 for 1 h, and then cast into a brass mold, followed by annealing to relinquish the inner stress.

<sup>\*</sup> Corresponding author. Tel.: +86 591 8370 5402; fax: +86 591 8370 5402.

E-mail address: [yswang@fjirsm.ac.cn](mailto:yswang@fjirsm.ac.cn) (Y. Wang).

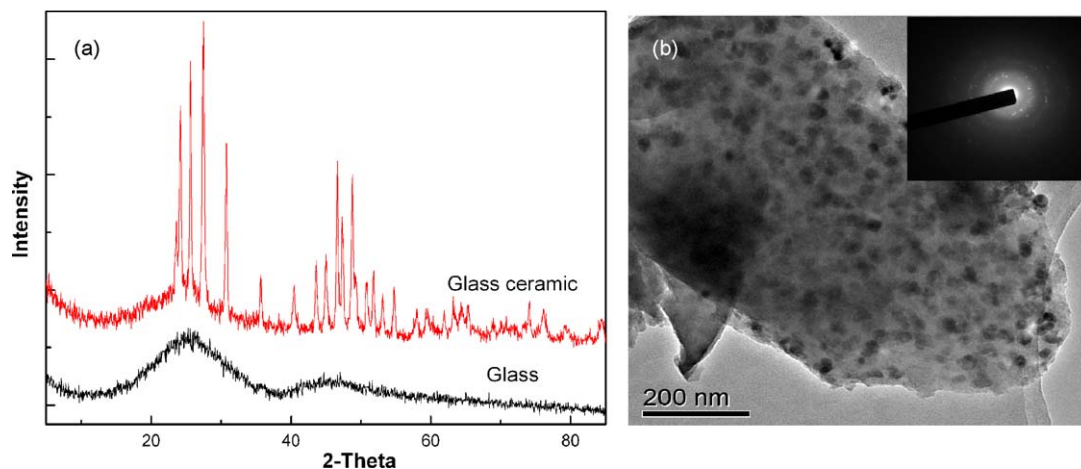


Fig. 1. XRD patterns of the precursor glass and glass ceramic (a), and TEM micrograph of the glass ceramic (b).

The precursor glasses thus obtained were cut into squared samples with thickness of 3 mm, which were heat-treated subsequently at 670 for 2 h to induce crystallization.

To characterize the crystallization phase, X-ray diffraction (XRD, DMAX2500 RIGAKU with Cu K $\alpha$  radiation, the resolution is 0.01°) and transmission electron microscopy (TEM, JEM-2010 equipped with an energy dispersive X-ray spectroscopy system) observation were carried out. The absorption spectra were recorded on a spectrophotometer (Lambda900, PerkinElmer) with a spectral range from 200 to 2000 nm and a resolution of 1.0 nm. By using an InP/InGaAs photomultiplier tubes (PMT) detector (R5509), the infrared luminescence signals through the emission monochromator (M300) were measured. The fluorescence decay curves were recorded with a NIR PMT (R5509) excited by a microsecond flash lamp ( $\mu$ F900). The visible up-conversion luminescence excited with a 30 mW diode laser at 980 nm was measured with a PMT detector (R928). All the measurements were carried out at room temperature.

### 3. Results and discussion

#### 3.1. Microstructure

XRD pattern shown in Fig. 1(a) evidences the amorphous structure of the precursor glass. For the pattern taken from the heat-treated sample, there are several sharp diffraction peaks which can be readily indexed by the orthorhombic YF<sub>3</sub> (PDF 32-1431), indicating the precipitation of YF<sub>3</sub> in the sample. The mean size of YF<sub>3</sub> crystallites was estimated to be about 28 nm by Scherrer's equation. The TEM micrograph of the glass ceramic demonstrates that YF<sub>3</sub> nano-crystals sized about 30 nm are distributed homogeneously among the glassy matrix, as shown in Fig. 1(b), in consistent with the XRD results.

The absorption spectra of the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass and glass ceramic in the 350–1650 nm region are shown in Fig. 2. Due to the strong absorption of the host matrix in the ultraviolet range, the absorption band at wavelength shorter than 350 nm could not be distinguished. The absorption bands are assigned to the transitions from Er<sup>3+</sup> <sup>4</sup>I<sub>15/2</sub> ground state to

the excited states. The broad absorption band in the range of 870–1100 nm corresponds to the superposition of Er<sup>3+</sup>: <sup>4</sup>I<sub>15/2</sub>  $\rightarrow$  <sup>4</sup>I<sub>11/2</sub> and Yb<sup>3+</sup>: <sup>2</sup>F<sub>7/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>5/2</sub> transitions. Compared with those of the precursor glass, the absorption coefficients for the transitions of Er<sup>3+</sup> and Yb<sup>3+</sup> from the ground states to the excitation states (e.g., Er<sup>3+</sup>: <sup>4</sup>I<sub>15/2</sub>  $\rightarrow$  <sup>4</sup>I<sub>11/2</sub> and Yb<sup>3+</sup>: <sup>2</sup>F<sub>7/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>5/2</sub>) of the glass ceramic decrease remarkably, and the absorption bands around 980 and 1520 nm become structured, suggesting the incorporation of Er<sup>3+</sup> and Yb<sup>3+</sup> ions into YF<sub>3</sub> nano-crystals after crystallization.

In order to detect directly the distribution of RE ions in the glass ceramic, energy dispersive X-ray spectroscopy (EDS) analyses with nano-sized probe on the glassy matrix and an individual nano-crystal in the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass ceramic were conducted (the diameter of the electron probe was adjusted to 5–10 nm. Considering the possible expansion of the electron beam when it penetrated through the detecting area, the special resolution of the EDS analysis is deemed to be in the range of 10–20 nm). The spectrum from the glassy matrix,

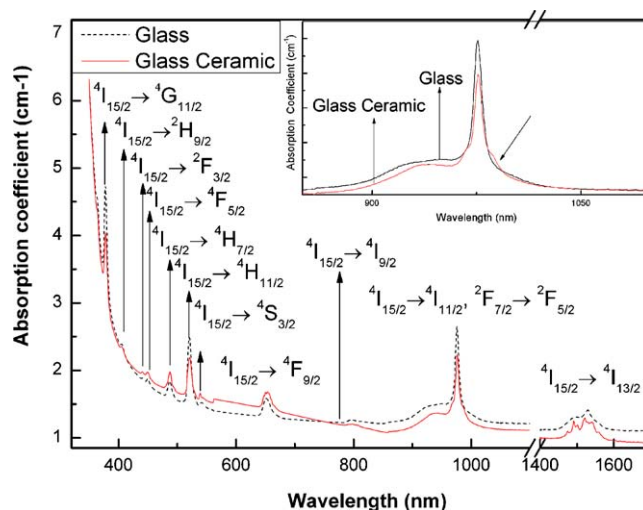


Fig. 2. Absorption spectra from the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass and glass ceramic; the inset shows the absorption band in the range of 870–1100 nm corresponding to the superposition of Er<sup>3+</sup>: <sup>4</sup>I<sub>15/2</sub>  $\rightarrow$  <sup>4</sup>I<sub>11/2</sub> and Yb<sup>3+</sup>: <sup>2</sup>F<sub>7/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>5/2</sub> transitions.

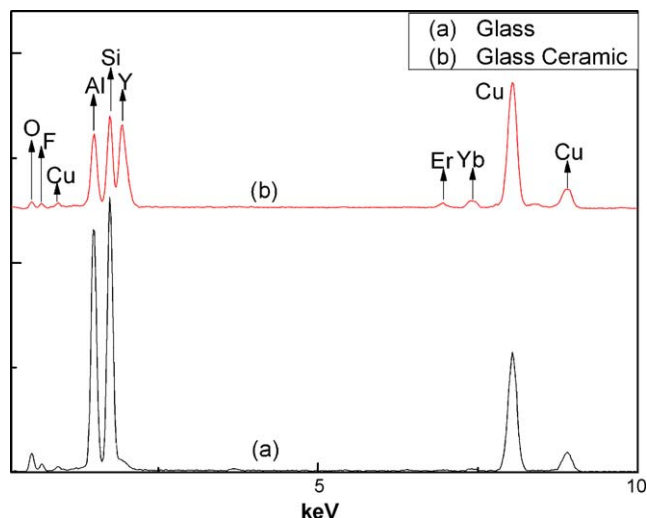


Fig. 3. EDS spectra from the glassy matrix (a), and an individual YF<sub>3</sub> nano-crystal (b), in the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass ceramic; the presence of Cu peaks is due to the copper grid used to support TEM specimen.

presented in Fig. 3(a), shows high content of Si, O, Al, and small amount of residual Y, F and Yb, while Er content is under the detecting limit. As a comparison, the spectrum from an individual nano-crystal, shown in Fig. 3(b), exhibits strong signals of Y, F, Er, and Yb (the Al, Si and O peaks are attributed to the matrix surrounding the nano-crystal). These results further confirm that Er<sup>3+</sup> and Yb<sup>3+</sup> ions are mainly concentrated in YF<sub>3</sub> nano-crystals.

### 3.2. Fluorescence properties

For the Er<sup>3+</sup> single-doped or Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped precursor glasses, no any up-conversion signals were detected. The up-conversion emission spectra of the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped glass ceramics with various Yb<sup>3+</sup> concentrations under 980 nm excitation, detected with the same instrumental condition, are presented in Fig. 4. There are two up-conversion emission

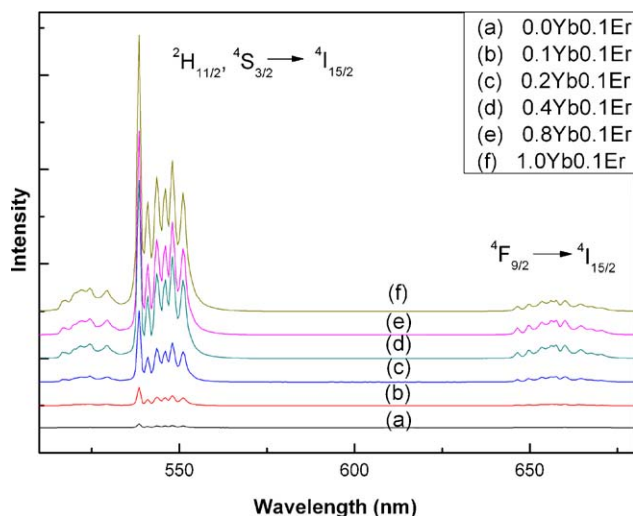


Fig. 4. Up-conversion emission spectra of the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped glass ceramics.

bands centered at 545 and 660 nm, corresponding to the  $^2H_{11/2}$ ,  $^4S_{3/2} \rightarrow ^4I_{15/2}$  and  $^4F_{9/2} \rightarrow ^4I_{15/2}$  transitions of Er<sup>3+</sup>, respectively, attributing to the energy transfer from Yb<sup>3+</sup> to Er<sup>3+</sup>. The green emission is dominant in all the co-doped glass ceramics. Significantly, with increasing of Yb<sup>3+</sup> concentration, both green and red emissions intensify monotonously, indicating the enhanced probability of energy transfer from Yb<sup>3+</sup> to Er<sup>3+</sup>.

To investigate the excitation mechanism for the population of Er<sup>3+</sup>:  $^2H_{11/2}$ ,  $^4S_{3/2}$  and  $^4F_{9/2}$  levels after infrared excitation, we measured the power dependence of the up-conversion luminescence intensity. For an unsaturated up-conversion, the emission intensity is proportional to the  $n$ th power of the excitation intensity, and the integer  $n$  is the number of photons absorbed per up-converted photon emitted [15]. A plot of logarithm of the up-conversion intensity versus logarithm of the infrared excitation intensity should yield a straight line with slope  $n$ . Fig. 5 shows such a plot for the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass ceramic with  $n = 1.74$ , 1.87 and 1.86 for Er<sup>3+</sup>:  $^2H_{11/2} \rightarrow ^4I_{15/2}$ ,  $^4S_{3/2} \rightarrow ^4I_{15/2}$  and  $^4F_{9/2} \rightarrow ^4I_{15/2}$  transitions, respectively, of 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass ceramic. The values of  $n$  for all the transitions approach 2, indicating the two-photon mechanism for the up-conversion processes to populate Er<sup>3+</sup>:  $^2H_{11/2}$ ,  $^4S_{3/2}$  and  $^4F_{9/2}$  levels. The proposed mechanism for the infrared excitation and up-conversion emission are demonstrated in the schematic energy level diagram of Er<sup>3+</sup> and Yb<sup>3+</sup> ions, as shown in Fig. 6. In the first non-radiative energy transfer step, an Yb<sup>3+</sup> ion in the  $^2F_{7/2}$  ground state absorbs a 980 nm photon and to excite an electron to the  $^2F_{5/2}$  state. When it drops back to the ground state, energy is transferred to an adjacent Er<sup>3+</sup>:  $^4I_{11/2}$  state. The second near infrared photon absorbed by the Yb<sup>3+</sup> ion then pumps the Er<sup>3+</sup> ion to a higher energy state of  $^4F_{7/2}$ , also through energy transfer from Yb<sup>3+</sup> to Er<sup>3+</sup>. Subsequently, a fast non-radiative relaxation from  $^4F_{7/2}$  to  $^2H_{11/2}$  and  $^4S_{3/2}$  occurs and Er<sup>3+</sup> ions then relax to the ground state by emitting the green luminescence. The red emission centered at 660 nm, shown in Fig. 4, ascribing to Er<sup>3+</sup>:  $^4F_{9/2} \rightarrow ^4I_{15/2}$  radiative transition is very weak. The Er<sup>3+</sup>:  $^4F_{9/2}$  level is populated by the non-radiative decay from the  $^2H_{11/2}$  and  $^4S_{3/2}$  levels.

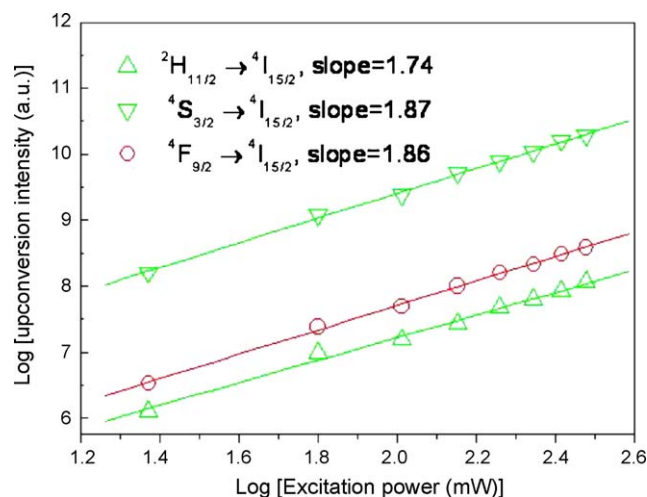


Fig. 5. Log-log plots of the up-conversion emission intensity vs. the excitation power for the 0.1Er<sup>3+</sup>/1.0Yb<sup>3+</sup> co-doped glass ceramic.

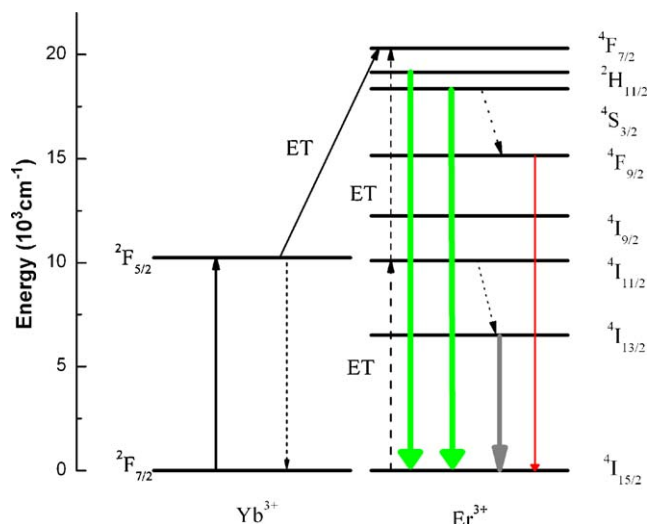


Fig. 6. Schematic energy level diagram of  $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$ , showing the possible mechanism for the up-conversion emission of  $\text{Er}^{3+}$ .

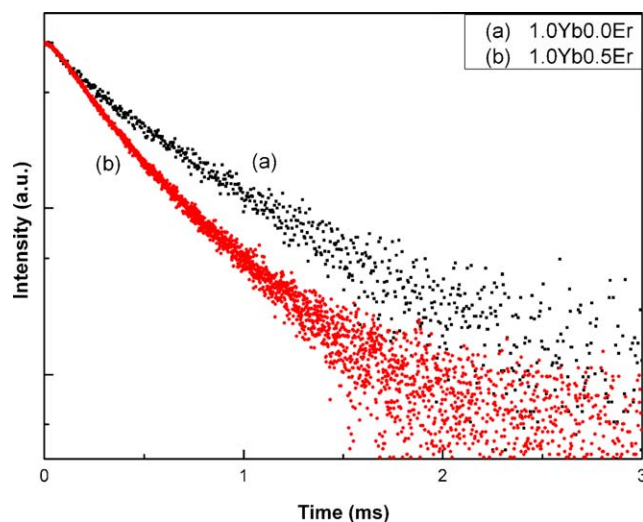


Fig. 8. Fluorescence decay curves of the  $^2\text{F}_{5/2}$  level of  $\text{Yb}^{3+}$  for the  $1.0\text{Yb}^{3+}$  single-doped and  $1.0 \text{Yb}^{3+}/0.5\text{Er}^{3+}$  co-doped glass ceramics under 980 nm excitation.

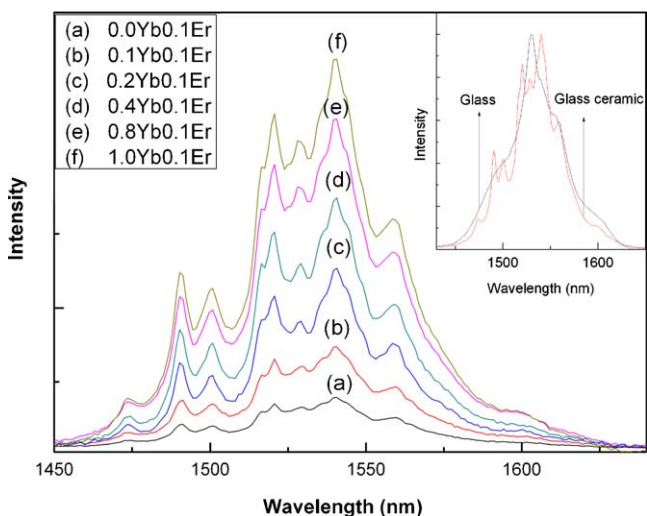


Fig. 7. Near-infrared fluorescence spectra of the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped glass ceramics with various  $\text{Yb}^{3+}$  concentration under 980 nm excitation; the inset shows line-shapes of  $1.53 \mu\text{m}$  emission spectra of the precursor glass and  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped glass ceramic.

Fig. 7 shows the near-infrared emission spectra around  $1.53 \mu\text{m}$  for  $\text{Er}^{3+}$ :  $^4\text{I}_{13/2} \rightarrow ^4\text{I}_{15/2}$  transition under 980 nm excitation in the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped glass ceramics with various  $\text{Yb}^{3+}$  concentration. Compared with that of the precursor glass, remarkable Stark splits in the emission band of the glass ceramic, as shown in the inset of Fig. 7. Significantly, the  $1.53 \mu\text{m}$  emission intensity enhances with increasing of the  $\text{Yb}^{3+}$  concentration, implying that the electron population in  $\text{Er}^{3+}$ :  $^4\text{I}_{13/2}$  level, which is achieved by the non-radiative relaxation from  $^4\text{I}_{11/2}$  level, is largely increased due to energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$ .

The  $\text{Yb}^{3+} \rightarrow \text{Er}^{3+}$  energy transfer efficiency was determined from the decay curves of  $\text{Yb}^{3+}$ :  $^2\text{F}_{5/2}$  level for the glass ceramics. For the  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped samples, the efficiency of energy transfer can be evaluated by the

following equation:

$$\eta = \frac{1 - \tau_{\text{Yb}/\text{Er}}}{\tau_{\text{Yb}}} \quad (1)$$

where  $\tau_{\text{Yb}}$  and  $\tau_{\text{Yb}/\text{Er}}$  are the lifetimes of  $\text{Yb}^{3+}$  ions in  $\text{Yb}^{3+}$  single-doped and  $\text{Yb}^{3+}/\text{Er}^{3+}$  co-doped samples, respectively. Fig. 8 shows the decay curves of  $\text{Yb}^{3+}$  ions in the glass ceramics, which exhibit the non-exponential behaviors. The  $\text{Yb}^{3+}$  ions incorporated in  $\text{YF}_3$  nano-crystals are located close to each other, which favors the inter-ionic interactions, and thus leads to efficient non-radiative energy transfer for  $\text{Yb}^{3+}-\text{Yb}^{3+}$  pairs, resulting in the non-exponential decay of the  $\text{Yb}^{3+}$  emission [16]. Remarkably, the decay of  $\text{Yb}^{3+}$  in the  $\text{Yb}^{3+}/\text{Er}^{3+}$  co-doped sample is faster than that in the  $\text{Yb}^{3+}$  single-doped one, which verifies the non-radiative characteristic of the energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$ . The transfer efficiency obtained from Eq. (1) as a function of  $\text{Yb}^{3+}$  concentration for the glass ceramics with  $\text{Er}^{3+}$  concentration of 0.1 or

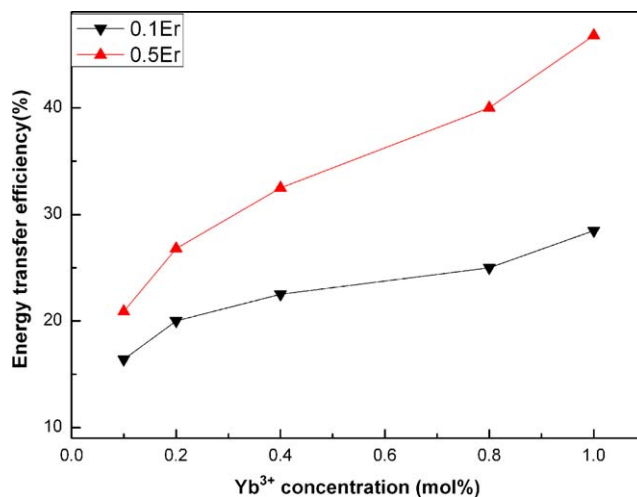


Fig. 9.  $\text{Yb}^{3+} \rightarrow \text{Er}^{3+}$  energy transfer efficiency for the glass ceramics doped with different  $\text{Yb}^{3+}$  concentration.



0.5 mol%, respectively, is presented in Fig. 9. The energy transfer efficiency increases with increasing of  $\text{Yb}^{3+}$  concentration, owing to the enhanced inter-ionic interactions between  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  ions incorporation in  $\text{YF}_3$  nano-crystals. The efficiency exceeds 45% for the sample of  $0.5\text{Er}^{3+}/1.0\text{Yb}^{3+}$  co-doped glass ceramic.

#### 4. Conclusions

The structure and luminescence of the  $\text{Er}^{3+}$  single-doped and  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped transparent glass ceramics containing  $\text{YF}_3$  nano-crystals were investigated. The rare earth ions were confirmed incorporating into the nano-crystals. Compared with  $\text{Er}^{3+}$  single-doped glass ceramic, the emission intensities of  $1.53\text{ }\mu\text{m}$  emission and the infrared to visible up-conversion fluorescence of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped samples under  $980\text{ nm}$  excitation were obviously enhanced due to energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$ . The quadratic pump power dependence of the up-conversion green and red emission intensities indicates the two-photon excitation process. The energy transfer efficiency increased with increasing the  $\text{Yb}^{3+}$  concentration.

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