

# Representation of thermal conductivity of solid material with particulate inclusion

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## Abstract

This paper reviewed the previously proposed models of thermal conductivity ( $\kappa$ ) for a series and parallel flow of energy input in laminated composites. These two models were coupled to derive the thermal conductivity ( $\kappa_a$ ) of material with simple cubic particulate inclusion. The derived equation depends upon  $\kappa_1$  of inclusion,  $\kappa_2$  of a continuous phase and volume fraction of inclusion. The size and shape factors of inclusion are cancelled during the derivation of  $\kappa_a$ . The newly constructed  $\kappa_a$  equation explains well the measured  $\kappa_a$  for AlN particle-dispersed SiO<sub>2</sub> system. © 2009 Elsevier Ltd and Techna Group S.r.l. All rights reserved.

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## 1. Introduction

Thermal conductivity is an important property of material, which is used to design a structure of assembly of functional parts or to estimate a temperature gradient in the material at a given energy flux. Fortunately many thermal conductivities of metal and ceramics are available in Chemical Handbook [1] or Metal Handbook [2]. In a previous paper [3], a wide variety of thermal conductivity ( $\kappa$ ) of metal and ceramics is discussed with a harmonic oscillator model of lattice vibration. The theoretical approach succeeded in representing  $\kappa$  with atomic weight, Young's modulus ( $E$ ) and density ( $\rho$ ). The  $E$  and  $\rho$  values are closely related to the nature of chemical bond (metallic, covalent and ionic bonds). A very good agreement is observed between the measured and calculated  $\kappa$  values in the wide range from 1 to 2310 J/smK. The next interesting observation is the theoretical expression of thermal conductivity for the material with inclusion (second phase or pore). In this paper, two simple models with plates of different  $\kappa$  values are coupled to derive  $\kappa_a$  for the material with particulate inclusion. The derived  $\kappa_a$  can be expressed with three parameters of  $\kappa_1$  for inclusion,  $\kappa_2$  for a continuous phase and volume fraction ( $V$ ) of inclusion. The dependence of  $\kappa_a$  on volume fraction of

inclusion is compared with the experimentally measured  $\kappa_a$  for AlN–SiO<sub>2</sub> system [4,5]. The thermal diffusibility ( $\alpha$ , m<sup>2</sup>) of AlN–SiO<sub>2</sub> hot-pressed at 1400–1600 °C in a N<sub>2</sub> atmosphere was measured at room temperature at Dow Chemical International Ltd., Research & Development Laboratory, Midland, USA, and converted to a thermal conductivity using the specific heat of 0.736 (pure AlN)–0.841 (pure silica) J/gK [4].

## 2. Steady-state analysis of thermal conductivity

The equation of heat conduction along  $x$  direction in Fig. 1 is given by Eq. (1),

$$\frac{\partial T}{\partial t} = \alpha \frac{\partial^2 T}{\partial x^2} \quad (1)$$

where  $T$  is the temperature and  $\alpha$  the thermal diffusibility (1/m<sup>2</sup>). The steady-state condition is  $\partial T/\partial t = 0$  and the temperature gradient along  $x$  direction ( $\partial T/\partial x$ ) becomes a constant value. On the other hand, thermal conductivity ( $\kappa$ ) is defined by Eq. (2),

$$I = \kappa \frac{dT}{dx} \quad (2)$$

where  $I$  is the flux of energy (J/sm<sup>2</sup>) input in a material with thickness  $L$  in Fig. 1. Since the temperature gradient is constant at the steady-state condition, the  $I/\kappa$  ratio becomes a constant

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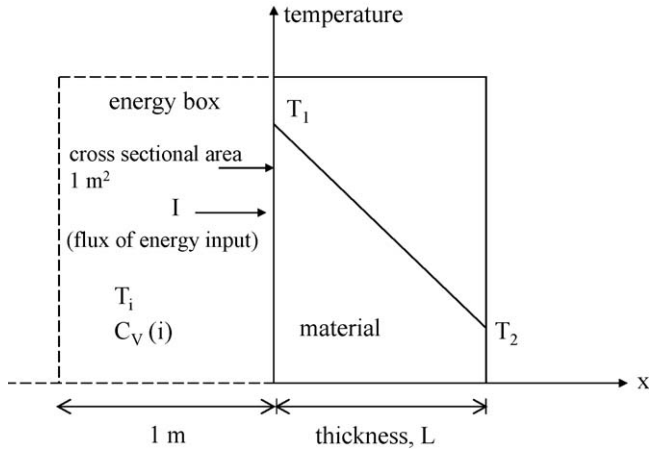


Fig. 1. Temperature difference in the solid material with thickness  $L$  at a given flux of energy.  $T_i$  and  $C_V(i)$  represent the temperature and specific heat of material in the energy box with  $1 \text{ m}^3$  volume at the left side of solid material, respectively.

value. The  $\kappa$  value is related to a specific heat ( $C_V$ ,  $\text{J/m}^3\text{K}$ ) under a constant volume and  $\alpha$  by Eq. (3).

$$\kappa = \frac{1}{3}C_V\alpha \quad (3)$$

$C_V$  and  $\alpha$  are independently measured and the product of both the parameters provides  $\kappa$ . The temperature difference ( $\Delta T = T_1 - T_2$ ) is discussed at the steady-state condition. (i) For a constant  $I$  value

$$\Delta T = T_1 - T_2 = \frac{IL}{\kappa} \quad (4)$$

The temperature difference becomes small at a high  $\kappa$  at a given thickness of material. Since  $T_2$  is usually higher than room temperature ( $T_0$ ), the following relation is derived.

$$T_1 \geq T_0 + \frac{IL}{\kappa} \quad (5)$$

$T_1$  becomes lower at a higher  $\kappa$ . (ii) For a constant  $T_1$  from Eq. (5), the maximum flux of energy ( $I_{\max}$ ) conveyed through the solid material is limited by Eq. (6) at a given temperature difference ( $T_1 - T_0$ ).

$$I_{\max} = \frac{\kappa(T_1 - T_0)}{L} \quad (6)$$

When the energy ( $I$ ) input is smaller than  $I_{\max}$ , all the energy is conveyed through the material. However, when  $I$  is larger than  $I_{\max}$ , the energy corresponding to the difference of both the  $I$  values ( $\Delta I = I - I_{\max}$ ) remains in the energy box in Fig. 1. A cubic box with  $1 \text{ m}^2$  cross sectional area and  $1 \text{ m}$  thickness is assumed as an energy box. The energy produced per  $\text{m}^2$  of solid material ( $H$ ,  $\text{J/m}^2$ ) during  $t$  seconds is given by  $H = It$ . The energy stored in the energy box is given by Eq. (7).

$$\Delta H = t(I - I_{\max}) = t\Delta I \quad (7)$$

This energy enhances the temperature of the energy box as presented by Eq. (8),

$$\Delta H = \int_{T_1}^{T_i} C_V(i) dT \cong C_V(i)(T_i - T_1) \quad (8)$$

Where  $C_V(i)$  is the specific heat of the material in the energy box and treated as a constant value in Eq. (8). The combination of Eqs. (7) and (8) gives the time dependence of  $T_i$  (temperature of energy box) at a constant  $T_1$  (Eq. (9)).

$$T_i = T_1 + \frac{\Delta It}{C_V(i)} \quad (9)$$

When  $C_V(i)$  is large, the increasing rate of  $T_i$  is small.

### 3. Thermal conductivity of laminated composite

#### 3.1. Series flow of energy

Fig. 2 shows the laminated composite where a flux of energy ( $I$ ) is input. At the steady-state condition ( $I = I_1 = I_2$ ), the following equation is derived,

$$I = \kappa_1 \frac{T_1 - T_x}{L_1} = \kappa_2 \frac{T_x - T_2}{L_2} \quad (10)$$

where  $T_x$  is the temperature at the interface between layers 1 and 2. Eq. (11) gives  $T_x$  by solving Eq. (10),

$$T_x = \frac{(\kappa_1/L_1)T_1 + (\kappa_2/L_2)T_2}{(\kappa_1/L_1) + (\kappa_2/L_2)} = \frac{C_1T_1 + C_2T_2}{C_t} \quad (11)$$

where  $C_1$ ,  $C_2$  and  $C_t$  represent  $\kappa_1/L_1$ ,  $\kappa_2/L_2$  and  $(\kappa_1/L_1) + (\kappa_2/L_2)$ , respectively.

Substitution of Eq. (11) for Eq. (10) results in Eq. (12).

$$I = I_1 = I_2 = \frac{C_1C_2}{C_t}(T_1 - T_2) \quad (12)$$

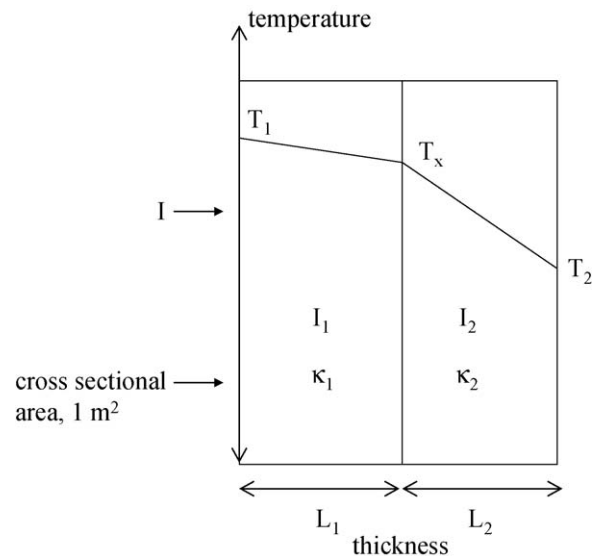


Fig. 2. Laminated composite consisting of two layers with different thermal conductivities and thickness.

The apparent thermal conductivity of the laminated composite ( $\kappa_C$ ) is given by Eq. (13) and equal to Eq. (12).

$$I = \kappa_C \frac{T_1 - T_2}{L_1 + L_2} = \frac{C_1 C_2}{C_t} (T_1 - T_2) \quad (13)$$

As a result,  $\kappa_C$  is expressed by Eq. (14),

$$\kappa_C = \frac{C_1 C_2}{C_t} (L_1 + L_2) = \frac{\kappa_1 \kappa_2}{\kappa_1 l_2 + \kappa_2 l_1} \quad (14)$$

where  $l_1$  and  $l_2$  represent  $L_1/(L_1 + L_2)$  and  $L_2/(L_1 + L_2)$ , respectively. For the condition of  $\kappa_1/L_1 \gg \kappa_2/L_2$ ,  $\kappa_C$  is approximated to be Eq. (15).

$$\kappa_C \cong \frac{\kappa_2}{l_2} \quad (15)$$

The  $\kappa_C$  is dominated by the lower  $\kappa_2$  value. When the number of layer is increased as seen in Fig. 3, the flux of energy is expressed by Eq. (16),

$$\begin{aligned} I &= \frac{1}{2}(I_1 + I_4) = \frac{1}{2}(I_2 + I_3) = \frac{1}{2} \frac{C_1 C_2}{C_t} (T_1 - T_5) \\ &= \kappa_C \frac{T_1 - T_5}{2(L_1 + L_2)} \end{aligned} \quad (16)$$

The derived  $\kappa_C$  is completely same as Eq. (14) and is not influenced by the number of layers.

### 3.2. Parallel flow of energy

Fig. 4 shows the parallel flow of energy toward two layers with  $S_1$  and  $S_2$  of cross sectional area and thickness  $L$ . The temperature  $T_3$  at  $x = L$  is fixed for both the layers. The energy input in layers 1 and 2 is expressed by Eqs. (17) and (18) using  $I$  (J/sm<sup>2</sup>), respectively.

$$\frac{IS_1}{S_1} = I = \kappa_1 \frac{T_1 - T_3}{L} \quad (17)$$

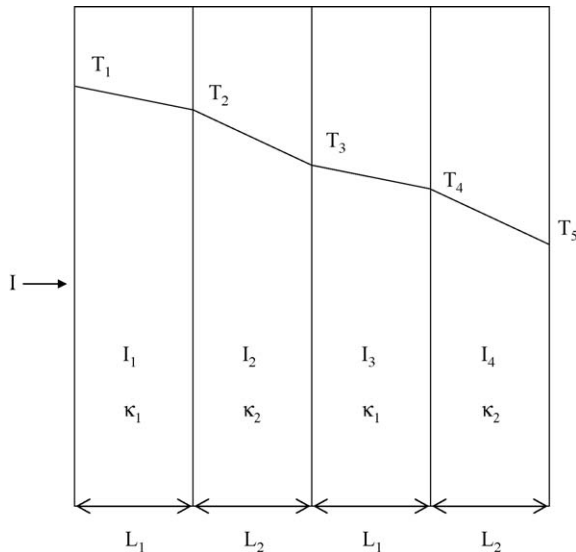


Fig. 3. Temperature difference in laminated composite consisting of four layers.

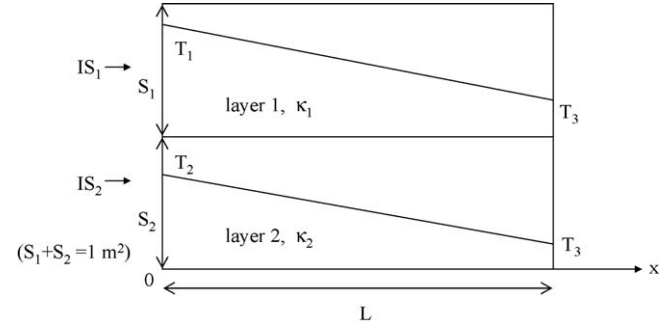


Fig. 4. Parallel flow of energy toward two layers with  $S_1$  and  $S_2$  of cross sectional area and thickness  $L$ .

$$\frac{IS_2}{S_2} = I = \kappa_2 \frac{T_2 - T_3}{L} \quad (18)$$

When  $\kappa_1$  is higher than  $\kappa_2$ ,  $T_2$  becomes higher than  $T_1$ . This condition leads to the flux of energy from layer 2 to layer 1. After several seconds, the steady-state flow of energy provides the constant temperatures of  $T_h$  (high temperature) and  $T_l$  (low temperature) at  $x = 0$  and  $L$ , respectively, for both the layers. The energy input to two layers ( $S_1 + S_2 = 1$  m<sup>2</sup>) during  $t$  seconds after the achievement of steady-state is expressed by Eq. (19).

$$H = It = t(S_1 + S_2)I = ItS_1 + ItS_2 \quad (19)$$

On the other hand,  $ItS_1$  and  $ItS_2$  are given by Eqs. (20) and (21), respectively.

$$ItS_1 = \left( \kappa_1 \frac{T_h - T_l}{L} \right) t S_1 \quad (20)$$

$$ItS_2 = \left( \kappa_2 \frac{T_h - T_l}{L} \right) t S_2 \quad (21)$$

Summation of Eqs. (20) and (21) gives Eq. (22) for the laminated composites with an apparent thermal conductivity  $\kappa_C$ .

$$\begin{aligned} It(S_1 + S_2) &= It = \left( \frac{T_h - T_l}{L} \right) t (\kappa_1 S_1 + \kappa_2 S_2) \\ &= \left( \frac{T_h - T_l}{L} \right) t \kappa_C \end{aligned} \quad (22)$$

Therefore  $\kappa_C$  for the parallel flow of energy is represented by Eq. (23).

$$\kappa_C = \kappa_1 S_1 + \kappa_2 S_2 = \kappa_1 S_1 + \kappa_2 (1 - S_1) = \kappa_2 + (\kappa_1 - \kappa_2) S_1 \quad (23)$$

The  $\kappa_C$  in Eq. (23) changes linearly with increasing  $S_1$ .

### 4. Thermal conduction model of material with inclusion

In chapter 3, we discussed two types of thermal conductivity of laminated composite for series and parallel flow of energy. These two models are effectively coupled to derive  $\kappa_a$  of

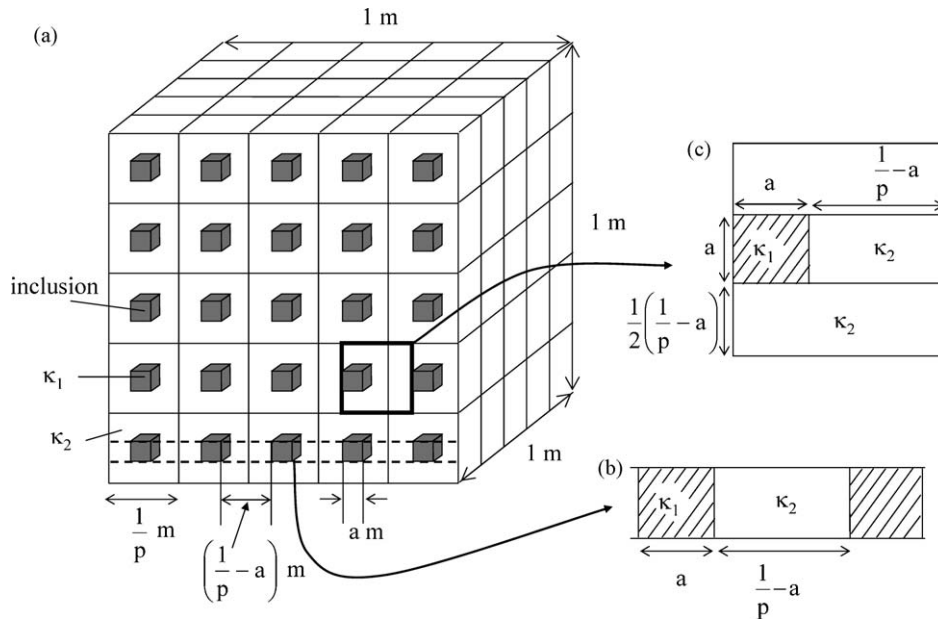


Fig. 5. A model structure of material with simple cubic inclusion with length  $a$ . The geometrical features are shown in Fig. 5 (b) and (c).

material with particulate inclusion. Fig. 5 shows a simple cubic inclusion model with  $a$  m length in one cubic box with length  $1/p$  m. The number ( $n$ ) and volume fraction ( $V$ ) of cubic inclusion in  $1\text{ m}^3$  matrix box are related by Eq. (24),

$$V = a^3 n \quad (24)$$

The number ( $p$ ) of inclusion along one direction of cubic matrix is equal to  $n^{1/3}$  and the distance between two inclusion is given by  $(1/p - a)$ . The structure surrounded by dotted lines (Fig. 5 (b)) is same as the structure shown in Fig. 2. The  $L_1$  and  $L_2$  in Fig. 2 are changed to  $a$  and  $(1/p - a)$ , respectively. The apparent thermal conductivity ( $\kappa_{CP}$ ) for the structure of Fig. 5 (b) is derived from Eq. (14),

$$\kappa_{CP} = \kappa_1 \kappa_2 \frac{1}{C_t} \left( \frac{1}{a} \right) \left( \frac{1}{(1/p) - a} \right) \left( \frac{1}{p} \right) \quad (25)$$

the  $p$  value is given by Eq. (26) from Eq. (24).

$$p = \sqrt[3]{n} = \frac{V^{1/3}}{a} \quad (26)$$

Substitution of Eq. (26) for Eq. (25) provides Eq. (27).

$$\kappa_{CP} = \frac{\kappa_1 \kappa_2}{C_t} \frac{1}{a} \frac{1}{1 - V^{1/3}} \quad (27)$$

The structure of Fig. 5 (b), whose  $\kappa_{CP}$  is expressed by Eq. (27), is sandwiched by two layers of a continuous matrix phase with a thermal conductivity  $\kappa_2$ . The geometrical lengths are shown in Fig. 5 (c). The structure of Fig. 5 (c) is basically same as the structure of Fig. 4. The layer 1 in Fig. 4 corresponds to the structure of Fig. 5 (b). That is, it is possible to understand the structure (c) with the model for the parallel flow of energy as shown in Fig. 4. The cross sectional area of matrix ( $S_{20}$ )

surrounding one particulate inclusion is given by Eq. (28).

$$S_{20} = \left( \frac{1}{p} \right)^2 - a^2 = \left( \frac{a}{V^{1/3}} \right)^2 - a^2 = a^2 \left( \frac{1}{V^{2/3}} - 1 \right) \quad (28)$$

The number of inclusion per  $1\text{ m}^2$  is equal to  $n^{2/3}$  and  $S_2$  per  $\text{m}^2$  is given by Eq. (29).

$$S_2 = n^{2/3} a^2 \left( \frac{1}{V^{2/3}} - 1 \right) \quad (29)$$

On the other hand the cross sectional area of particulate inclusion per  $\text{m}^2$  is given by Eq. (30).

$$S_1 = n^{2/3} a^2 \quad (30)$$

Substitution of Eq. (26) for Eqs. (29) and (30) results in Eqs. (31) and (32), respectively.

$$S_2 = 1 - V^{2/3} \quad (31)$$

$$S_1 = V^{2/3} \quad (32)$$

The  $S_1$  and  $S_2$  correspond to  $S_1$  and  $S_2$  in Eq. (23), respectively. Similarly  $\kappa_1$  in Eq. (23) corresponds to  $\kappa_{CP}$  in Eq. (27). The above relations are substituted for Eq. (23). Finally, the apparent thermal conductivity ( $\kappa_a$ ) for the material with particulate inclusion is represented by Eq. (33).

$$\kappa_a = \kappa_2 - \kappa_2 V^{2/3} \left[ 1 - \frac{1}{1 - V^{1/3} (1 - (\kappa_2/\kappa_1))} \right] \quad (33)$$

The  $\kappa_a$  is related to  $\kappa_2$  of a continuous phase,  $\kappa_1$  of inclusion and  $V$  (volume fraction) of inclusion. The interesting feature is that no size effect of inclusion is seen in Eq. (33) and only  $V$  affects  $\kappa_a$ . Eq. (33) is compared with Eq. (34) which is derived based

Table 1

Characteristics and thermal conductivity of AlN–SiO<sub>2</sub> system hot-pressed at 1400–1600 °C.

Sample no.	Composition (vol. %)		Density (g/cm <sup>3</sup> ) (relative density, %)		Phases <sup>*</sup>	Thermal conductivity (J/smK)
	AlN	SiO <sub>2</sub>				
1	23	77	2.20	85.2	C,A	2.49
2	23	77	2.46	96.4	C,A	7.10
3	40	60	2.24	82.9	C, A, SA	5.68
4	51	49	2.40	85.6	A, SA	8.15
5	69	31	2.70	91.0	A, SA	22.06
6	80	20	2.36	88.0	A, SA	28.34
7	90	10	2.71	74.9	A, SA	24.14
8	90	10	3.10	96.9	A, SA	29.27
9	95	5	3.03	94.3	A, SA	30.31
10	100	0	2.73	83.8	A, SA	37.79
11	100	0	3.20	98.2	A, SA	73.04

\* C: SiO<sub>2</sub> (cristobalite), A: AlN, and SA: SiO<sub>2</sub>·7AlN.

on the similarity to electrical conductivity [6].

$$\kappa_a = \kappa_2 \frac{1 + 2V[(1 - (\kappa_2/\kappa_1))/((2\kappa_2/\kappa_1) + 1)]}{1 - V[(1 - (\kappa_2/\kappa_1))/((2\kappa_2/\kappa_1) + 1)]} \quad (34)$$

Eq. (34) leads to  $\kappa_a = \kappa_2$  at  $V = 0$  but  $\kappa_a$  becomes  $3 \kappa_1 (\kappa_1 + \kappa_2)/2 (2 \kappa_2 + \kappa_1)$  at  $V = 1$ . On the other hand,  $\kappa_a$  of Eq. (33) results in  $\kappa_a = \kappa_2$  at  $V = 0$  and  $\kappa_a = \kappa_1$  at  $V = 1$ . The thermal conductivity of the AlN–SiO<sub>2</sub> system was analyzed by Eqs. (33) and (34) in the next section.

## 5. Thermal conductivity of the AlN–SiO<sub>2</sub> system

AlN is known as an insulator of high thermal conductivity (320 J/smK) [4]. Dense AlN is produced by the liquid phase sintering with rare-earth oxide at a high temperature of 1800 °C. The  $\kappa$  of sintered AlN depends upon the oxygen content of starting powder and second phase at grain boundaries. On the contrary the  $\kappa$  of SiO<sub>2</sub> is as low as 2 J/smK [1]. In a previous paper [4], an AlN–SiO<sub>2</sub> composite powder was prepared by mixing an AlN powder (median size 1.4  $\mu$ m) with tetraethyl orthosilicate. The precursor was hydrolyzed with moisture in air for 2 weeks–3 months to achieve uniform dispersion of AlN particles in SiO<sub>2</sub> matrix. The produced composite powder was hot-pressed without any sintering additives in a N<sub>2</sub> atmosphere at 1400–1600 °C. Table 1 shows the properties of the AlN–SiO<sub>2</sub> system hot-pressed at 1400–1600 °C. Relative density was in the range from 75% to 98% and increased when the hot-pressing temperature was increased. In samples 1, 2 and 3, AlN particles of 1–10  $\mu$ m range were dispersed in a continuous cristobalite matrix. In samples 3–11, a part of AlN particles reacted with SiO<sub>2</sub> to form SiO<sub>2</sub>·7AlN. This new phase (SiO<sub>2</sub>·7AlN) was observed along the continuous grain boundaries between AlN grains. That is, the continuous phase changed from cristobalite to SiO<sub>2</sub>·7AlN with increasing AlN composition.

Fig. 6 shows the AlN composition dependence of  $\kappa_a$  measured for the AlN–SiO<sub>2</sub> system. The measured  $\kappa_a$  increased nonlinearly with an increase in AlN content. The decrease in

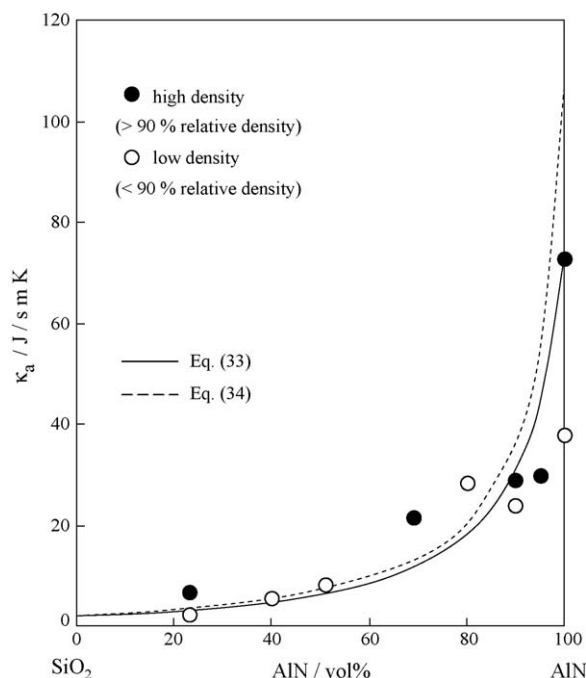


Fig. 6. Comparison between measured and calculated thermal conductivities for the AlN–SiO<sub>2</sub> system hot-pressed.

porosity leads to the increased  $\kappa_a$  at a similar AlN composition. The solid and dotted lines in Fig. 6 represent Eq. (33) and (34) with  $\kappa_1$  (AlN) = 73.04 J/smK and  $\kappa_2$  (SiO<sub>2</sub>) = 2.00 J/smK, respectively. Both the lines express remarkably the tendency of  $\kappa_a$  measured. This good agreement may imply the similar  $\kappa$  values for SiO<sub>2</sub> and SiO<sub>2</sub>·7AlN as a continuous phase. The difference of  $\kappa_a$  between Eqs. (33) and (34) becomes significant in the compositions near  $V \approx 1$  as explained before. The above comparison between measured and calculated  $\kappa_a$  values concludes that the conduction model proposed in this paper (Eq. (33)), is effective to represent the measured thermal conductivity of material with particulate inclusion.

## 6. Conclusions

Thermal conductivity ( $\kappa_C$ ) of the laminated composite consisting of two layers with different conductivities was analyzed for the series and parallel flow of energy. These two structure models and the derived  $\kappa_C$  equations are coupled to analyze the thermal conductivity ( $\kappa_a$ ) of solid material with simple cubic particulate inclusion. The newly derived  $\kappa_a$  equation can be represented by  $\kappa_1$  of inclusion,  $\kappa_2$  of a continuous phase and volume fraction of inclusion, and was compared with the measured  $\kappa_a$  for the AlN particles-dispersed SiO<sub>2</sub> system. A very good agreement is shown for the measured and calculated  $\kappa_a$  values.

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