

## Short communication

# $(h k i l)$ -specific G.P. zones in Sn- versus Zr-doped $\alpha$ -Al<sub>2</sub>O<sub>3</sub> by solution annealing

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Received 13 May 2009; received in revised form 19 May 2009; accepted 5 June 2009

Available online 7 July 2009

## Abstract

The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder doped with Sn or Zr via a solid-state sintering route was annealed for a comparative study of Guinier-Preston (G.P.) zone by electron microscopy. The 2 at.% Sn-doped  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> showed G.P. zones plates with coherent habit planes parallel to (0 0 0 1) and ( $\bar{1}$  2  $\bar{1}$  0). The 0.6 at.% Zr-doped  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> showed similar shaped G.P. zones but with more corrugated (0 0 0 1) plane. The habit plane selection can be rationalized by anisotropic solute expulsion along relatively close packed (0 0 0 1) and ( $\bar{1}$  2  $\bar{1}$  0) planes under the influence of a precondensation effect analogous to that reported for a crystallization process. Solute-specific volume and charge-compensating defects account for the coherency strain difference of the G.P. zones in  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>.

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Keywords: A. Firing; B. Defects; B. Impurities; D. Al<sub>2</sub>O<sub>3</sub>

## 1. Introduction

The effect of rather limited solid solution and exsolution of the aliovalent cation Sn<sup>4+</sup> versus Zr<sup>4+</sup> on the formation of metastable precipitates and planar defects in Al<sub>2</sub>O<sub>3</sub> lattice has been investigated.

The rock-salt type metal monoxide doped with aliovalent cation, such as Zr-doped Ni<sub>1-x</sub>O [1], showed paracrystalline distribution of defect clusters when solution annealed. The TiO<sub>2</sub> rutile doped with Zr<sup>4+</sup> [2] or Zn<sup>2+</sup> [3], however, showed (1 0 0) and (0 1 0)-specific Guinier-Preston (G.P.) zone plates besides other planar defects and commensurate superstructures when solution annealed. In the case of Zr<sup>4+</sup>-doped TiO<sub>2</sub>, incommensurate as well as commensurate superstructures with triple (1 0 1) and (1 1 1) periodicity also occurred as metastable intermediates [2]. As for the case of Zn<sup>2+</sup>-doped TiO<sub>2</sub>, a rather limited solid solution and exsolution of the aliovalent cation Zn<sup>2+</sup> typically caused the formation of crystallographic shear (CS) [3]. The resultant CS slip planes turned out to be similar to those of undoped Ti<sub>n</sub>O<sub>2n-1</sub>, thus shedding light on the concentration of charge-compensating oxygen vacancies in Zn<sup>2+</sup>-doped TiO<sub>2</sub> [3].

Here we report  $(h k i l)$ -specific G.P. zones in Zr<sup>4+</sup>- versus Sn<sup>4+</sup>-doped  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> with a corundum-type structure (space group  $R\bar{3}c$ ) and a rather limited solid solubility [4,5].

## 2. Experimental

The Al<sub>2</sub>O<sub>3</sub> powder (Cerac 99.9% <147  $\mu$ m in diameter, denoted as A) mixed with SnO<sub>2</sub> (Alfa Aesar, 99.9%, <10  $\mu$ m, denoted as S) or ZrO<sub>2</sub> (Aldrich 99.95%, <3  $\mu$ m, denoted as Z) in a fixed mole ratio of 8:92, denoted as A8S92 and A8Z92, respectively, were dry-pressed at 650 MPa to form pellets 10 mm in diameter and 2 mm in thickness. The A8S92 pellets were sintered at 1500 °C for 24 h followed by air quenching and then annealing at 1000 or 1100 °C for up to 140 h in air. The relatively inert A8Z92 pellets were sintered at a higher temperature (1600 °C) for 24 h and then annealed at 1200 °C for up to 72 h in air. After firing, the minor Al<sub>2</sub>O<sub>3</sub> component in the fired A8S92 and A8Z92 specimens occurred as inter- and intra-granular particles in a host of SnO<sub>2</sub> and ZrO<sub>2</sub> of rutile- and fluorite-type derived structures, respectively. The Al<sub>2</sub>O<sub>3</sub>-rich compositions A92S8 and A92Z8 turned out to have inter- and intra-granular particles of SnO<sub>2</sub> and ZrO<sub>2</sub>, respectively in a host of Al<sub>2</sub>O<sub>3</sub> grains which showed the same  $(h k i l)$ -specific G.P. zones when solution annealed under the

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same conditions as for the  $\text{Al}_2\text{O}_3$ -poor samples [6,7]. In other words, the metastable precipitate and defect formation of the Sn- and Zr-doped  $\alpha\text{-Al}_2\text{O}_3$  upon solution annealing is not affected by it being a major or minor phase in the sintered composite.

The d-spacings measured from X-ray diffraction (XRD, Cu  $\text{K}\alpha$ , 40 kV, 30 mA, Siemens D5000) traces [6,7] were used for least-squares refinement of the lattice parameters of the predominant  $\alpha\text{-Al}_2\text{O}_3$  doped with Sn or Zr in the A92S8 and A92Z8 specimens [6,7]. Thin sections of the fired samples were Ar-ion milled to electron transparency for transmission electron microscopic (TEM, JEOL3010, 300 kV) observations coupled with energy dispersive X-ray (EDX) analysis.

### 3. Results

XRD and scanning electron microscopic observations [6,7] indicated that the as-sintered A8S92 and A8Z92 samples have intra- and inter-granular  $\alpha\text{-Al}_2\text{O}_3$  particles which were confined in the host  $\text{SnO}_2$  and  $\text{ZrO}_2$  grains of rutile- and fluorite-type derived structures, respectively.

TEM observations indicated that the Sn-doped  $\text{Al}_2\text{O}_3$  particles, either as-sintered [6] or further annealed, contain disk-like G.P. zones with diffraction and strain contrast. Such G.P. zones have habit planes parallel to  $(0\ 0\ 0\ 1)$  and  $(\bar{1}\ 2\ \bar{1}\ 0)$  as indicated by bright field image (BFI) and corresponding selected area electron diffraction (SAED) pattern of a representative particle in  $(1\ 0\ \bar{1}\ 0)$  zone axis (Fig. 1a and b) and another particle in  $(\bar{1}\ 2\ \bar{1}\ 0)$  zone axis (Fig. 1c and d). Lattice image (Fig. 1e) and 2-D Fourier transform (Fig. 1f) further indicated that the lattice planes  $(1\ 0\ \bar{1}\ 2)$ ,  $(1\ 0\ \bar{1}\ 4)$  and  $(0\ 0\ 0\ 6)$  are coherent with respect to the  $(0\ 0\ 0\ 1)$  habit plane when viewed edge on. (The disk-like G.P. zones are also coherent with respect to the lattice planes  $(\bar{1}\ 2\ \bar{1}\ 0)$ ,  $(0\ 1\ \bar{1}\ 2)$  and  $(1\ \bar{1}\ 0\ 2)$  when viewed in  $(2\ 0\ \bar{2}\ \bar{1})$  zone axis [6].) Point-count EDX analysis (Fig. 1g) indicated that the Sn-doped  $\alpha\text{-Al}_2\text{O}_3$  contains ca. 2 at.% Sn regarding the solute expulsion to form the G.P. zones.

TEM observations of the as-sintered A8Z92 specimen indicated that Zr-doped  $\alpha\text{-Al}_2\text{O}_3$  particle (Fig. 2) also contains disk-like G.P. zones with diffraction and strain contrast. The BFI and SAED pattern (Figs. 2a and b, respectively) of such a particle in  $(2\ \bar{2}\ 0\ 1)$  zone axis indicated that the G.P. zones have a specific habit plane parallel to  $(1\ 1\ \bar{2}\ 0)$  edge on. This accounts for the slight streaking of the diffraction spots along the  $(1\ 1\ \bar{2}\ 0)$  plane normal. Lattice image (Fig. 2c) and 2-D Fourier transform (Fig. 2d) further showed that the  $(0\ 1\ \bar{1}\ 2)$  and  $(1\ 0\ \bar{1}\ 2)$  planes are slightly distorted across the G.P. zones. Point-count EDX analysis of this particle (Fig. 2e) indicated that the Zr content is ca. 0.6 at.%. The G.P. zones in the annealed A8Z92 samples showed more significant diffraction contrast in the BFI (Fig. 3a) and  $(0\ 0\ 0\ 1)$ -specific diffraction streaking in the SAED pattern (Fig. 3b) when viewed in the  $(1\ 0\ \bar{1}\ 0)$  zone axis. Lattice image (Fig. 3c) and 2-D Fourier transform (Fig. 3d) showed the  $(0\ 0\ 0\ 1)$  planes are extensively distorted across the G.P. zones in this case.

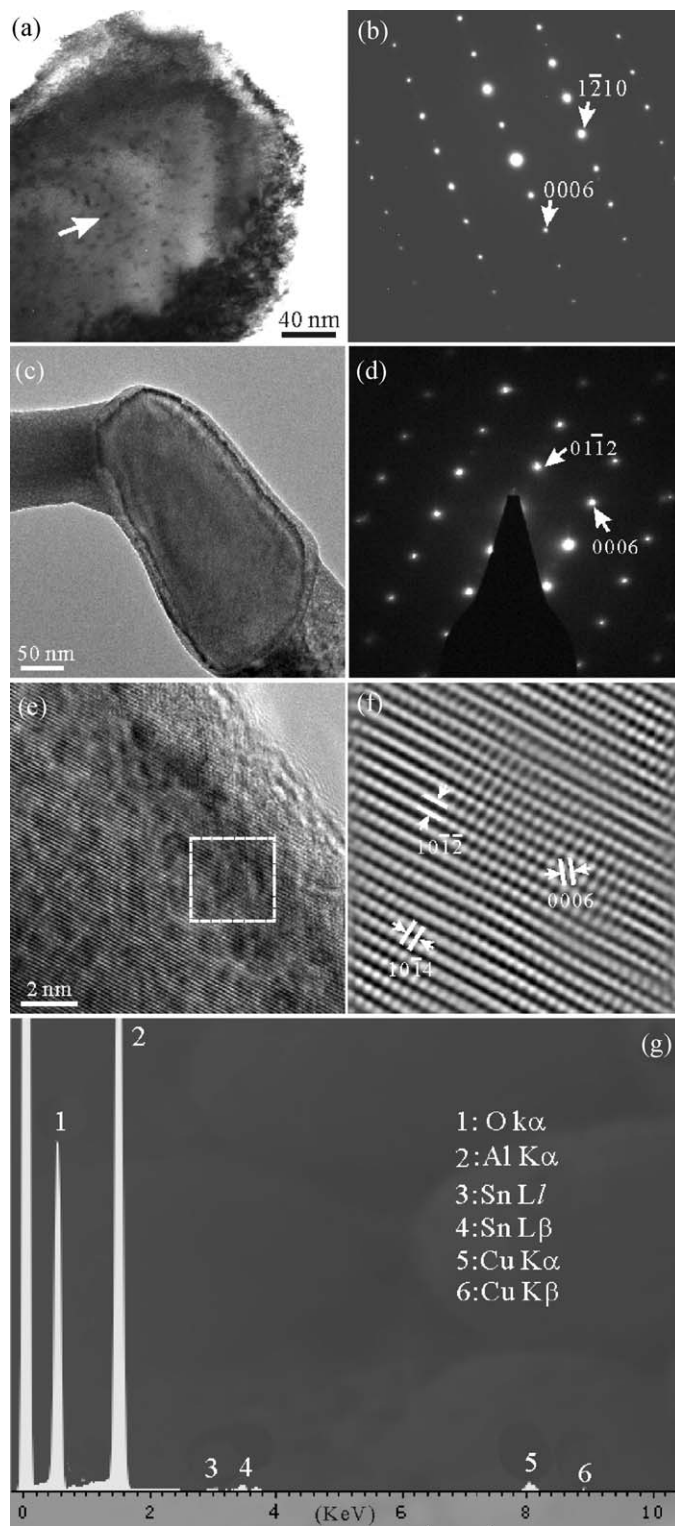


Fig. 1. TEM BFI and corresponding SAED pattern of the G.P. zone plates (arrow) in Sn-doped  $\alpha\text{-Al}_2\text{O}_3$  particle: (a) and (b) in  $(1\ 0\ \bar{1}\ 0)$  zone axis, (c) and (d) in  $(\bar{1}\ 2\ \bar{1}\ 0)$  zone axis for the A8S92 sample sintered at  $1500\ ^\circ\text{C}$  followed by annealing at  $1100\ ^\circ\text{C}$  and  $1000\ ^\circ\text{C}$  for 72 h, respectively. (g) EDX spectrum of Sn-doped  $\alpha\text{-Al}_2\text{O}_3$  particle in the A8S92 sample annealed at  $1000\ ^\circ\text{C}$  for 140 h.

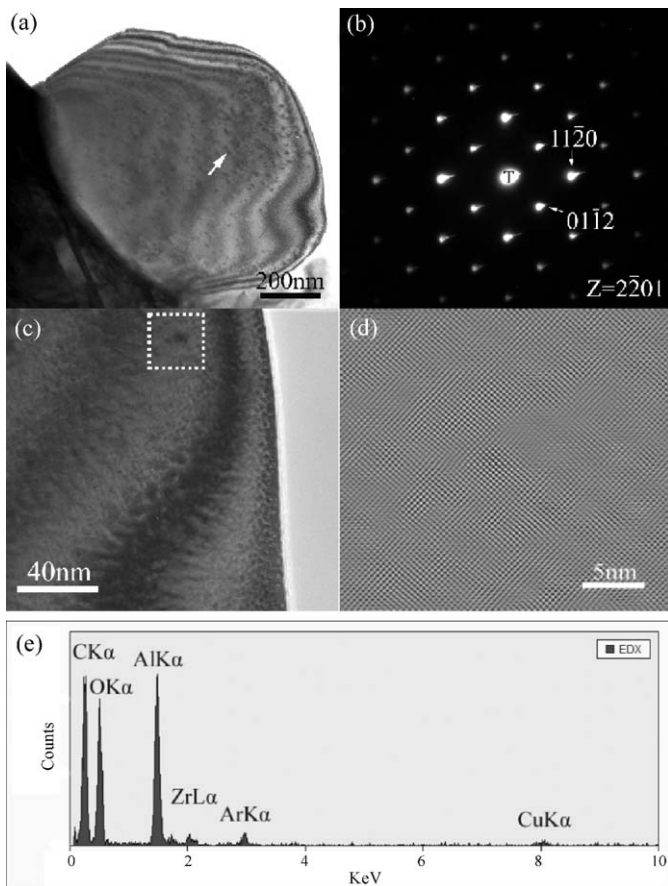


Fig. 2. TEM (a) BFI and (b) SAED pattern of the G.P. zone plates (arrow) in Zr-doped  $\alpha$ - $\text{Al}_2\text{O}_3$  particle ( $Z = (2\bar{2}01)$ ) of the A8Z92 sample sintered at  $1600^\circ\text{C}$  for 24 h. (c) Lattice image from local area of (a). (d) 2-D Fourier transform of the square region in (c) showing slightly distorted  $(01\bar{1}2)$  and  $(10\bar{1}\bar{2})$  planes across the G.P. zones. (e) EDX spectrum of Zr-doped  $\alpha$ - $\text{Al}_2\text{O}_3$  particle in the as-sintered A8Z92 sample.

## 4. Discussion

### 4.1. Anisotropic growth of G.P. zones

The corundum-type structure has the cation-filled octahedral sites assembled as specific periodic bond chains (PBCs) in terms of strong bonding [8]. The habit plane selection of the G.P. zones in this structure, as characterized by this study, can be rationalized by preferential solute expulsion along the most close packed plane, i.e.  $(0001)$ , rather than  $(01\bar{1}2)$ ,  $(11\bar{2}0)$  and  $(\bar{1}104)$ , which are F, S and K faces with 2, 1 and 0 PBCs, respectively (cf. [9]). The  $(11\bar{2}0)$  could be relatively favorable than  $(01\bar{1}2)$  under the solute influence, i.e. analogous to the precondensation effect for the unusual surface formation during the crystal growth [10]. Anisotropic expulsion of solute was also observed for the spinodal decomposition in  $\text{TiO}_2$ – $\text{SnO}_2$  binary [11] although to a much larger extent than the present case of G.P. zone precipitation.

### 4.2. Defect chemistry due to $\text{Sn}^{4+}$ and $\text{Zr}^{4+}$ dopant

The  $\text{Al}^{3+}$ ,  $\text{Sn}^{4+}$  and  $\text{Zr}^{4+}$  ions have an effective ionic radius of 0.054 nm, 0.069 nm and 0.072 nm, respectively, in coordina-

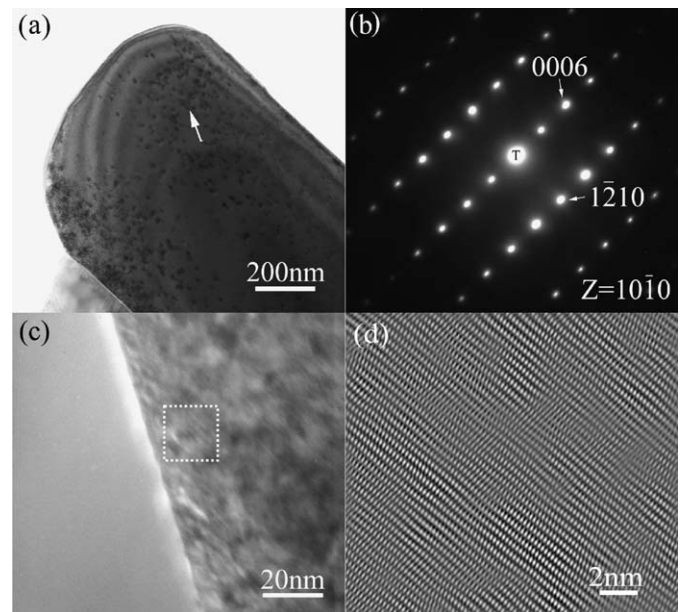
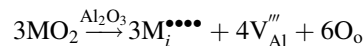


Fig. 3. TEM (a) BFI and (b) SAED pattern of the G.P. zone plates (arrow) in Zr-doped  $\alpha$ - $\text{Al}_2\text{O}_3$  ( $Z = (10\bar{1}0)$ ). (c) Lattice image and (d) 2-D Fourier transform of the square region in (c) showing extensively distorted  $(0001)$  plane across the G.P. zones. A8Z92 sample sintered at  $1600^\circ\text{C}$  for 24 h followed by annealing at  $1200^\circ\text{C}$  for 72 h.

tion number (CN) 6 [12]. Thus, there is a larger misfit of metal ion  $\text{Zr}^{4+}$  than  $\text{Sn}^{4+}$  (both denoted as M) in substitution for  $\text{Al}^{3+}$  in the octahedral sites of the corundum-type structure with charge and volume compensating defects through the following equation in Kröger and Vink notation [13]:



Alternatively,  $\text{M}^{4+}$  may enter interstitial octahedral sites to form charge and volume compensating Al vacancies via the following equation



Such defect reactions agree with a slightly larger XRD cell parameters for Sn-doped ( $a = 0.4766 \pm 0.0002$  nm and  $c = 1.3018 \pm 0.0008$  nm, [6]) and Zr-doped  $\text{Al}_2\text{O}_3$  ( $a = 0.4759 \pm 0.0001$  nm and  $c = 1.3005 \pm 0.0006$  nm, [7]) than the undoped case ( $a = 0.4759$  nm and  $c = 1.2993$  nm, JCPDS file 46–1212). The Sn-doped  $\text{Al}_2\text{O}_3$  has a larger unit cell than Zr-doped  $\text{Al}_2\text{O}_3$  despite a smaller ionic radius of  $\text{Sn}^{4+}$  than  $\text{Zr}^{4+}$  in CN 6. This can be explained by a larger solid solubility of  $\text{Sn}^{4+}$  than  $\text{Zr}^{4+}$  as mentioned, and the possible presence of  $\text{Sn}^{3+}$  (0.096 nm in CN 6, [12]) in  $\alpha$ - $\text{Al}_2\text{O}_3$ . Aside from the exact defect clustering scheme to be clarified in future spectroscopic study, the segregation of larger-sized  $\text{Zr}^{4+}$  than  $\text{Sn}^{4+}$  to form G.P. zones would cause more significant distortion of the corundum lattice as observed in this study. In the absence of dopant, the  $\text{Al}_2\text{O}_3$  via a dynamic [14] or static heating process [15] would otherwise be immune from the G.P. zones.

It is worthwhile to note that the coherency of disk-like G.P. zones was not lost upon cooling. This indicates that the



coherency stress was not high enough to cause dislocations despite the elastic [16] and thermal expansion [17] anisotropy of the corundum lattice. The cation vacancies associated with the dopant interstitial, if any, could also help relax the corundum lattice.

## 5. Conclusions

1. Both Sn- and Zr-doped  $\alpha$ - $\text{Al}_2\text{O}_3$  formed G.P. zone plates when solution annealed.
2. The habit planes of the G.P. zones can be rationalized by anisotropic solute expulsion along relatively close packed (0 0 0 1) and ( $\bar{1}$  2  $\bar{1}$  0) planes.
3. The segregation of relatively large-sized  $\text{Zr}^{4+}$  than  $\text{Sn}^{4+}$  as G.P. zones caused more significant distortion and corrugation of the corundum-type lattice planes.

## Acknowledgements

Supported by Center for Nanoscience and Nanotechnology at NSYSU and National Science Council, Taiwan, ROC.

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