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## Short communication

# Preparation of antireflective SiO<sub>2</sub> nanometric films

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#### Abstract

Antireflective nanometric  $SiO_2$  films were formed on glass substrates by dip coating from a colloidal  $SiO_2$  sol having an average particle size of 9 nm. Withdrawal speed of dip coating was varied between 100 and 200 mm/min with 25 mm increments, and baking temperature of the films was altered between 300 and 550 °C with 50 °C increments. Obtained  $SiO_2$  films were in 80–200 nm thickness range. Film thickness was seen to increase with increasing withdrawal speed and to decrease with increasing baking temperature. A maximum light transmittance of 95% was obtained with 4.5% points increase, from the films which were withdrawn at 100 mm/min and baked at 450 or 500 °C. It was seen from SEM observations that the films exhibited full coverage on glass surface and contained no voids or cracks. Size of  $SiO_2$  particles in the film was seen in the AFM analyses to increase with baking temperature. Sintering of  $SiO_2$  particles appeared to accelerate at temperatures over 450 °C.

Keywords: A. Sol-gel processes; C. Optical properties; D. SiO2; Nanometric film

#### 1. Introduction

Antireflective (AR) films, which reduce the reflective losses of light, have been used in applications such as shop windows, video display panels, cathode ray tubes and solar panels [1–4]. Solar power application group consisting of solar thermal systems and photovoltaics is one of the widest markets for AR coated glasses. Display devices, on the other hand is the other growing market for AR glasses, as a result of increasing conventional utilization of new display technologies such as LCD or LED screens that are becoming cheaper.

There are mainly two methods for obtaining AR surfaces on glass. One is based on chemical etching of glass surface [5]. Etching basically is removing leachable components from the glass surface, thereby leaving a skeletonized porous surface with a lower refractive index than the glass itself. Involvement of hazardous acid etchants and the necessity to soak the glass at

~630–660 °C before etching in order to create a phase separation on the surface may be mentioned as the disadvantages of this process [5]. The second method is essentially forming a coating layer with a low and adjustable refractive index on the glass surface, by various means such as CVD, sol–gel and sputtering. Among the techniques of obtaining thin films on glass surface, sol–gel process comes out as a versatile method with its high process speed, suitability for continuous production and variety of chemical precursors. As compared to conventional coating methods, the most important advantage of sol–gel processing is the possibility of precise control on the microstructure of the deposited film, such as the pore volume, pore size and surface area [6]. Additionally, the low cost of sol–gel method is another important reason for its wide practice [7].

Among the commonly used AR coatings, SiO<sub>2</sub> stands out due to its low refractive index of 1.45, good environmental stability and durability. Thus, in the second method generally porous silica is utilized. Studies have focused on controlling of porosity, in order to adjust the refractive index of the SiO<sub>2</sub> films. Vincent et al. studied on tetraethylortosilicate (TEOS) to base molar ratio and reported that the mean particle size and also pore size increased about threefold when TEOS to base molar ratio was increased from 1:1 to 1:3 [8]. In the study of Yoldas

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[9], alkoxide and water ratio was changed for adjusting the porosity. Wu et al. [10] proposed a novel two-step acid-base catalyzed preparation method for controlled refractive index. In this method, HCl and NH<sub>3</sub> catalyzed SiO<sub>2</sub> solutions were prepared separately and then the two sols were mixed. Another approach of controlling the refractive index of the film, as proposed by Tong et al. is to utilize multiple layers of SiO<sub>2</sub> where refractive index of each layer decreases towards the outer layer of the coating, with increasing particle size [11]. Wongcharee et al. [12] investigated preparation of a porous SiO<sub>2</sub> films by adding pore creators into the sols such as polyethyleneglycol (PEG). One reported drawback of pore creator addition is the resulting poor mechanical properties of the SiO<sub>2</sub> film [13].

Porous  $SiO_2$  films have previously been reported to be synthesized through sol–gel by using mostly TEOS [8,14,15]. In this work,  $SiO_2$  films were obtained on soda-lime glass surfaces by dip coating, using  $SiO_2$  sols prepared from tetramethoxysilane (TMOS). In order to investigate the effects of withdrawal speed and baking temperature on the thickness of  $SiO_2$  films and light transmittance of glass, withdrawal speed was varied between 100 and 200 mm/min with 25 mm increments and baking temperature was altered between 300 and 550 °C with 50 °C increments.

## 2. Experimental procedure

 $SiO_2$  sol was prepared by hydrolysis and polymerization reactions of tetramethyl orthosilicate (TMOS, Fluka Chemicals, >98%) in the presence of nitric acid catalyzer (HNO<sub>3</sub>, Merck Chemicals, 65%). TMOS:HNO<sub>3</sub>:H<sub>2</sub>O:EtOH were mixed in required amounts with a molar ratio of 1:0.55:7.54:6.46. The mixture was stirred at 70 °C on reflux for 20 h. The obtained sol, containing 9.7 wt%  $SiO_2$ , was diluted with EtOH at one third ratio, resulting in a  $SiO_2$  content of 3.23 wt%. Obtained sol was used for dip coating after an aging period of 24 h.

Particle size distribution of the SiO $_2$  sol was determined by a particle size analyzer (Zetasizer Nano-ZS, Malvern Instruments). 4 mm thick, 10 cm  $\times$  15 cm soda-lime glass substrates were coated by dipping them into the SiO $_2$  colloidal sol and withdrawing at 100, 125, 150, 175, 200 mm/min speed. After drying in air at room temperature, the coated glass samples were baked at 300, 350, 400, 450, 500, 550 °C for 1 h.

Glass samples containing nanometric SiO<sub>2</sub> films were subjected to optical and morphological analyses. Light transmittance was measured by a hazemeter at 550 nm (Haze-Guard Plus, BYK Gardner) and percent light transmittance increases provided by the SiO<sub>2</sub> films were determined. Scanning electron microscopy (SEM) analyses were performed with a Zeiss Leo 1430 unit. For atomic force microscopy (AFM) analyses a PSIA XE-100E unit was utilized. Root mean square (rms) surface roughness was evaluated from the AFM line profile data by XEI software (PSIA Inc.). Film thicknesses were determined by Filmetrics F20-HC thin film measurement system, precision of which was specified as 1 nm by the manufacturer.

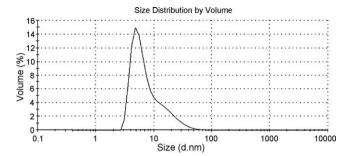


Fig. 1. Particle size distribution of SiO<sub>2</sub> sol.

#### 3. Results and discussion

Average particle size of the SiO<sub>2</sub> colloid was determined as 9 nm by particle size measurement. Particle size distribution graph of SiO<sub>2</sub> sol is given in Fig. 1. The employed method appears to be suitable for obtaining nano-size SiO<sub>2</sub> particles.

In dip coating process, higher withdrawal speeds result in thicker films as compared to lower speeds, due to the increase in upward viscous drag on the liquid by the moving glass substrate [6]. Variation of  $\mathrm{SiO}_2$  film thickness by withdrawal speed for the samples which were baked at 500 °C for 1 h is presented in Fig. 2. At speeds of 100–125 mm/min, the formed film has a thickness of about 100 nm, and thickness of the film increases with increasing withdrawal speed. At 200 mm/min speed, a  $\mathrm{SiO}_2$  film with a thickness of 150 nm is obtained.

Change in  $SiO_2$  films thickness relative to baking temperature can be seen in Fig. 3. The withdrawal speed of these films was fixed at 100 mm/min and baking duration was 1 h. At baking temperature of 300 °C the film thickness is around 120 nm and it is seen to decrease to about 100 nm with increasing temperature up to 500 °C. The decrease in the film thickness is more pronounced when baking temperature is raised from 500 to 550 °C. After baking at 550 °C for 1 h the  $SiO_2$  film thickness was measured as 80 nm. This result can be taken as an indication that the degree of sintering is higher after 500 °C as compared to below 500 °C.

Variation in the light transmittance increase of  ${\rm SiO_2}$  coated glasses as a result of change in withdrawal speed during dip coating and as a result of change in baking temperature of  ${\rm SiO_2}$  films are presented in Table 1. The highest light transmittance values are obtained when withdrawal speed is 100-125 mm/min and when baking temperature is 450-500 °C. Light

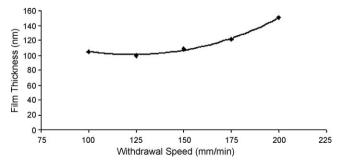


Fig. 2. Variation of  $SiO_2$  film thickness by withdrawal speed (baking temperature 500  $^{\circ}$ C).

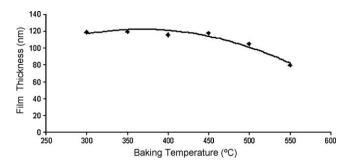


Fig. 3. Variation of  $SiO_2$  film thickness by baking temperature (withdrawal speed 100 mm/min).

transmittance of uncoated glass was about 90.5%, and with SiO<sub>2</sub> coating it reached to as high as 95%.

Increase in withdrawal speed generally appears to result in a decrease in the light transmittance for all baking temperatures. This effect is more pronounced at low baking temperatures. When the withdrawal speed was increased from 100 to 200 mm/min, percent increase in light transmittance falls from 4.3 to 1.4 at baking temperature of 350 °C. Withdrawal speed directly influences the SiO<sub>2</sub> film thickness, as can be seen in Fig. 2. Thus the decrease in the transmittance most probably is a result of the increase in the film thickness with increasing withdrawal speed. Film thicknesses over 120 nm appear to be less effective in increasing the transmittance.

Raising the baking temperature generally results in an increase in light transmittance for all withdrawal speeds, up to 500 °C, after which, transmittance has a tendency to decrease back. This behavior is probably related to thickness of SiO<sub>2</sub> film and volume of porosity in the film. It was seen in Fig. 1 that film thickness decreases at a higher rate over 500 °C than at lower temperatures, most probably due to enhanced sintering. Over 500 °C, pore volume of SiO<sub>2</sub> film is expected to decrease due to sintering of the SiO<sub>2</sub> particles, thus resulting in a decrease in the transmittance. Transmittance loss due to sintering of SiO<sub>2</sub> layer at high temperatures was reported previously; however, baking temperatures as high as possible are nevertheless necessary to provide sufficient abrasion resistance of the SiO<sub>2</sub> film [6].

Glass samples covered with  $SiO_2$  films by dip coating at various withdrawal speeds and baking at various temperatures were subjected to SEM and AFM analyses in order to gain information about the surface morphology of the films and to check the presence of cracks on the film surfaces.

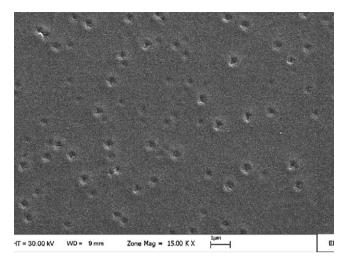


Fig. 4. SEM micrograph of  $SiO_2$  film surface obtained at withdrawal speed of 150 mm/min and baking temperature of 400 °C.

It was seen in the SEM observations that the surfaces of the glass samples were fully covered with SiO<sub>2</sub> films, indicating that the concentration of the SiO<sub>2</sub> sol was sufficient for obtaining dense and void-free films. The obtained coatings were crack-free and uniform as can be observed from the SEM micrograph of SiO<sub>2</sub> coated sample presented in Fig. 4. It was inferred from the SEM observations that the drying and baking procedures employed was appropriate for attaining films without cracks. In preparation of the sample, SEM micrograph of which is given Fig. 4, withdrawal speed of 150 mm/min was employed and baking temperature was 500 °C. It was seen in SEM observations that the SiO<sub>2</sub> films contain concave dents on their surface (Fig. 4), which may probably have formed due to uneven shrinkage of SiO<sub>2</sub> films during drying and baking [16]. The number of the dents were seen to increase with increasing withdrawal speed, thus the surface morphology of the SiO<sub>2</sub> films appears to be related to film thickness. When the film is thick, the tendency to form dents on the surface is probably higher than in thinner films. SiO<sub>2</sub> film surfaces with better morphology can be obtained by controlling the drying conditions, such as by decreasing the liquid evaporation rate during drying.

The baking temperature appears to have a significant effect on the size of  $SiO_2$  particles in the films. After baking at 300 °C (not shown), surface of the film was smooth and dense. With increasing baking temperature, size of  $SiO_2$  particles was seen

Table 1 Light transmittance<sup>a</sup> increase percentages of the SiO<sub>2</sub> coated samples relative to withdrawal speed and baking temperature.

Withdrawal speed/baking temperature	100 mm/min	125 mm/min	150 mm/min	175 mm/min	200 mm/min
Light transmittance increase %					
300 °C	3.4	2.9	2.3	1.3	0.6
350 °C	4.3	3.6	3.2	1.9	1.4
400 °C	3.8	3.3	3	2.5	1.8
450 °C	4.5	4.1	3.1	3	2.1
500 °C	4.5	4.2	3.5	2.9	2.1
550 °C	3.3	3.3	3.4	3.2	3.1

<sup>&</sup>lt;sup>a</sup> Measured by hazemeter at 550 nm wavelength.

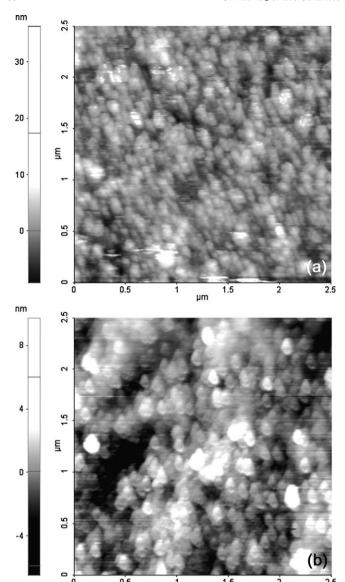


Fig. 5. AFM images of SiO $_2$  film surfaces obtained at baking temperatures of (a) 400 °C and (b) 450 °C. Withdrawal speed was 100 mm/min for both.

to exhibit a continuous increase. AFM images of  $SiO_2$  coated samples which were obtained at a withdrawal speed of 100 mm/min and baked at 400 °C and 450 °C, are presented in Fig. 5(a) and (b), respectively. It can be seen in these figures that the structure is composed of nanometric  $SiO_2$  particles and nanometric pores among them, which were reported to provide the antireflectance property, by rendering a decrease in the refractive index of the film [6]. The rms roughness of the film obtained after baking at 300 °C was measured as  $\sim 1.4$  nm by the XEI software, and after baking at 400 °C rms roughness increased to  $\sim 1.9$  nm. The increase in the roughness may be due to increase in the  $SiO_2$  particle size in the film. After baking

at 450 °C, the rms roughness was seen to decrease to  $\sim$ 1.5 nm, which may be taken as an indication that at and above 450 °C surface of the film begins to become smooth again probably due to sintering of the particles.

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