

## Short communication

## The effect of non-stoichiometry on microstructure and selected properties of YAG polycrystals

Magdalena Zarzecka-Napierała<sup>\*</sup>, Mirosław M. Bućko, Krzysztof Haberk

AGH University of Science and Technology, Faculty of Materials Science and Ceramics, Al. Mickiewicza 30, 30-059 Krakow, Poland

Received 30 September 2011; received in revised form 10 October 2011; accepted 11 October 2011

Available online 17 October 2011

**Abstract**

Using modified citrate process three materials were prepared: the one of stoichiometric Al/Y ratio corresponding to YAG composition, another one with aluminum deficit and the third one containing surplus amount of aluminum. Typical microstructure of single-phase body reveals stoichiometric material. The one of aluminum deficit shows YAP inter-crystalline inclusions. Material of Al/Y ratio higher than the YAG stoichiometry shows inter/intra  $\alpha$ - $\text{Al}_2\text{O}_3$  inclusions. Stoichiometry of the system influences mechanical properties of the material.

© 2011 Elsevier Ltd and Techna Group S.r.l. All rights reserved.

**Keywords:** YAG polycrystals; Non-stoichiometry; Microstructure

**1. Introduction**

In the  $\text{Al}_2\text{O}_3$ – $\text{Y}_2\text{O}_3$  system, apart from the end-member phases, three compounds stable at room temperature are known:  $\text{Y}_3\text{Al}_5\text{O}_{12}$  (YAG),  $\text{YAlO}_3$  (YAP), and  $\text{Y}_2\text{Al}_4\text{O}_9$  (YAM). Yttrium-aluminum garnet (YAG) has received much attention because of its interesting optical and mechanical properties. Rare-earth doped YAG single crystals have been used as a laser host material. Attempts to synthesize polycrystalline transparent YAG ceramics for laser application have been reported in [1–3]. Another application of rare-earth doped YAG in the powder form as phosphor for displays, due to its good stability to high electron irradiation, should be mentioned [4]. High temperature creep resistance is an attractive YAG property. A study presented in [5] revealed that creep rate of polycrystalline YAG at 1400 °C is three times slower than that of alumina.

The factor of utmost importance for the properties of the final material is stoichiometry of the starting powder. Aim of the present study was to find the effect of Y/Al ratio discrepancy from the YAG composition on the sintered material microstructure and selected mechanical properties.

**2. Experimental**

The starting powders were prepared according to the modified citrate process elaborated by us [6]. So it is sufficient here to show only basic steps of the process. Aluminum nitrate of analytical quality and yttria (4 N) were used. Yttria was dissolved in nitric acid (1:1) of analytical purity. The solution was added to the aluminum nitrate aqueous solution. Mutual solution of both nitrates was treated with citric acid and 2-propanol in the proportion of 5 mole of both additives per 1 mole of (Al + Y). Drying of this solution and thermal treatment at 300 °C for 0.5 h resulted in a stiff body. By this method three compositions were prepared; one of stoichiometric Y/Al ratio, another one showing 5% Al deficit and third one of Al content higher by 5% compared to the YAG stoichiometry. Each sample was calcined at 900 °C for 1 h in air atmosphere. The resulting powders were uniaxially compacted under 250 MPa. Disc samples of 8 mm diameter and about 3 mm thickness were sintered in air atmosphere at 1700 °C for 5 h.

The X-ray diffraction ( $\text{CuK}_\alpha$  radiation, X'PertPro, Panalytical) was used to determine phase composition of the samples. Their Vickers hardness was measured using Future Tech (Japan) equipment and 3 kgf load. Higher load of 10 kgf allowed us to determine fracture toughness ( $K_{IC}$ ) with Palmqvist cracks and Niihara relation [7]. Apparent densities were determined by the hydrostatic weighing technique.

<sup>\*</sup> Corresponding author.

E-mail address: [zarzecka@agh.edu.pl](mailto:zarzecka@agh.edu.pl) (M. Zarzecka-Napierała).

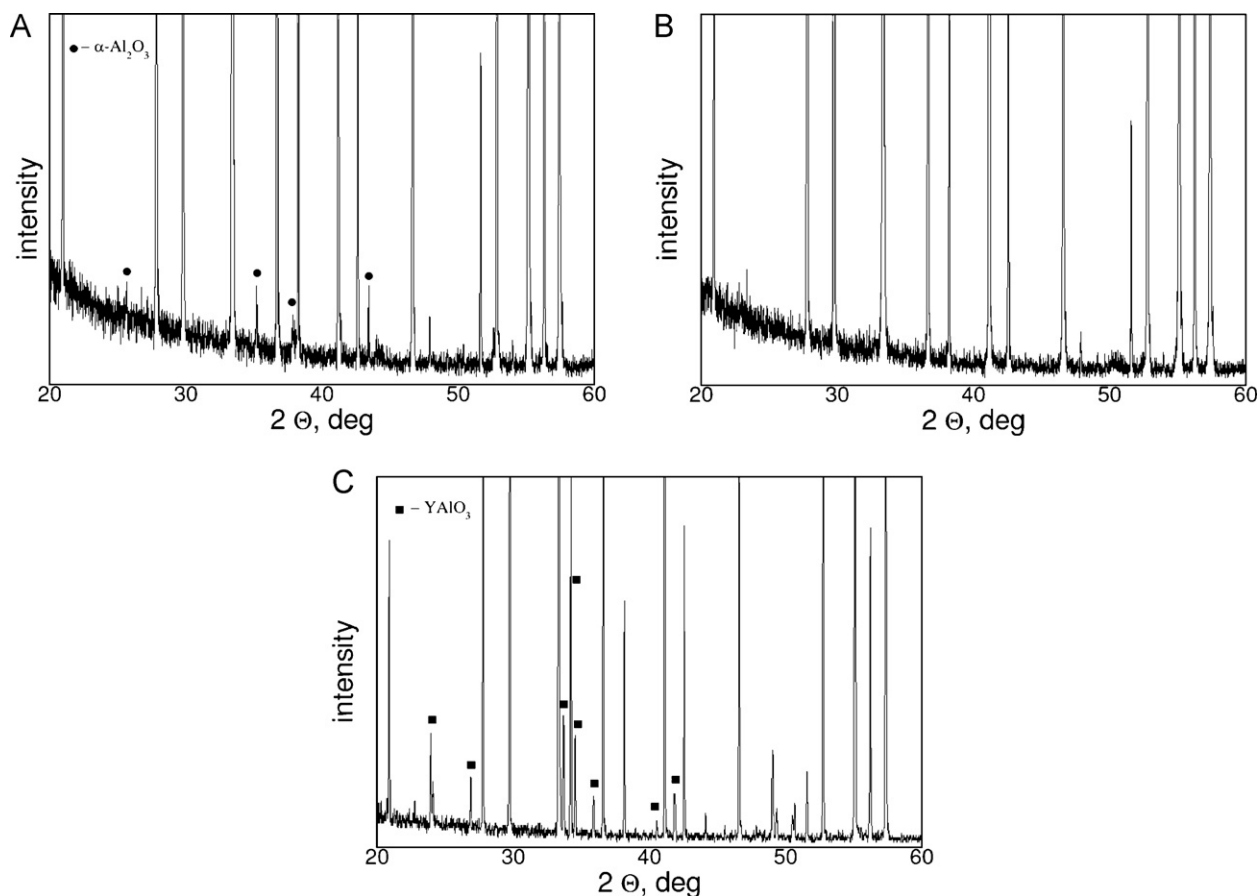


Fig. 1. X-ray diffraction patterns of the materials. CuK $\alpha$  irradiation. (A) Sample “+5%”; (B) sample “0”; (C) sample “–5%”.

### 3. Results and discussion

Fig. 1 shows diffraction patterns of the samples. The one of stoichiometric composition (designed “0”) shows YAG as the only component. Samples of Al deficit (designed –5%), except of YAG, shows also presence of YAlO<sub>3</sub> of hexagonal symmetry (h-YAP). Material with surplus amount of Al (designed +5%) demonstrates presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Phase compositions of the materials differing from stoichiometry agree with the phase diagram of the Y<sub>2</sub>O<sub>3</sub>–Al<sub>2</sub>O<sub>3</sub> system [8] confirmed later by the neutron diffraction [9].

Basing of the known chemical compositions of the materials under study fractions of h-YAP and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> were assessed. It was assumed that in the +5% material surplus aluminum amount results in the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> formation and hence its fraction corresponds to 4.12 weight%. In the –5% material surplus

yttrium leads to the 3.85 weight% YAP crystallization. Assuming YAG, YAP and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> densities of 4.55, 5.55 and 3.99 g/cm<sup>3</sup>, respectively physical densities of +5% and –5% should be equal to 4.52 and 4.58 g/cm<sup>3</sup>, respectively. These values were applied to calculate relative densities of the sintered materials. Results are summarized in Table 1 together with hardness and fracture toughness of the materials.

Fig. 2 shows the microstructures of the materials. They differ substantially from each other. Material of the stoichiometric composition (0) shows typical microstructure of the single-phase ceramic. Inter-granular inclusions of YAP occur in the sample –5% and inter/intra  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> inclusions are observed in the +5% material. Identification of inclusion phases is corroborated by the shown chemical EDS analyses at indicated points. Limited resolution of the method is responsible for revealed yttrium in the alumina inclusions.

Table 1  
Properties of the materials sintered at 1700 °C for 5 h.

Material	Apparent density (g/cm <sup>3</sup> )	Relative density (%)	Hardness (GPa)	$K_{Ic}$ (MPa m <sup>0.5</sup> )
–5%	4.47 ± 0.02	97.60	10.69 ± 0.67	3.59 ± 0.54
0	4.42 ± 0.02	97.14	12.03 ± 0.35	2.88 ± 0.28
+5%	4.46 ± 0.670.02	98.67	12.29 ± 0.35	2.36 ± 0.28

±: confidence interval at confidence level of 0.95.

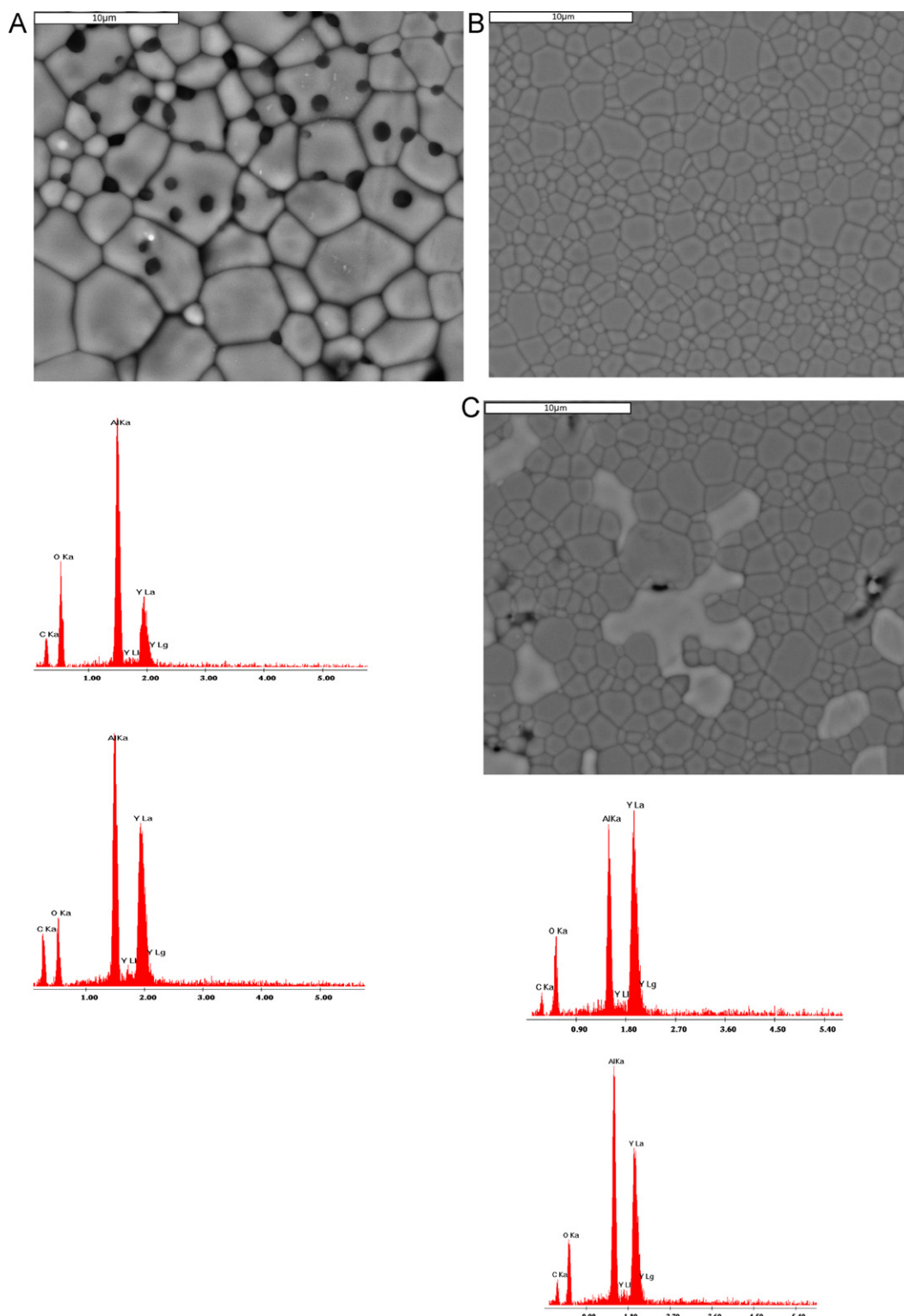


Fig. 2. SEM micrographs of the materials. Indicated EDS chemical analyses are shown. (A) Sample “+5%”; (B) sample “O”; (C) sample “–5%”.

#### 4. Conclusions

Presented results indicate that hardness of the stoichiometric material and that one containing alumina inclusion is higher than the material with aluminum deficit, i.e. which shows YAP inclusions. Reverse tendency could be observed as for fracture toughness, the highest values occur in case

of the material with aluminum deficit, containing YAP inclusions.

#### References

- [1] G. de With, H.J.A. van Dijk, Translucent  $\text{Y}_3\text{Al}_5\text{O}_{12}$  ceramics, Mater. Res. Bull. 19 (1984) 1669–1674.

- [2] C.A. Mudler, G. de With, Translucent  $\text{Y}_3\text{Al}_5\text{O}_{12}$  ceramics: electron microscopy characterization, *Solid State Ionics* 16 (1985) 81–86.
- [3] M. Sekita, H. Haneda, T. Yanagutani, S. Shirasaki, Induced emission cross section of Nd:YAG, *J. Appl. Phys.* 67 (1985) 453–458.
- [4] I. Matsubara, M. Parathaman, S.W. Allison, M.R. Cates, D.L. Beshears, D.E. Holcomb, Preparation of Cr-doped  $\text{Y}_3\text{Al}_5\text{O}_{12}$  phosphors by heterogeneous precipitation methods and their luminescence properties, *Mater. Res. Bull.* 35 (2000) 217–224.
- [5] T.A. Parthasarathy, T. Mah, H. Keller, High-temperature deformation behaviour of polycrystalline yttrium–aluminum garnet (YAG), *Ceram. Eng. Sci.* 12 (1991) 1767–1773.
- [6] M. Zarzecka, M.M. Bučko, J. Brzezińska-Miecznik, K. Habeko, YAG powder synthesis by the modified citrate process, *J. Eur. Ceram. Soc.* 27 (2007) 593–597.
- [7] K. Niihara, A fracture mechanics analysis of indentation-induced Palmqvist crack in ceramics, *J. Mater. Sci. Lett.* 2 (1983) 221–223.
- [8] R.S. Roth, *Phase Equilibria Diagrams for Ceramics*, vol. XI., The American Ceramic Society, Westville, OH, 1995, p. 107.
- [9] M. Madraj, R. Hammond, M.A. Parvez, R.A.L. Drew, W.T. Thompson, High temperature neutron diffraction study of the  $\text{Al}_2\text{O}_3$ – $\text{Y}_2\text{O}_3$  system, *J. Eur. Ceram. Soc.* 26 (2006) 3515–3524.