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# Synthesis of SiC nanowires by thermal evaporation method without catalyst assistant

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#### **Abstract**

In this paper, SiC nanowires were successfully synthesized on Si substrate by the thermal evaporation method without the assistance of a metal catalyst. The phase composition, morphology and microstructure of the SiC–SiO<sub>2</sub> core–shell nanowires were investigated by X-ray diffraction (XRD), field emission scanning electron microscopy (FESEM), energy dispersive spectroscopy (EDS), transmission electron microscopy (TEM), and high-resolution transmission electron microscopy (HRTEM). The SiC nanowires produced grew along the [111] direction and had diameters of 50–100 nm with lengths of several hundreds of microns. The SiC nanowire was composed of a single-crystalline SiC core with a thin amorphous SiO<sub>2</sub> shell. The growth mechanism of the nanowires can be explained by the vapor–solid (VS) process.

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#### 1. Introduction

Since the discovery of carbon nanotubes, onedimensional (1D) nanostructures, particularly nanowires, have become the focus of intensive research due to their unique mechanical, physical, chemical, optical, and electrical properties with respect to the corresponding bulk materials [1-5]. Silicon carbide (SiC) being an important wide band gap semiconductor, SiC nanowires exhibit many excellent electronic, physical, and chemical properties rendering them suitable for many harsh conditions, including high temperature, high thermal conductivity, high power, and high frequency [1,6]. The outstanding mechanical properties of SiC nanowire make it a promising candidate for the reinforcing phase in ceramic, metal, and polymer matrix composites. SiC nanowires also show potential for fruitful applications in field emission displays, nanosensors, nanoscale electro-devices and optoelectronic devices [7,8].

To date, much effort has been devoted to synthesizing SiC nanowires and a number of techniques have been developed, such as sol-gel combined carbothermal reduction [9,10], carbon nanotubes confined reaction [11,12], metal-assisted vapor-liquid-solid (VLS) mechanism [13,14], chemical vapor deposition (CVD) technology [15,16], high-frequency induction heating technology [17], polymeric precursor pyrolysis [18,19] and thermal evaporation method [20,21]. Most of these reported synthesis methods, however, involved complicated equipment and processes, which limit their further application and act as a barrier to further research and development in many fields. Furthermore, the resident metal catalyst used in these techniques, i.e., the sol-gel combined carbothermal reduction method, metal-assisted VLS growth mechanism and CVD technology, is very difficult to be removed after the synthesis process. Thus, a simpler and more effective method needs to be developed to prepare SiC nanowires.

In this paper, scales of SiC nanowires were successfully synthesized on Si (100) wafer by the thermal evaporation method without the assistance of a metal catalyst. The

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morphology, phase composition, and microstructure of the SiC nanowires were then characterized. A growth mechanism for these SiC nanowires and the synthesis process of the SiC–SiO<sub>2</sub> core–shell structure were explained.

#### 2. Experimental

### 2.1. Synthesis of SiC nanowires

A columnar graphite crucible (100 mm in diameter and 40 mm in height) was used as a reactor to produce the SiC nanowires. An N-type Si (100) wafer (4  $\Omega$  cm, Beijing Zhongkekenuo New Energy Technology Co., Ltd., China) of dimension  $2 \text{ cm} \times 4 \text{ cm}$  was used as the substrate. The surface of this Si substrate was ultrasonically cleaned with acetone and ethanol for 10 min, and then dried in air. Graphite powder (purity 99.9 wt%; 5 g) was placed at the center of the graphite crucible. The aforementioned Si substrate was then placed in the crucible with the graphite powder-substrate distance of 1 cm as shown in Fig. 1. The crucible was covered by a graphite plate, and the whole setup was placed at the center of a vacuum furnace which was evacuated to 10 Pa by a rotary pump. Argon gas (purity 99.999%) was then introduced until the furnace pressure reached 0.12 MPa and the argon pressure was maintained throughout the whole experiment process. The furnace temperature was initially raised to 1000 °C at a heating rate of 10 °C/min, then heating continued at 3 °C/min to a temperature of 1500 °C which was maintained further for 3 h. After cooling to room temperature, a green colored layer was removed for further analysis on the surface of Si substrates.

#### 2.2. Characterization of SiC nanowires

The phase composition of the synthesized samples was determined by X-ray diffraction (XRD; D8 Advance diffractometer, Germany), using Cu K $\alpha_1$  radiation ( $\lambda$ =1.5406 Å) with a step of 0.02° (2 $\theta$ ) and a scanning rate of 4° min  $^{-1}$ . The microstructures of the samples were examined by field emission scanning electron microscopy (FESEM, JEOL JSM6700F, Japan), energy dispersive spectroscopy (EDS, INCA, Oxford Instrument, UK), transmission electron microscopy (TEM, JEOL JEM-2010, Japan, accelerating voltage 200 keV, 4k × 2.7k Gatan CCD camera) and

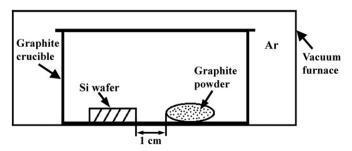


Fig. 1. Schematic diagram of experimental setup for the growth of SiC nanowires.

high-resolution transmission electron microscopy, and selected area electron diffraction (HRTEM and SAED, JEM-2010, Japan). Samples for TEM observation were dispersed in absolute ethanol by ultrasonication (KQ-100DB) for 10 min, and a drop of the suspension containing the products was dropped onto a copper grid coated with an amorphous carbon supporting film and then dried in air.

#### 3. Results and discussion

#### 3.1. XRD analysis

The XRD pattern of the SiC nanowires grown on the Si substrate is shown in Fig. 2. As can be seen from the pattern, three diffraction peaks indexed to (111), (200), and (220) were well matched with the standard face-centered cubic structure of 3C-SiC (JCPDS card No. 29-1129,  $a=4.359\,\text{Å}$ ), which has the lattice constant of  $a=4.375\,\text{Å}$ . The strong intensities and narrow widths of the 3C-SiC peaks also indicated that the SiC nanowires were well-crystallized. Furthermore, the diffraction peak (111) was the only peak that gained a substantial increase independent of the intensity of the three 3C-SiC peaks. This indicated that the predominant growth orientation of the nanowires was along the (111) direction. In addition, the small diffraction peaks at 28.5° and 47.4° were due to the Si substrate.

# 3.2. FESEM analysis

Fig. 3 shows typical FESEM images of the synthesized SiC nanowires. The SEM image, as shown in Fig. 3(a), reveals the general morphology of nanowires. It can be seen clearly that both straight and curved nanowires grew randomly on the Si substrate at high density. The length of the nanowires was up to several hundreds of microns, and the diameters were uniformly about 50–100 nm. The length was similar to those of the SiC nanowires synthesized previously by other researchers [21–23]. Fig. 3(b) shows

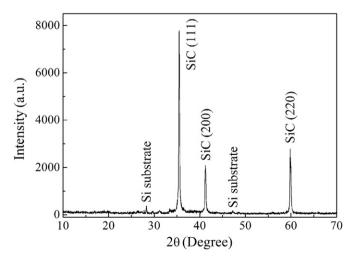
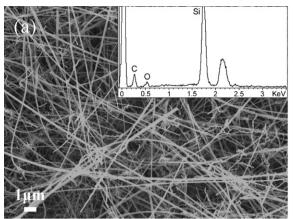


Fig. 2. XRD pattern of the as-obtained SiC nanowires.



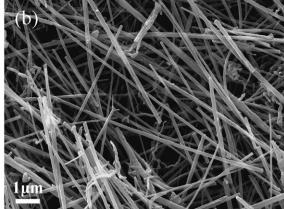


Fig. 3. (a) and (b) Typical low and high magnification FESEM images of SiC nanowires, respectively; inset in (a) is the corresponding EDS pattern.

that the nanowires with smooth surfaces were straight and randomly oriented, but no metal catalyst was found at the tips of the grown nanowires. The inset in Fig. 3(a) shows the EDS pattern of the as-obtained nanowires. The corresponding EDS analysis of the nanowires demonstrates that the product contained only C, Si, and O. Moreover, the atomic percentages of C, Si, and O were about 65.69%, 26.74%, and 7.56%, respectively. It is inferred that the SiC nanowires possessed a SiC–SiO<sub>2</sub> core–shell structure, which will be proved by TEM measurements in detail.

### 3.3. TEM analysis

The internal structure and crystallinity of synthesized SiC nanowires were characterized by TEM. Fig. 4(a) shows a typical TEM image of the SiC nanowires; it can be seen that no metallic balls were found at the tips of the nanowires. This indicates that the growth mechanism of SiC nanowires is not attributed to the VLS mechanism [14,16]. The high magnification TEM image, as shown in Fig. 4(b), reveals that the nanowire had a dark-contrasted crystal-core with a diameter of 50-80 nm, which was wrapped in a light amorphous layer shell with a thickness of 5-15 nm. HRTEM images of the core-shell heterostructure are given in Fig. 4(c) and (d). Akin to the aforementioned FESEM observation, it was confirmed that the core of nanowires was crystalline SiC and the shell was a thin amorphous SiO2 layer. The SAED pattern of the nanowires reveals a crystalline structure (Fig. 4(d) inset). It can also be found that the crystalline nanowires typically possessed a high density of both stacking faults and planar defects. Fig. 4(c) and (d) also shows that the lattice fringes spacing of 0.25 nm corresponded to the (111) crystal plane spacing of 3C-SiC. The axis of the (111) crystal plane coincided with the longitudinal direction of SiC nanowires, which indicated that the nanowires grew along the [111] direction. This generally coincided with the largest planar spacing and the lowest specific surface energy of the (111) plane, thus providing further general evidence in support of the inference that the most favorable, preferred crystal growth orientation must have been along the [111] direction [12,13,22].

# 3.4. Growth mechanism of SiC nanowires

No catalyst was used during any stage of synthesis procedure. Thus, the growth mechanism in the thermal evaporation method of this study did not follow the previously reported VLS growth model [24]. Based on our experimental results, we believe that the vapor–solid (VS) process was the main growth mechanism for the SiC nanowires. The FESEM and TEM images also clearly confirmed that no metal catalyst droplets were found at the tips of the nanowires.

The source of the oxygen in this procedure may be attributed to the SiO<sub>2</sub> layer on the surface of the Si substrate and the surviving oxygen (O<sub>2</sub> and H<sub>2</sub>O) in the refractory inside the furnace. The mullite refractory lining may also result in a rise in oxygen content at high temperatures [25,26]. Therefore, the surviving oxygen will directly react with the graphite powder to yield CO vapor according to the following reaction:

$$C(s) + O_2(g) \rightarrow 2CO(g) \tag{1}$$

When the temperature rose gradually, the graphite powder was vaporized to form the C vapor [27]. Then SiO vapor was generated by the reaction of the SiO<sub>2</sub> layer on the surface of the Si substrate with C vapor according to the following reactions:

$$SiO_2(s) + C(g) \rightarrow SiO(g) + CO(g)$$
 (2)

$$SiO_2(s) + CO(g) \rightarrow SiO(g) + CO_2(g)$$
 (3)

Besides, at temperatures above  $1000 \,^{\circ}$ C, the SiO vapor could also be produced by the reaction of the SiO<sub>2</sub> layer with the Si substrate [23,28]:

$$Si(s) + SiO_2(s) \rightarrow 2SiO(g)$$
 (4)

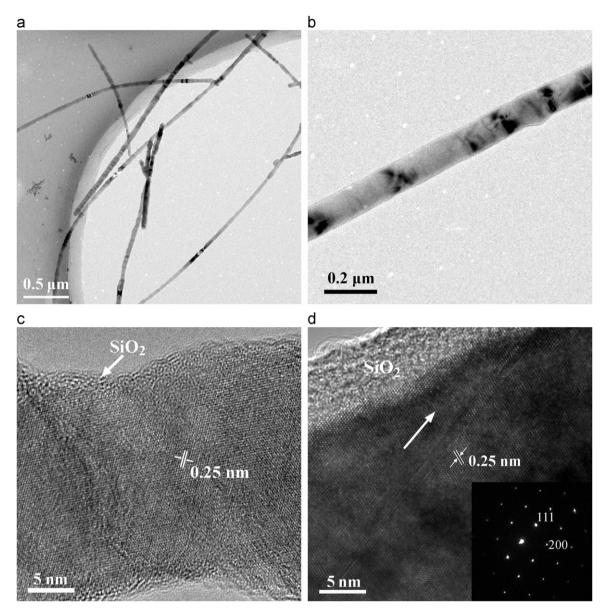


Fig. 4. (a) and (b) Typical low and high magnification TEM images of SiC nanowires, respectively. (c) and (d) HRTEM images of SiC nanowires. The inset in (d) is the corresponding SAED pattern of the SiC core.

Subsequently, the SiO vapor formed in the abovementioned reactions reacted further with the solid graphite and CO in accordance with reactions (5) and (6). Additionally, it is well known that the  $CO_2$  vapor generated will be reduced by the carbon source to yield CO vapor (reaction (7)):

$$SiO(g) + 2C(s) \rightarrow SiC(s) + CO(g)$$
 (5)

$$SiO(g) + 3CO(g) \rightarrow SiC(s) + 2CO_2(g)$$
 (6)

$$CO_2(g) + C(s) \rightarrow 2CO(g)$$
 (7)

SiC crystal nuclei were generated by the above vaporsolid reactions (Fig. 5(a)). It is believed that SiC crystal nuclei are apt to be formed at the most active positions of the defects or impurities on the Si substrate [20]. Afterwards, the formed SiC nuclei acted as seeding and interconnected to form SiC nanowires along the preferential crystalline direction, namely the (111) plane because of reasons based upon the lowest-energy principle (as shown in Fig. 5(b) and (c)). The EDS results of area 1 in Fig. 5(a) and area 2 in Fig. 5(b) are given in Fig. 5(d) and (e), respectively, where it can be seen that the atomic percentages of Si increased slightly in the growth process of the SiC nanowires.

Here one case should be mentioned, as the CO vapor further diffused to the surface of the Si substrate, the Si substrate reacted directly with the gaseous phase carbon and generated SiC nanowires:

$$Si(s) + 2CO(g) \rightarrow SiC(s) + CO_2$$
 (8)

It is noted that the synthesized SiC nanowires had a SiC-SiO<sub>2</sub> core-shell structure. Previous investigations have

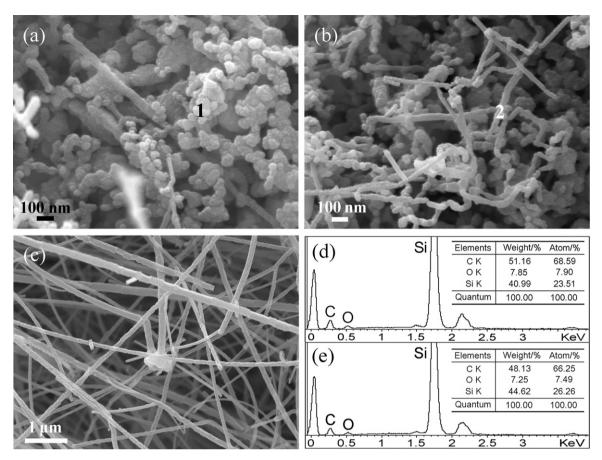


Fig. 5. (a) Typical FESEM image of SiC crystal nuclei. (b) and (c) FESEM images of SiC nanowires. (d) and (e) EDS patterns of area 1 in (a) and area 2 in (b), respectively.

revealed that the solidification of the SiC core happens faster than that of the viscous  $SiO_2$  layer because of the melting point of SiC, which is much higher than that of  $SiO_2$  [14,29,30]. For this reason, a thin amorphous  $SiO_2$  layer will be formed and wraps the crystalline SiC nanowires during the cooling procedure. As mentioned above, the following reactions will occur:

$$2SiO(g) + C(g) \rightarrow SiC(s) + SiO_2(s)$$
(9)

$$3SiO(g) + CO(g) \rightarrow SiC(s) + 2SiO_2(s)$$
 (10)

## 4. Conclusions

In summary, scales of SiC nanowires were successfully synthesized on Si (100) wafer by the thermal evaporation method without the assistance of a metal catalyst. The asobtained SiC nanowires grew along the [111] direction and possessed diameters of 50–100 nm and lengths of several hundreds of microns. The SiC nanowire was composed of a single-crystalline SiC core with a thin amorphous SiO<sub>2</sub> shell. On the basis of the experimental results, the growth mechanism of SiC nanowires was considered to involve a vapor–solid process.

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