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# Unraveling the mechanical and tribological properties of a novel Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating synthesized by a double glow discharge plasma technique

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#### **Abstract**

A novel  $Ti_5Si_3/TiC$  nanocomposite coating has been successfully prepared on a  $Ti_6Al_4V$  substrate by a double cathode glow discharge technique to address its poor tribological properties. The microstructural features of the  $Ti_5Si_3/TiC$  nanocomposite coating were characterized by X-ray diffraction (XRD), scanning electron microscopy (SEM) and transmission electron microscopy (TEM). The nanocomposite coating consisted of cauliflower-like  $Ti_5Si_3$  grains with an average grain size of  $\sim 15$  nm and TiC nanoparticles having an average grain size of  $\sim 15$  nm distributed at its boundaries. The mechanical and tribological properties of the  $Ti_5Si_3/TiC$  nanocomposite coating were compared with those of a monolithic  $Ti_5Si_3$  nanocrystalline coating. The results clearly showed that the  $Ti_5Si_3/TiC$  nanocomposite coating exhibited a unique combination of higher fracture toughness and hardness as compared to the monolithic  $Ti_5Si_3$  nanocrystalline coating, which thus exerted a positive effect on its wear resistance.

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## 1. Introduction

Titanium alloys are widely used in aerospace, chemical, medical and marine industries as key structural components due to their excellent combination of high specific strength, good ductility, notable resistance to fatigue and corrosion and superior biocompatibility [1,2]. However, titanium alloys have poor tribological properties, manifested by a high and unstable friction coefficient, low adhesive, abrasive and fretting wear resistance [3], posing a clear bottleneck that limits their widespread engineering applications, especially as sliding contact components [4,5]. The wear process of materials is a gradual accumulation of damage originating from the constant, dynamic interactions of contacting surfaces that are subjected to

\*Corresponding author. Tel.: +86 2787611623. E-mail address: jiangqiang51@sina.cn (L. Jiang). mechanical stresses. As such, it is governed by the microstructure and composition of the near-surface region in the material. With this in mind, surface modification is considered to be one of the most efficient approaches to improve the tribological properties of titanium alloys, and thus may expand their range of applications into much harsher working environments. For this reason, a variety of surface engineering technologies, including laser surface treatment [6], plasma immersion ion implantation (PIII) [7], chemical vapor deposition (CVD) [8,9] and physical vapor deposition (PVD) [10,11], have been developed in an attempt to significantly enhance the surface properties of titanium alloys. In our previous studies [12,13], a double glow discharge plasma technique was used and proved to be a novel and feasible means of fabricating hard and wear resistant coatings on titanium alloys.

Ti<sub>5</sub>Si<sub>3</sub> has received much attention because of its potential advantages over other silicides, such as high melting point

(2130 °C), relatively low density (4.32 g/cm<sup>-3</sup>), excellent oxidation and creep resistance, and is thus an attractive candidate for high-temperature applications [14,15]. In addition, owing to its high hardness and chemical stability stemming from covalent-dominated atomic bonds in its crystal structure, monolithic Ti<sub>5</sub>Si<sub>3</sub> has been recognized as a new generation of wear resistant coating material for surface protection of moving components working under aggressive environments. However, as in the case of many intermetallic compounds, a major limitation for the use of this material is its poor fracture toughness, due mainly to its complex hexagonal crystal structure, with low symmetry and strong covalent bonding, which increases the Peierls stress [16]. A number of attempts have been made to overcome this limitation. Routes commonly utilized include the addition of a second phase to form composites and the refinement of the grain size to the nanoscale [17,18]. One prominent example of Ti<sub>5</sub>Si<sub>3</sub>based composites is fabricated by the addition of TiC to Ti<sub>5</sub>Si<sub>3</sub> to improve the mechanical properties of monolithic Ti<sub>5</sub>Si<sub>3</sub>. TiC is an appropriate strengthening phase for the Ti<sub>5</sub>Si<sub>3</sub> matrix due to a good match between the thermal expansion coefficient of  $Ti_5Si_3$  and  $TiC (7.7 \times 10^{-6} {}^{\circ}C^{-1})$  for TiC and  $9.7 \times 10^{-6} {}^{\circ}C^{-1}$ for Ti<sub>5</sub>Si<sub>3</sub>) [19]. Wang et al. [20] found that fracture toughness of a TiC/Ti<sub>5</sub>Si<sub>3</sub> composite fabricated by spark plasma sintering was more than 50% higher than monolithic Ti<sub>5</sub>Si<sub>3</sub>. So far, most investigations have been focused on the mechanical properties (i.e., fracture toughness) of bulk Ti<sub>5</sub>Si<sub>3</sub>-based composites. However, there has been little effort devoted to study of their mechanical properties and wear behavior in these coatings, which is critical to their intended engineering applications.

In the current work, a novel Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating was deposited on to a Ti–6Al–4V substrate for the first time by a double glow discharge plasma technique. The composition and microstructure of the as-deposited coatings were first characterized by X-ray diffraction (XRD), scanning electron microscopy (SEM) and transmission electron microscopy (TEM). Then, the mechanical properties, adhesion strength, and wear resistance of the newly-developed coating were explored, with an effort to gain better understanding of the relationship between the mechanical and tribological properties and microstructure of the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating.

### 2. Experimental method

# 2.1. Specimen preparation

The substrate material used was a Ti–6Al–4V alloy with a diameter of 40 mm and a thickness of 3 mm. The nominal composition in wt% was: Al, 6.04; V, 4.03; Fe, 0.3; C, 0.1; H, 0.015; N, 0.05; O, 0.15; and the balance, Ti. Before deposition, the Ti–6Al–4V substrates were polished using silicon carbide abrasive paper of 2400 grit and then cleaned in pure alcohol using an ultrasonic cleaner. The monolithic Ti<sub>5</sub>Si<sub>3</sub> nanocrystal-line coating and Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating were deposited onto the substrates by a double cathode glow discharge apparatus using two targets with different stoichiometric ratios

(i.e., Ti<sub>50</sub>Si<sub>50</sub> and Ti<sub>40</sub>Si<sub>40</sub>C<sub>20</sub>), respectively. The sputtering targets were fabricated from ball-milled Ti (-300 mesh, > 99.9% purity), Si (-200 mesh, > 99.5% purity), and C powders (graphite, -500mesh, > 99.9% purity) by employing cold compaction under a pressure of 600 MPa. In the deposition process, one cathode is used as the target composed of the desired sputtering materials, and the other cathode is the substrate material. When two different voltages are applied to the two cathodes, glow discharge occurs, as described elsewhere [21]. The glow discharge sputtering conditions are as follows: base pressure,  $5 \times 10^{-3}$  Pa; working pressure, 35 Pa; target electrode bias voltage with direct current, -950 V; substrate bias voltage with impulse current, -350 V; substrate temperature, 950°C; target-substrate distance, 10 mm and treatment time 3 h. The reasons for such a difference in the composition of the targets and the deposited coatings are that the composition of the as-deposited coatings is related not only to the composition of target materials, but also the sputtering yields of the various elements in the target materials. Furthermore, the diffusion of different alloying elements at the interface between the asdeposited coating and substrate also affects the composition of the coating.

#### 2.2. Microstructure characterization

The phase compositions of the as-deposited films were studied by X-ray diffractometry (XRD, D8ADVANCE with Cu K $\alpha$  radiation) operating at 35 kV and 40 mA. X-ray spectra were collected in the  $2\theta$  range from  $20^{\circ}$  to  $90^{\circ}$  with a scan rate of  $0.05^{\circ}$ /s. The cross-section of specimens was prepared and etched with the use of Kroll's reagent (10 ml HNO<sub>3</sub>, 4 ml HF and 86 ml distilled water) for 20–30 s. The cross-sectional morphology and chemical composition of the as-deposited coatings were examined by scanning electron microscopy (SEM, Quanta 200, FEI Company) equipped with an energy-dispersive X-ray spectrometer (EDS, EDAX Inc.). Transmission electron microscopy (TEM) and high-resolution transmission electron microscopy (HRTEM)

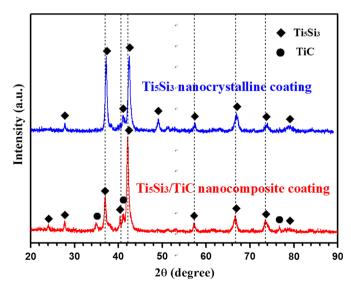


Fig. 1. XRD patterns of the as-deposited  $Ti_5Si_3$  nanocrystalline coating and  $Ti_5Si_3/TiC$  nanocomposite coating.

images were taken using a JEOL JEM-2010 at an accelerating voltage of 200 kV. Plan-view samples for TEM observation were prepared using a single-jet electrochemical polishing technique from the untreated side of the substrate.

#### 2.3. Nanoindentation and scratch tests

Nanoindentation tests were conducted on all the asdeposited coatings using a nanoindentation tester (NHT) equipped with a Berkovich diamond tip. This system, developed by CSEM Instruments, comprises two distinct components: a measuring head for performing nanoindentation and an optical microscope for selecting a specific sample site prior to indentation, and for checking the location of the imprint after indentation. The system has load and displacement resolutions of  $10\,\mu\text{N}$  and  $1\,\text{nm}$ , respectively. Fused silica was used as a standard sample for the initial tip calibration. The indentation was performed by driving the indenter at a constant loading rate of 40 mN/min into the material surface with a maximum applied load of 20 mN. Hardness and the elastic modulus were evaluated using the Oliver–Pharr method [22]

based on the load-displacement data obtained during the indentation tests. Each hardness and elastic modulus data were derived from the load-displacement curves of at least five indentations to ensure repeatability of the experimental data. Adhesion strength of the as-deposited coating was evaluated by a commercial scratch tester (WS-97), equipped with an acoustic emission (AE) detector. The scratch tests were performed by drawing a 200 µm radius Rockwell C diamond indenter across the coating surfaces under a normal load increasing linearly from 0 to 100 N. The loading rate was set at 20 N/min and a scratch speed was 1 mm/min. An AE sensor was attached near the diamond indenter tip to detect the acoustic signals emitted from the coating failure. The minimum load at which a sudden increase in the intensity of the acoustic signals occurs is defined as the critical load  $(L_c)$  that represents the coating adhesion strength.

#### 2.4. Wear tests

Dry wear tests were performed using a HT-500 tribometer with a ball-on-disk configuration at room temperature. In this

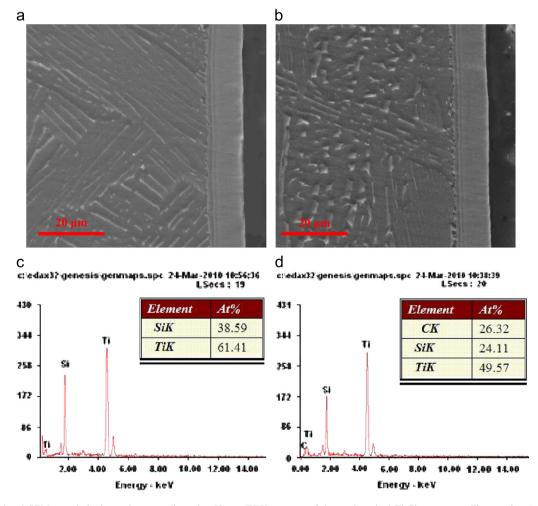


Fig. 2. Cross-sectional SEM morphologies and energy dispersive X-ray (EDX) spectra of the as-deposited  $Ti_5Si_3$  nanocrystalline coating (a, c) and  $Ti_5Si_3/TiC$  nanocomposite coating (b, d).

work, the upper balls, 3 mm in diameter, were made of  $\rm ZrO_2$  with a hardness of  $1300\,H_{\rm V}$  and a surface roughness ( $R_{\rm a}$ ) of  $0.35\,\mu{\rm m}$ , and the lower disc samples ( $10\times10\times3\,{\rm mm}^3$ ) were machined from both uncoated and coated Ti–6Al–4V. The surfaces of the specimens were ground and polished prior to the wear test. During the wear test, the ceramic ball tip was sliding on the specimen along a circle with 6 mm diameter at a sliding velocity of  $0.22\,{\rm ms}^{-1}$  under the applied normal loads of  $3.8\,{\rm N}$  and  $4.8\,{\rm N}$ . The friction coefficient was continuously recorded using a Dell PC connected to the ball-on-disc test rig. The total sliding distance for the tests was 792 m. The profile of the wear tracks on the specimens was measured by a profilometer (Micro-XAMTM, ADE phase-shift company) to determine the worn volume. The specific wear rate of the films, W, was calculated:

$$W = \frac{V}{FS} \tag{1}$$

where *V* is the volume of worn material, *F* is normal load and *S* is the sliding distance.

#### 3. Results and discussion

# 3.1. Microstructures and phase analysis

X-ray diffraction patterns taken from the as-deposited Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating and Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating are shown in Fig. 1. The diffraction peaks obtained from the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating can be indexed to be consistent with hexagonal D8<sub>8</sub>-structured Ti<sub>5</sub>Si<sub>3</sub>. Compared with the powder diffraction file data (No. 29-1362) for Ti<sub>5</sub>Si<sub>3</sub> (represented by vertical lines), the peak positions for Ti<sub>5</sub>Si<sub>3</sub> phase shift to higher angles, which indicates the presence of residual tensile stresses in the monolithic Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating. For the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating, in addition to Ti<sub>5</sub>Si<sub>3</sub> that is identified as the dominant phase, minor amounts of TiC are also detected. Moreover, it can be seen that the diffraction peak positions of Ti<sub>5</sub>Si<sub>3</sub> in the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating are strongly consistent with those of the powder diffraction file data, which is related to a fraction of the carbon atoms becoming dissolved in Ti<sub>5</sub>Si<sub>3</sub> to occupy

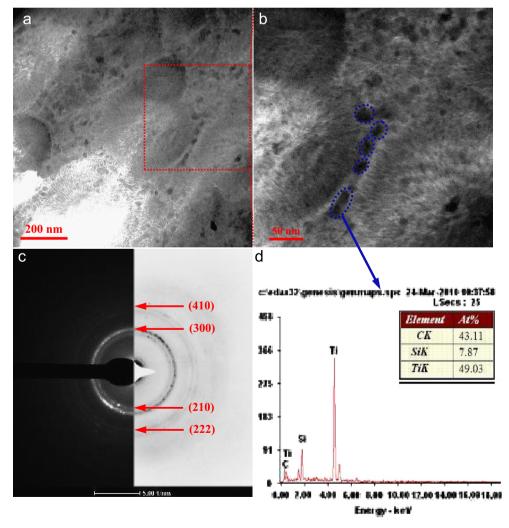


Fig. 3. (a) TEM bright-field image of the as-deposited Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating; (b) high magnification image marked in (a); (c) selected area electron diffraction (SEAD) pattern of the cauliflower-like structure in (b); and (d) energy dispersive X-ray (EDX) spectrum of the particles circled by blue dotted lines in (b).

interstitial sites, thus resulting in a reduction in the residual tensile stresses in the coating.

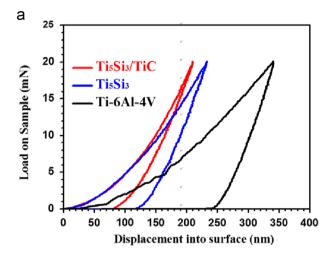
Fig. 2 shows the typical cross-sectional SEM images and the corresponding EDS spectra of both the as-deposited Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating and Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating. As shown in Fig. 2(a) and (b), there are no visible defects or cracks over the cross-section of the two as-deposited coatings, and interface between the coating and the substrate is continuous, indicating that the two as-deposited coatings are tightly adhered to the substrate. The microstructure of the two as-deposited coatings can be classified into two layers, namely, the  $\sim 10 \, \mu m$ thick outer deposition layer and an inner diffusion layer with a thickness of  $\sim 2 \,\mu m$ . EDS area analysis indicates that the outer layer of the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating has the Si/Ti atomic ratio (38.59:61.41) approaching the theoretical proportion value of 0.6 in Ti<sub>5</sub>Si<sub>3</sub>, indicating that the outer layer is composed of single phase Ti<sub>5</sub>Si<sub>3</sub>; for the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating, the outer layer is composed of the Ti, Si and C, and combined when with the XRD and EDS results, it may be inferred that the microstructure of outer layer consists of both Ti<sub>5</sub>Si<sub>3</sub> and TiC phases. Fig. 3(a) shows typical TEM plan-view observations of the outer layer obtained from the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating. It is clear that the microstructure of outer layer is characterized by a cauliflower-like structure with some irregular nanoparticles (marked by blue dotted lines) distributed over its boundaries. A very similar microstructure feature is also observed for the outer layer of Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating, as described in our previous work [23]. A high-resolution image (Fig. 3(b)) from the selected section in Fig. 3(a) reveals that the cauliflowerlike architecture is an assembly of nanocrystallites with an average grain size of  $\sim 15$  nm. A selected-area electron diffraction (SAED) pattern (Fig. 3(c)) obtained from the cauliflower-like architecture indicates that diffraction rings can be indexed to the (210), (300), (222) and (410) lattice planes of hexagonal D8<sub>8</sub>structured Ti<sub>5</sub>Si<sub>3</sub>. Because the size of the irregular nanoparticles distributed among the boundaries of the cauliflower-like architecture is too small to be discerned in the SAED pattern, those nanoparticles are further analyzed by EDS microanalyses (Fig. 3 (d)). The results of EDS analysis indicate that these irregular nanoparticles contain a significant amount of both Ti and C, with a minor amount of Si, suggesting that these nanoparticles may be TiC. The detection of silicon in this region is likely due to the fact that the size of nanoparticles is smaller than that of the focused electron beam used in EDS mode.

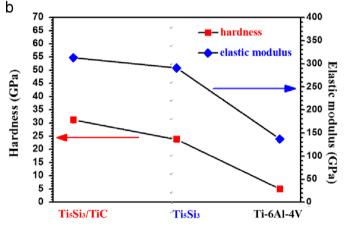
# 3.2. Mechanical properties

# 3.2.1. Nanoindentation tests.

Typical load–displacement (p–h) curves obtained from instrumented nanoindentation for the  $Ti_5Si_3/TiC$  nanocomposite coating, the  $Ti_5Si_3$  nanocrystalline coating and the untreated Ti–6Al–4V substrate indented with a maximum load of 20 mN are presented in Fig. 4(a). The smooth curves on the loading part of both coatings indicate that no fracture or delamination of the coatings occurred during nanoindentation testing. Since the largest indentation depth of the diamond indenter penetration into the two as-deposited coatings is lower than 10% of the

coating thickness, the total deformation is confined within the coating and any substrate effects are negligible. Fig. 4(b) shows hardness (H) and Young's modulus (E) values calculated using the Oliver–Pharr method based on the load displacement data obtained from the two as-deposited coatings and the untreated Ti–6Al–4V substrate. The hardness values of the Ti<sub>5</sub>Si<sub>3</sub>/TiC





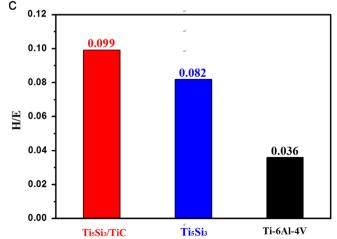


Fig. 4. Load-displacement curves (a), hardness and elastic modulus (b) and and H/E (c) of the two as-deposited coatings and the uncoated Ti-6Al-4V alloy.

nanocomposite coating and the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating were determined to be 31.1 + 1.2 GPa and 23.8 + 1.1 GPa, respectively, both of which are significantly greater than that of coarse grained  $Ti_5Si_3$  reported in the literature (H=11.3+0.54GPa) [24]. Similar findings were also observed in our previous work [25]. Moreover, the two as-deposited coatings show the close resemblance of their elastic properties (the Young's modulus of the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating is 313.7 + 4 GPa and for the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating, Young's modulus is 291.3 + 6 GPa). This is also demonstrated by the unloading parts of both coatings which are very similar. Usually the hardness of a material is determined by its intrinsic resistance to plastic deformation, i.e., depending on the amount of obstacles to plastic deformation provided by a material. For nanocrystalline metals and alloys, strength decreases once grain sizes are below a certain grain size ( $\sim 20 \text{ nm}$ ), where an "inverse Hall-Petch-type" relationship is observed both by experimental research [26] and atomistic simulations [27]. The reason for this behavior is attributed to crossover in the prevailing mechanism from dislocation-mediated deformation to grain boundary-mediated deformation below the critical grain size [28]. Nevertheless, for the covalent compound, its hardness is closely related to the nature of interatomic bonding and its response to strain at the atomistic level. As the grain size of the covalent compound is reduced to the nanoscale, the conduction/valence band edging generally shifts to a higher energy relative to the bulk material and increases the band gap, leading to an enhancement in hardness. Gao [29] and Tse [30] observed in recent experiments

that the hardness of nanocrystalline covalent solids, such as  $BC_2N$  or diamond, increases noticeably with decreasing the grain sizes to less than 10 nm, which may be interpreted as a quantum confinement effect. Furthermore, the presence of TiC within the nanocomposite coating, acting as a physical barrier for intergranular shear sliding, further improves the coating hardness by means of an extrinsic strengthening mechanism. Some researchers have proposed that the ratio of hardness and elastic modulus (H/E) is widely quoted as a valuable measure in determining the limit of elastic behavior in a surface contact, and as a ranking parameter for predicting wear resistance of coating [31]. As shown in Fig. 4(c), the H/E ratio of the  $Ti_5Si_3/TiC$  nanocomposite coating is higher than that of the  $Ti_5Si_3$  nanocrystalline coating, indicative of a potential increase in wear resistance of the  $Ti_5Si_3$ -based coating by forming a composite with TiC.

#### 3.2.2. Indentation fracture toughness.

In order to evaluate the contact-damage resistance of the two as-deposited coatings, the indentation fracture toughness was assessed using different applied loads ranging from 100 to 1000 g with a Vickers indenter. Fig. 5 shows optical micrographs of Vickers indentations on both the  ${\rm Ti}_5{\rm Si}_3$  nanocrystalline coating and  ${\rm Ti}_5{\rm Si}_3/{\rm TiC}$  nanocomposite coating. When the applied load increases to 500 g, the radial cracks emanating from the indentation corners are observed for the  ${\rm Ti}_5{\rm Si}_3$  nanocrystalline coating, whereas there is no evidence of cracking at the indentation corners for the  ${\rm Ti}_5{\rm Si}_3/{\rm TiC}$ 

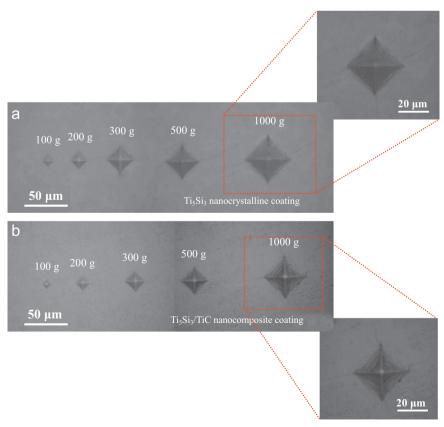


Fig. 5. Optical micrographs of Vickers indentations on the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating (a) and the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating (b).

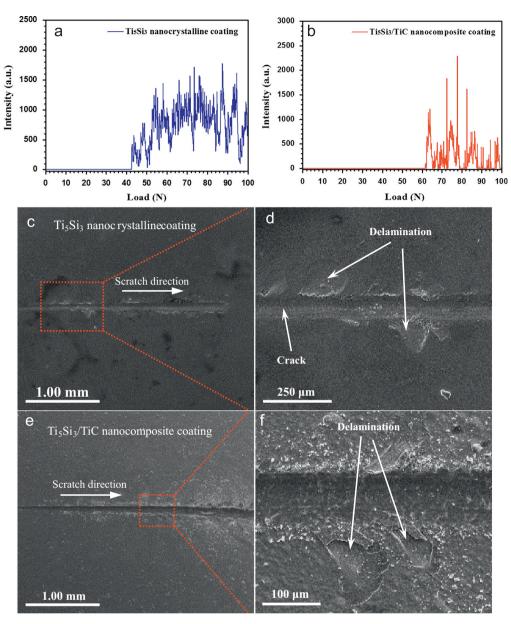


Fig. 6. Representative curves of acoustic emission vs. normal load for the  $Ti_5Si_3$  nanocrystalline coating (a) and the  $Ti_5Si_3/TiC$  nanocomposite coating (b). SEM micrographs of scratch of the  $Ti_5Si_3$  nanocrystalline coating (c), (d) high magnifications of a selected area in (c). SEM micrographs of scratch of the  $Ti_5Si_3/TiC$  nanocomposite coating (e), (f) high magnifications of a selected area in (e).

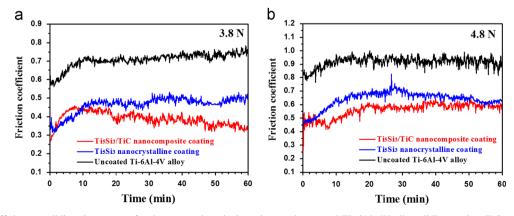


Fig. 7. Friction coefficient vs. sliding time curves for the two as-deposited coatings and uncoated Ti-6Al-4V alloy sliding against  $ZrO_2$  ceramic ball under the normal loads of 3.8 (a) and 4.8 N (b).

nanocomposite coating until the indentation load reaches 1000 g. This implies that the  $\text{Ti}_5\text{Si}_3/\text{TiC}$  nanocomposite coating shows that greater energy is required to generate a crack or a higher threshold value for crack formation as compared to the  $\text{Ti}_5\text{Si}_3$  nanocrystalline coating. The indentation fracture toughness ( $K_{IC}$ ) values for the two coatings can be roughly evaluated by measuring the lengths of radial cracks propagating from the corners of the Vickers indentations according to the following equation [32]:

$$K_{\rm IC} = 0.204 \left(\frac{c}{a}\right)^{-3/2} H_{\rm v} a^{1/2}$$
 (2)

where c is the trace length of the crack measured from the center of the indentation, a is one-half of the average length of the two indent diagonals and  $H_{\rm v}$  is the hardness. The calculated fracture toughness values for the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating and Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating are about 3.7 and 11.5 MPa m<sup>1/2</sup>, respectively. This result shows that the fracture toughness of Ti<sub>5</sub>Si<sub>3</sub> is increased by a factor of three through incorporation of TiC. Experimental data obtained using the same model reported in the literatures indicate that the indentation fracture toughness values for the coarse grained monolithic  $Ti_5Si_3$  and  $Ti_5Si_3$ -35 vol% TiC composite are 2.5 MPa m<sup>1/2</sup> and 4.2 MPa m<sup>1/2</sup> [20], respectively. Thus, a reduction in grain size to the nanometer scale is helpful in improving the indentation fracture toughness for both a monolithic Ti<sub>5</sub>Si<sub>3</sub> and a Ti<sub>5</sub>Si<sub>3</sub>-based composite. The magnified images of the radial crack paths at the applied load of 1000 g show that the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating presents a greater degree of tortuosity, indicative of crack deflection as an important toughening mechanism in the enhanced fracture toughness.

Furthermore, due to the large difference between the thermal expansion coefficients along the crystallographic a  $(5.98 \times 10^{-6}$  $K^{-1}$ ) and c (16.64 × 10<sup>-6</sup>  $K^{-1}$ ) directions, monolithic Ti<sub>5</sub>Si<sub>3</sub> is extremely anisotropic in its thermal expansion (CTE(c)/CTE(a) ratio of 2.8) [33], which leads to the formation of significant residual thermal stresses inside the grains of polycrystalline Ti<sub>5</sub>Si<sub>3</sub> during cooling from the processing temperature, and even gives rise to microcracking [34]. These residual thermal stresses have negative effect on the mechanical properties of Ti<sub>5</sub>Si<sub>3</sub>, including poor room-temperature hardness and fracture toughness [35,36]. Previous studies have demonstrated that both refining the grain size and suitable alloying additions can reduce the adverse impact of the anisotropy of the coefficient of thermal expansion significantly. Thom et al. [37] have measured a critical grain size of 4 µm for Ti<sub>5</sub>Si<sub>3</sub>, above which the residual stresses are large enough to cause microcracking due to the CTE anisotropy. Fu et al. [38] suggested that the high thermal expansion anisotropy of Ti<sub>5</sub>Si<sub>3</sub> originates from a chain of faceshared octahedral of titanium atoms in the c-direction, which exhibits a high anharmonicity and causes a high thermal expansion in the c-direction. Alloying Ti<sub>5</sub>Si<sub>3</sub> with interstitial atoms, such as carbon, nitrogen and oxygen, lowers the CTE(c)markedly due to replacement of weak metallic bonds associated with the octahedral chain with stronger titanium-interstitial covalent bonds. Williams et al. reported [39] that carbon addition reduces the CTE anisotropy for pure  $Ti_5Si_3$  from  $\sim 3$  to  $\sim 1.9$ . Therefore, the  $Ti_5Si_3/TiC$  nanocomposite coating exhibits a lower residual stress by a beneficial combination of nanoscale grains and carbon doping effects, which also contributes to the enhancement in its fracture toughness.

# 3.2.3. Scratch test

Scratch resistance is a good indicator of not only adhesion strength between the coating and the substrate, but also the load bearing capacity, fracture toughness and abradability of a coating [40–42]. The acoustic emission curves are plotted as a function of the normal load in Fig. 6(a)-(b), and the corresponding SEM images of the scratch track are displayed in Fig. 6(c)-(f). No acoustic emission signals are detected at the early stage of scratching, and subsequently continuous acoustic emission peaks emerged after the applied load was greater than a critical load. The critical loads for the Ti<sub>5</sub>Si<sub>2</sub>/TiC nanocomposite coating and the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating are 62 and 42 N, respectively. As a general rule, a critical load of above 30 N measured with a Rockwell C diamond tip in scratch testing is believed to be sufficient for sliding contact applications [40]. Thus, the two as-deposited coatings are likely to be suitable for wear applications involving high loads. As shown in Fig. 6(c)–(f), at an applied load less than the critical load, the scratch tracks appear to exhibit smooth morphologies without visible delamination or microcracking. As the applied loads reach critical loads, the scratch tracks clearly show microscopic failures within the coatings, characterized by the parallel cracks at the bottom of the scratch tracks and a large area of delamination at the edge of the scratch tracks. From SEM morphological observations of the scratch tracks, it can be seen that the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating suffers more severe delamination and shows a higher crack density than the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating, which is in accordance with the higher intensity of the acoustic emission peak. It is worthwhile to note that scratch resistance is a good indicator of not only adhesion strength between the coating and the substrate, but also the load bearing capacity and abradability of a coating [41-43]. Therefore, the introduction of TiC is beneficial not only to the enhancement of adhesion strength, but also to the improvement of these properties.

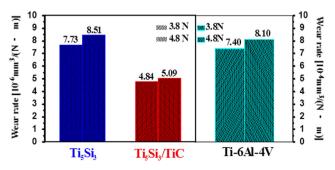


Fig. 8. Specific wear rates of the two as-deposited nanocrystalline coatings and reference Ti-6Al-4V alloy sliding against  $ZrO_2$  ceramic ball under the normal loads of 3.8 and 4.8 N.

# 3.3. Friction and wear experiments

The ball-on-disc configuration, which has been used to simulate wear process that occurs in dynamically loaded components such as bearings, gears and crankshafts, was applied to evaluate the wear resistance of the specimens.

Fig. 7 shows the change in friction coefficient as a function of sliding time for the two as-deposited coatings and the uncoated Ti–6Al–4V substrate sliding against ZrO<sub>2</sub> ceramic balls under the normal loads of 3.8 and 4.8 N. As can be seen in Fig. 7, all of the friction coefficient curves follow a similar tendency, where the friction coefficient increases rapidly in the initial

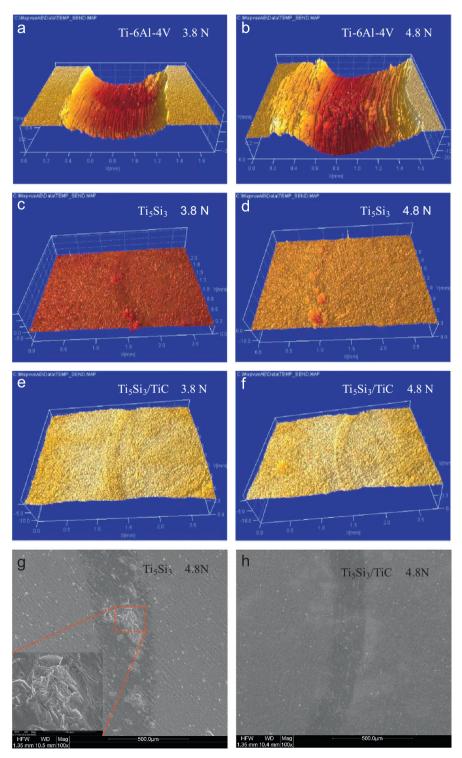


Fig. 9. Three-dimensional profiles of wear scars for the uncoated Ti-6Al-4V alloy and two as-deposited nanocrystalline coatings (a–f) and SEM micrographs of the worn surfaces of the Ti5Si3 nanocrystalline coating (g) and the Ti5Si3/TiC nanocomposite coating (h) as sliding against  $ZrO_2$  ceramic ball under the normal loads of 4.8 N.

10 min and then reaches a quasi-steady-state value. With increasing applied normal load, the steady-state friction coefficients of the two as-deposited coatings and the uncoated Ti-6Al-4V substrate increase, and under each tested load, the steady-state friction coefficients of the two as-deposited coatings are reduced by 0.3-0.4 as compared to the uncoated Ti-6Al-4V substrate. This might be attributed to a decrease in the real contact area and alleviating surface plastic removal due to the higher surface hardness for the as-deposited coatings. Fig. 8 shows the specific wear rates of the two as-deposited coatings and the uncoated Ti-6Al-4V substrate. When the applied normal load increases from 3.8 N to 4.8 N, the specific wear rates of the uncoated Ti-6Al-4V substrate increase from  $7.4 \times 10^{-4}$  to  $8.1 \times 10^{-4}$  mm<sup>3</sup> N<sup>-1</sup> m<sup>-1</sup>, whereas the specific wear rates of the two as-deposited coatings are almost independent of the applied normal loads and are two orders of magnitude less than those of uncoated Ti-6Al-4V alloy, being around  $10^{-6} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1}$ . Under identical normal loads, the specific wear rates for the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating are reduced by 30-40% as compared to the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating, implying that wear resistance of a Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating is enhanced by the addition of TiC. It is worth noting that the specific wear rates are affected by the ratios of H/E for the two as-deposited coatings. In order to gain deeper insights into the wear mechanisms, the worn morphologies of the two as-deposited coatings and the uncoated Ti-6Al-4V substrate were analyzed using 3D profile measurements and SEM (Fig. 9). As seen in Fig. 9(a) and (b), the worn surfaces of untreated Ti-6Al-4V substrate display numerous deep and wide grooves along the sliding direction due to the surface deformation plowing by hard surface asperities of the ZrO<sub>2</sub> ceramic ball, together with some craters from adhesive detachment, suggesting that the dominant wear mechanisms of the uncoated Ti-6Al-4V substrate are severe abrasive and adhesive wear. At a higher normal load (4.8 N), the wear track of the Ti-6Al-4V becomes wider and deeper, which is also in agreement with a larger steady-state friction coefficient. In contrast, the two as-deposited coatings exhibit signs of very slight damage, characterized by a smooth worn surface with narrow and shallow plowing grooves. SEM inspection of the worn surfaces (Fig.9(g) and (h)) further indicates that there are some peeling micro-craters with some micro-cracks at the bottom of the worn track of the Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating, suggesting that brittle micro-fracture is predominant material removal mechanism for the monolithic Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating and leads to the higher specific wear rates in this coating with respect to the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating. Previous studies have shown that for hard, brittle materials such as ceramics, the wear resistance is always proportional to  $H^{1/2}K_{\rm IC}^{3/4}$ , indicating that fracture toughness is more important than the hardness for enhancing the wear resistance of brittle materials [44]. This may explain why the wear volume loss of these materials through brittle fracture processes is typically greater than that by plastic deformation processes [45]. In terms of the above mechanical properties data, the addition of TiC to Ti<sub>5</sub>Si<sub>3</sub> increases the load bearing capacity, hardness and fracture toughness of the Ti<sub>5</sub>Si<sub>3</sub>/TiC

nanocomposite coating, and consequently has a positive effect on its wear behavior relative to the monolithic  $Ti_5Si_3$  nanocrystalline coating, as evidenced by a shallow wear track without visible microcraters or spallation.

#### 4. Conclusions

In summary, a novel Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating was prepared onto a Ti-6Al-4V substrate by a double cathode glow discharge in an attempt to improve its poor tribological properties. The Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating consists of cauliflower-like Ti<sub>5</sub>Si<sub>3</sub> grains with TiC nanoparticles distributed at the grain boundaries. The mechanical and tribological properties of the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating were characterized by nanoindentation, scratch, Vickers indentations and dry sliding wear tests. Compared to the monolithic Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating, the hardness, elastic strain to failure (H/E) and fracture toughness are significantly increased by the addition of TiC-Ti<sub>5</sub>Si<sub>3</sub>. Under dry sliding wear conditions, the specific wear rates of the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating decrease by two orders of magnitude and 30-40%, respectively, as compared to the uncoated Ti-6Al-4V substrate and the monolithic Ti<sub>5</sub>Si<sub>3</sub> nanocrystalline coating. The results show that an increase in the fracture toughness of the Ti<sub>5</sub>Si<sub>3</sub>/TiC nanocomposite coating, combined with higher hardness, has a positive effect on its wear behavior.

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