

# Effect of $\text{Al}^{3+}$ on the growth of ZnO nanograss film and its application in dye-sensitized solar cells

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## Abstract

ZnO nanorod arrays were synthesized by an aqueous solution process with  $\text{Al}^{3+}$  as an adjusting agent, and their performances as photoanodes of dye-sensitized solar cells (DSSC) were investigated. The ZnO nanorods were characterized by scanning electron microscopy (SEM) and X-ray photoelectron spectroscopy (XPS). It was found that adding  $\text{Al}^{3+}$  during the growth procedure not only changed the morphology and aspect ratio but also affected the chemical features of the ZnO nanorods. The ZnO nanograss films were evaluated as photoanodes for DSSC. The photovoltaic results showed that the overall conversion efficiency of DSSC based on ZnO nanorods obtained from the growth solution containing 2 at%  $\text{Al}^{3+}$  was increased by 55.6% as compared to that without  $\text{Al}^{3+}$ . This significant improvement was attributed to the reduced charge recombination and enhancement of the electron lifetime.

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**Keywords:** ZnO nanograss; Aluminum ion; Solution method; Dye-sensitized solar cell

## 1. Introduction

Since porous nanocrystalline titanium dioxide ( $\text{TiO}_2$ ) were used as electrode materials, and a conversion efficiency of 7.1% was obtained by Grätzel et al. in 1991, dye-sensitized solar cells (DSSC) are regarded as the promising photovoltaic devices in the decades due to their low cost and high efficiency of photo to electric conversion and eco-friendly production [1,2]. Nowadays, the highest conversion efficiency of DSSC based on porous  $\text{TiO}_2$  film has exceeded 12% [3]. Besides  $\text{TiO}_2$ , other n-type binary metal oxide semiconductors can be used in DSSC in principle, such as ZnO,  $\text{Fe}_2\text{O}_3$ ,  $\text{SnO}_2$ ,  $\text{Nb}_2\text{O}_5$  and so on [4]. Among these materials, ZnO has attracted more attentions since it possesses a similar band-gap structure but a higher electronic mobility compared to  $\text{TiO}_2$  [5]. What is more, it is easier to obtain highly crystallized ZnO and various morphologies [6–8]. In the past decades, DSSC based on ZnO

nanostructures have been reported by many groups [9–13]. ZnO 1-D nanostructures, such as nanotubes [14,15] and nanowires [16,17] have been extensively studied. The purpose for using ZnO 1-D nanostructures is expected to significantly enhance the electron transport efficiency in the photoelectrode films by providing a direct conduction pathway for the rapid collection of photogenerated electrons, therefore reducing the number of interparticle hops.

Although the results obtained from 1-D ZnO nanostructures have shown relatively lower conversion efficiency than those from  $\text{TiO}_2$ , it is still regarded as a promising alternative to  $\text{TiO}_2$  due to its superior properties. However, the insufficient surface area of 1-D ZnO nanostructures seems to be the primary factor that limits the amount of dye adsorption as well as the conversion efficiency of the cells. One of the effective approaches to solve this problem is to reduce the diameter of the nanowires/nanorods, thus increasing the density of the array [18,19]. Many groups had reported that the aspect ratio of ZnO nanorods/wires could be controlled by adding polymer capping agent, such as poly(ethylenimine) (PEI). For example,

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Law et al. [10] reported that the introduction of PEI into the growth solution as an additive could efficiently increase the length of ZnO nanowire arrays, receiving ZnO nanowires with an aspect ratio above 125, which is the enlarged inter surface area for dye loading in DSSC application. Recently, Joo et al. [20] found that the geometry of hydrothermally grown ZnO nanowires can be tuned from platelets to needles by introducing ancillary non-zinc sulfates, covering more than three orders of magnitude in aspect ratio ( $\sim 0.1$ –100). To our best knowledge, there has been no thorough report on the electrochemical details of ZnO nanograss, prepared by hydrothermal methods modified by metal ion, used as photoanode materials in DSSC.

Thus, in the present work, we preliminarily synthesized ZnO nanograss *via* the aqueous solution method by introducing  $\text{Al}^{3+}$  as an additive. The effect of  $\text{Al}^{3+}$  concentration varying from 0 to 1 mM on the structure and micro-morphology of ZnO nanograss films grown on FTO substrates was examined. In this case, the  $\text{Al}^{3+}$  not only affected the aspect ratio of ZnO nanograss, but also affected the oxygen defect in the skin layer of ZnO. The photovoltaic conversion properties in dye-sensitized solar cells (DSSC) were also discussed.

## 2. Experimental

### 2.1. Synthesis of ZnO nanograss

All chemicals in this experiment were of analytical grade and used as received. Fluorinated tin oxide (FTO,  $15 \Omega \text{ cm}^{-2}$ , Nippon Sheet Glass, Japan) were rinsed ultrasonically in acetone, ethanol and distilled water for 15 min, respectively. Synthesis of zinc oxide (ZnO) nanograss was similar to our previous report with a small difference [18]. A typical procedure as follows: a ZnO seed layer was prepared on FTO glass by dip-coating (3 cm/min) in 5 mM ethanolic solutions of zinc acetate, followed by thermal decomposition at  $300^\circ\text{C}$  for 15 min. The obtained seed layer covered FTO glasses were then transferred into a growth solutions of 25 mM zinc nitrate ( $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ , Aladdin), 25 mM hexamethylenetetramine (HMTA, Aladdin) and different concentrations (0, 0.25, 0.5 and 1 mM) of aluminum nitrate ( $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ , Chengdu Kelong chemical), and hydrothermal reaction was carried out at  $92^\circ\text{C}$  for 20 h. The growth solutions were refreshed every 4 h during the reaction period. Then the FTO glasses covered by ZnO films were taken out to rinse with deionized water and dried at room temperature. The obtained samples were named as Al-0, Al-0.25, Al-0.5 and Al-1, respectively.

### 2.2. Fabrication of DSSC

The as-prepared ZnO nanograss films were sensitized into 0.3 mM solution of  $(\text{Bu}_4\text{N})_2\text{Ru}(\text{dcbpyH})_2(\text{NCS})_2$  (N719 dye, Dyesol, Australia) in dry ethanol for 3 h, followed by cleaning with absolute ethanol to remove redundant dye. A chemically platinized FTO counter electrode was placed over the dye-sensitized electrode, and then the electrolyte solution

containing 0.3 M 1,2-dimethyl-3-propylimidazolium iodide, 0.5 M LiI, 0.05 M  $\text{I}_2$  and 0.5 M 4-tert-butylpyridine in acetonitrile, was sandwiched between the photoanode and the counter electrode by firm press. An adhesive tape with a thickness of approximately  $50 \mu\text{m}$  was placed between the two electrodes to avoid short circuit.

### 2.3. Characterization of the samples

Morphological observations of the ZnO nanograss films were conducted by scanning electron microscopy (SEM, JSM-6490LV, JEOL) operated at 20 kV. Structural phase analyses were performed on a Philips X'Pert PRO X-ray diffractometer with a  $\text{CuK}_\alpha$  radiation. The binding energy level and the binding energy of the ZnO nanograss films were measured on an X-ray photoelectron spectroscopy (XPS, Kartos Amicus, England) with a monochromatic Mg  $\text{K}_\alpha$  radiation source at room temperature. The photovoltaic performance of the DSSC was measured by CIMPS-2 electrochemical workstation (Zahner, Germany) under simulated illumination ( $100 \text{ mW cm}^{-2}$ ) was provided by 150 W Xenon Arc Lamp (XBO 150 W/CR OFR, OSRAM), while the electrochemical impedance spectra (EIS) were measured at  $V_{\text{OC}}$  with a bias AC signal of 10 mV in the frequency range of  $0.1$ – $10^5$  Hz under simulated illumination. The action spectra of the incident monochromatic photon to current conversion efficiency (IPCE) for the solar cells were measured over the wavelength of 430–730 nm with an IPCE measurement system (CIMPS-2, Zahner, Germany). The open circuit voltage decay (OCVD) was conducted by switching off the illumination of the solar simulator in a dark condition.

## 3. Results and discussion

Fig. 1 presents the SEM images of  $45^\circ$  tilt-view section of the ZnO nanograss films grown on FTO glasses from reaction solutions containing 0, 0.25, 0.5 and 1 mM  $\text{Al}(\text{NO}_3)_3$  respectively. The morphologies revealed that hexagonal columns of ZnO crystals grew perpendicularly to the FTO substrate from all the solutions. The mean values of the ZnO nanorods including the length and the diameter were estimated from the statistical evaluations of SEM images. It can be measured that the thicknesses of ZnO nanograss films are almost *ca.*  $6.5 \mu\text{m}$ , however, the mean diameters of ZnO hexagonal nanorods vary with the addition of  $\text{Al}(\text{NO}_3)_3$ . In comparison, the mean diameter of ZnO nanorods grown from the solution without  $\text{Al}(\text{NO}_3)_3$  was about 465 nm, while those were approximately 210, 200 and 230 nm with adding 0, 0.25 and 1 mM  $\text{Al}(\text{NO}_3)_3$ , respectively. The results showed that the mean diameters of ZnO nanorods were significantly decreased when adding a small concentration of  $\text{Al}(\text{NO}_3)_3$  in the growth solution. There was no obvious change as further increasing  $\text{Al}(\text{NO}_3)_3$  concentration. That seemed the growth in *a*-direction of ZnO crystal was suppressed by  $\text{Al}^{3+}$  ions. The possible reason will be deeply discussed in the following section.

The crystallinity of the obtained samples grown on FTO substrate was investigated using X-ray diffraction analysis. The XRD patterns of the as-prepared ZnO nanograss films are

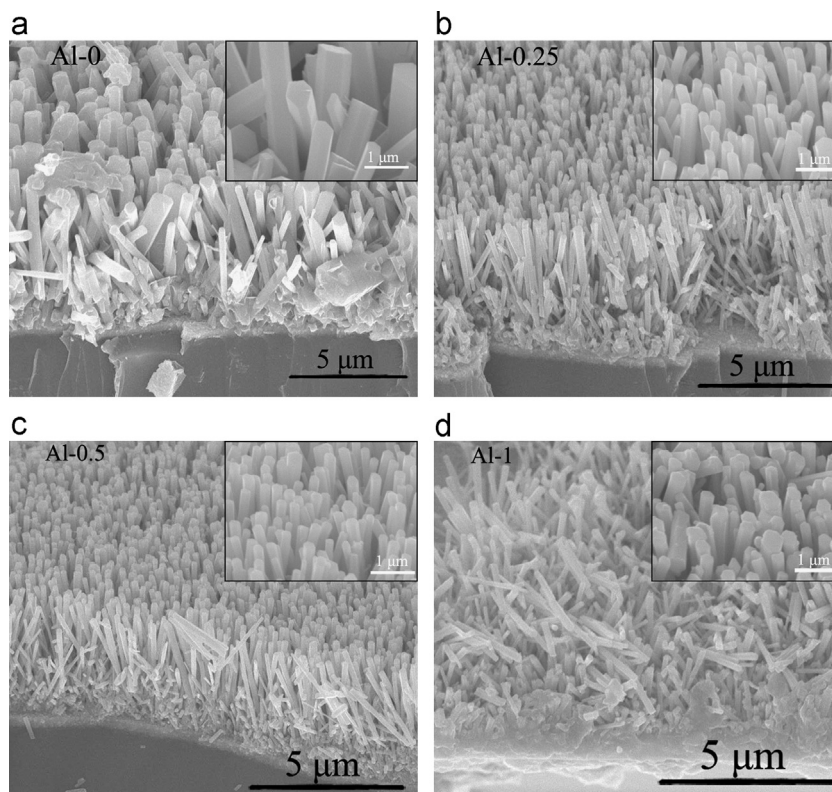


Fig. 1. FE-SEM tilt-view ( $45^\circ$ ) images of ZnO nanograss films grown under different conditions (a) 0 mM  $\text{Al}(\text{NO}_3)_3$ , (b) 0.25 mM  $\text{Al}(\text{NO}_3)_3$ , (c) 0.5 mM  $\text{Al}(\text{NO}_3)_3$  and (d) 1 mM  $\text{Al}(\text{NO}_3)_3$ . The inserts show the high magnification images of the samples.

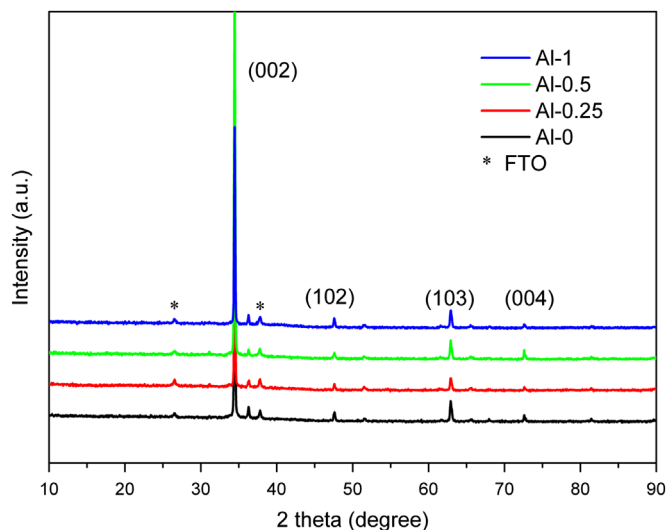


Fig. 2. X-ray diffraction patterns of ZnO nanograss films under different growth conditions on FTO glass substrates. The diffraction peaks (denoted as \*) in all the curves are ascribed to the FTO substrate.

summarized in Fig. 2. The strongest peak located at  $34.4^\circ$  corresponds to the (002) crystal plane of the wurtzite ZnO. This indicates that the  $c$ -axis of the nanorods is perpendicular to the FTO substrate surface, which is in accordance with SEM observations as shown in Fig. 1. All diffraction peaks can be well indexed to hexagonal wurtzite ZnO (JCPDS Card No. 36-1451,  $a=b=3.2498$ ,  $c=5.2066$ ) except for those arising from FTO substrate (denoted as \*). No characteristic peaks arising

from reactants or intermediate products are detected, implying high purity of the prepared ZnO nanograss films.

XPS analysis was carried out to elucidate the surface chemical states and atomic compositions of the ZnO nanograss films. It was well known that the peak of Al 2p was mainly located at 74.7 eV attributed to the Al–O bonds [21]. However, no Al 2p signals were detected for all the samples of ZnO nanograss (Fig. 3). It was speculated that the aluminum atom had not been doped into ZnO lattice, but affected the growth of ZnO nanostructures by means of selective adsorptions on ZnO crystalline facets through electrostatic interaction. The O 1s peaks of the XPS spectra and their deconvolution results for ZnO nanostructures are illustrated in Fig. 3. It can be found that the typical O 1s peak of ZnO can be consistently fitted by three nearly Gaussian components, centered at 530.1 eV, 531.4 eV, and 532.5 eV, accordingly. The component on the lower binding energy side of the O 1s spectra was owing to the  $\text{O}^{2-}$  ions on wurtzite structure of hexagonal  $\text{Zn}^{2+}$  ion arrays, surrounded by Zn atoms with their full complement of nearest-neighbor  $\text{O}^{2-}$  ions [22]. The medium binding energy component, centered at 531.4 eV, was related to  $\text{O}^{2-}$  ions in oxygen defect in the skin layer of ZnO matrix, such as  $\text{ZnO}_x$  and/or Zn–OH species [23], of which the intensity could be partly linked to the variations in the concentration of oxygen vacancies. The higher peak at 532.5 eV was usually attributed to the presence of loosely bound oxygen atoms on the surface of ZnO nanograss, such as  $-\text{CO}_3$ , adsorbed  $\text{H}_2\text{O}$  or  $\text{O}_2$ , which could not be easily removed, even by annealing at high temperature [24]. Contrastively, the intensity percentages of

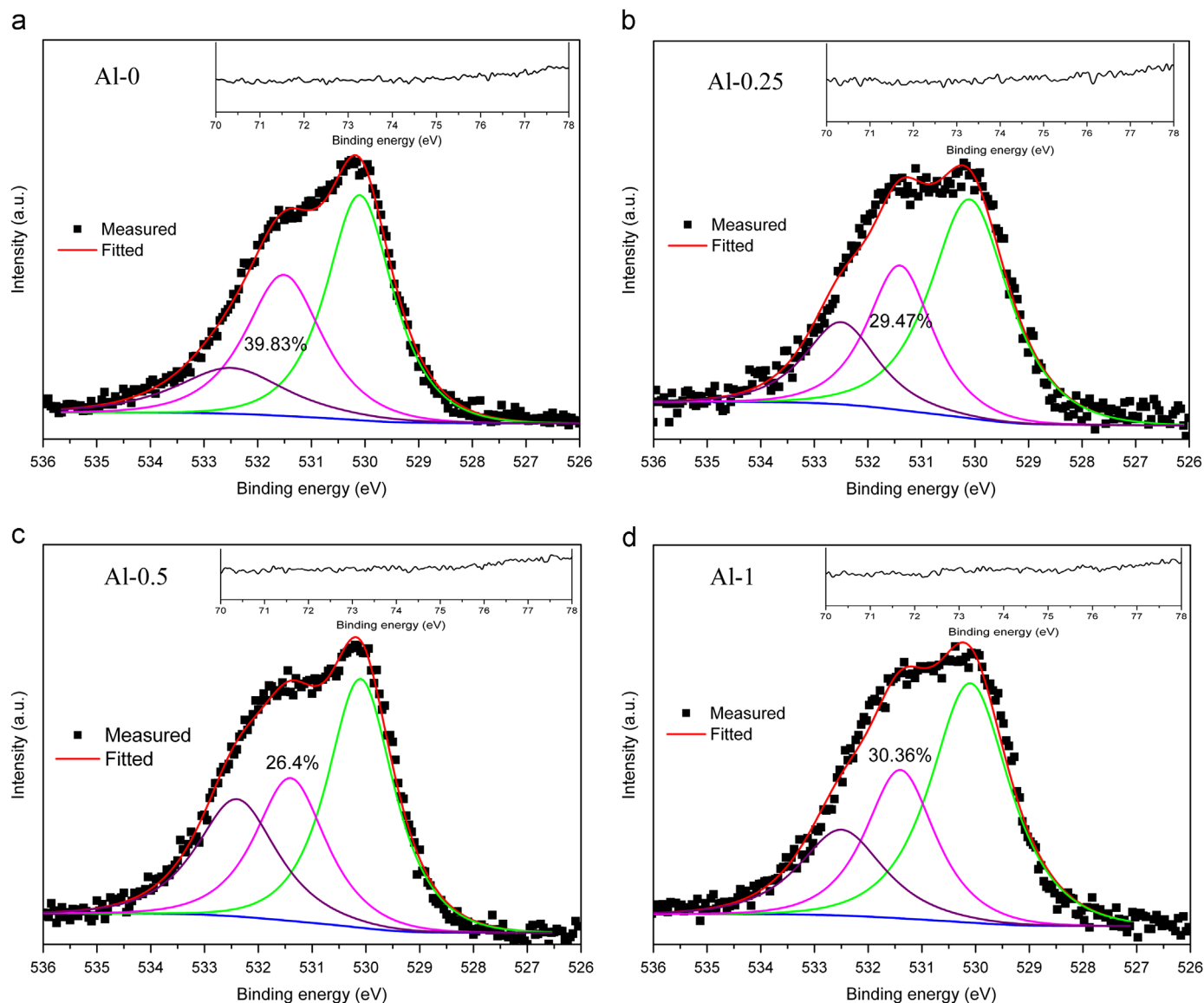


Fig. 3. High-resolution O 1s XPS spectra for the ZnO grown under different conditions (a) 0 mM  $\text{Al}(\text{NO}_3)_3$ , (b) 0.25 mM  $\text{Al}(\text{NO}_3)_3$ , (c) 0.5 mM  $\text{Al}(\text{NO}_3)_3$  and (d) 1 mM  $\text{Al}(\text{NO}_3)_3$ . All inserts show high-resolution Al 2p XPS spectra for corresponding ZnO nanograss.

the medium binding energy components to the total O 1s peaks are 39.83%, 29.47%, 26.4% and 30.36%, respectively. It can be seen that the oxygen defect in the skin layer of ZnO decreases first with increase of  $\text{Al}^{3+}$  concentration and then increases as further increasing  $\text{Al}^{3+}$  concentration. These results indicate that the surface oxygen defect would be affected by adding  $\text{Al}^{3+}$  during the growth procedure.

The mechanism of  $\text{Al}^{3+}$  affecting ZnO nanograss growth was studied based on both morphological observations and processing hydrolyzes in the precursor solutions. In this work, HMTA was used as a weak base and pH buffer. It was generally accepted that HMTA decomposed slowly in heated aqueous solutions to yield ammonia and formaldehyde as an initial reactant, resulting in forming hydroxide ions. Then the hydroxide ions would react with  $\text{Zn}^{2+}$ , leading to continuous formation of zinc complexes, and finally convert into ZnO crystallites, growing along the *c*-axis towards ZnO nanorods.

Meanwhile, as the  $\text{Al}^{3+}$  was introduced into the growth solution, some other reactions would occur.  $\text{Al}(\text{OH})_3$  would be the first compound formed in the solution, and then the successive reaction would take place between  $\text{Al}(\text{OH})_3$  and superfluous  $\text{OH}^-$ , resulting in generation of anionic  $\text{AlO}_2^-$  in the solution. The detailed chemical reactions in the whole process are given as follows [25–27]:

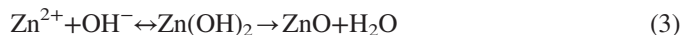


Fig. 4 shows a schematic diagram of the proposed growth mechanisms of ZnO nanorods without or with aluminum ions. It is well known that ZnO crystal consists of oxygen



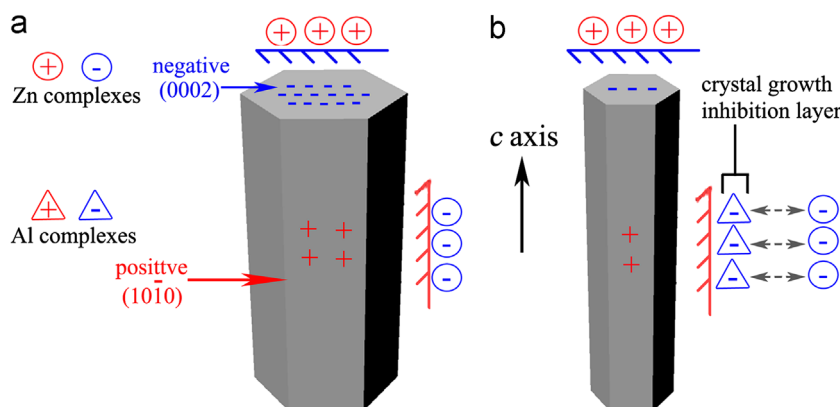


Fig. 4. Schematic diagrams for the growth of ZnO nanorods (a) without aluminum nitrate and (b) with aluminum nitrate.

and zinc atoms packing hexagonally, with top tetrahedron corner-exposed polar zinc (0001) plane, basal polar oxygen (000 $\bar{1}$ ) plane, and six symmetric non-polar {01 $\bar{1}$ 0} planes parallel to the [0001] direction. Generally, ZnO crystals grew preferentially along the [001] direction and form 1-D nanorods because the (0001) plane had the highest surface energy [6], as shown in Fig. 4a. From the previous research [20], it had been proven that the (0002) surface was negatively charged and {01 $\bar{1}$ 0} surface exhibited positively charged at pH=11. Thus, we suggested that  $\text{AlO}_2^-$  might serve as the surface-suppression agent in the reaction system, as shown in Fig. 4b. The adsorption of  $\text{AlO}_2^-$  on the {01 $\bar{1}$ 0} surface by the electrostatic interaction formed crystal growth inhibition layer, resulting in changing the growth rate of different crystal facets. Accordingly, the intrinsic growth of ZnO along [100] direction was substantially suppressed. The passivation effects of the  $\text{AlO}_2^-$  inhibition layer resulted in increasing of the aspect ratio of ZnO nanorods, as shown in Fig. 1.

The current–voltage characteristics of ZnO nanorods based DSSC were studied under simulated sunlight with intensity of  $100 \text{ mW cm}^{-2}$ . Fig. 5 shows the measured  $J$ – $V$  curves of the nanorods based DSSC fabricated with the above samples. The values of open-circuit voltage ( $V_{\text{OC}}$ ), short-circuit current density ( $J_{\text{SC}}$ ), fill factor (FF), and conversion efficiencies ( $\eta$ ) of the electrodes are summarized in Table 1. All samples showed open-circuit voltage ( $V_{\text{OC}}$ ) of ca. 0.60 V, indicating that there was no significant effect of ZnO nanorod diameter on  $V_{\text{OC}}$  of the DSSC in this study. Generally,  $V_{\text{OC}}$  of a solar cell was determined by the difference between the Fermi level for electrons in the ZnO electrode and the redox potential of  $\text{I}_3^-/\text{I}^-$  [4].  $J_{\text{SC}}$  showed an increasing behavior first from 0.965 to  $1.344 \text{ mA cm}^{-2}$  when the aluminum ions concentration was less than 0.5 mM. Once the aluminum ions concentration exceeded 0.5 mM,  $J_{\text{SC}}$  values decreased from 1.344 to  $1.155 \text{ mA cm}^{-2}$ . Compared with the efficiency of 0.18% for the DSSC fabricated with Al-0 electrode, the optimized DSSC based on Al-0.5 photoelectrode increased the efficiency by 55.6%. Fig. 6 displays the incident photon to electron conversion efficiency (IPCE) of the DSSC as a function of the monochromatic irradiation wavelength. The maximal values of IPCE curves at the wavelength of about 525 nm were contributed to the dye absorption, resulting from the

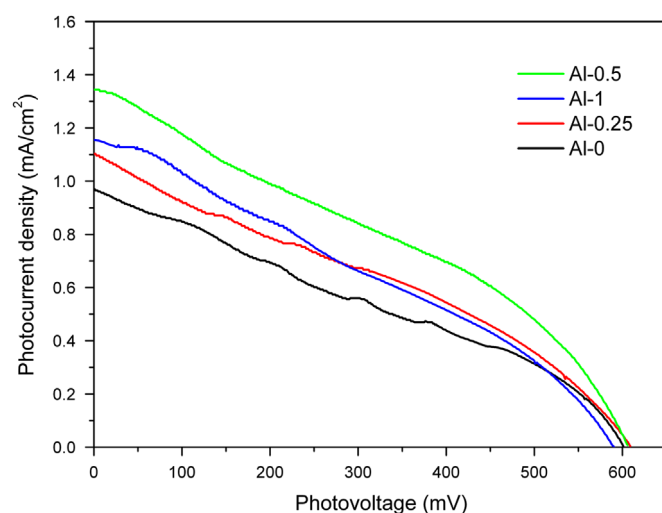


Fig. 5. Photocurrent–voltage ( $J$ – $V$ ) curves of DSSC fabricated with different photoelectrodes.

visible  $t_2-\pi^*$  metal-to-ligand charge transfer (MLCT) [28]. It could be clearly seen that the IPCE of the DSSC based on Al-0.25, Al-0.5 and Al-1 photoanodes had been improved as compared with the DSSC based on Al-0 photoanode, which agreed with the results of  $J_{\text{SC}}$ . We ascribed the majority of the improvement in cell performance to the enlargement of  $J_{\text{SC}}$  behavior in our case.

The open-circuit voltage decay (OCVD) curves can provide more information of electron recombination processes in DSSC between the ZnO electrode and electrolyte in dark [29]. Since there was no current flow through the DSSC device when the illumination of the DSSC at open circuit condition was interrupted, the excess electrons recombined with the electrolyte at the interface. Thus the photovoltage decay rate was related to electron lifetime [30]. The results of the OCVD measurements performed on the cells are reported in Fig. 7. In our case, it was evident that the decay rate of open-circuit voltage (evidencing charge lifetime) decrease first when the aluminum ions concentration was less than 0.5 mM, and then increase as the aluminum ions concentration exceeded 0.5 mM. The results indicated the highest recombination rate for Al-0 based DSSC and the slowest recombination rate for

Table 1  
Cell performance of characteristics of ZnO nanograss based DSSC.

Electrode	$J_{SC}$ (mA cm <sup>-2</sup> )	$V_{OC}$ (mV)	FF	$\eta$ (%)	% increase
Al-0	0.965	603	0.309	0.18	–
Al-0.25	1.102	609	0.327	0.22	22.2
Al-0.5	1.344	606	0.344	0.28	55.6
Al-1	1.155	592	0.304	0.21	16.7

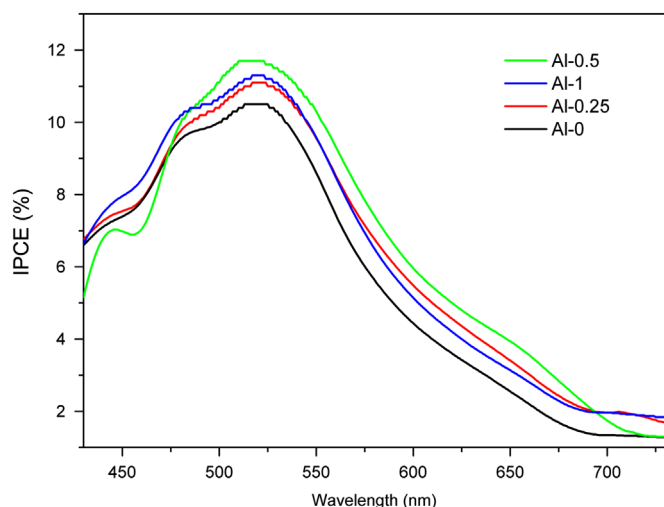


Fig. 6. The incident photo to electric conversion efficiency (IPCE) curves of the DSSC fabricated with different photoelectrodes.

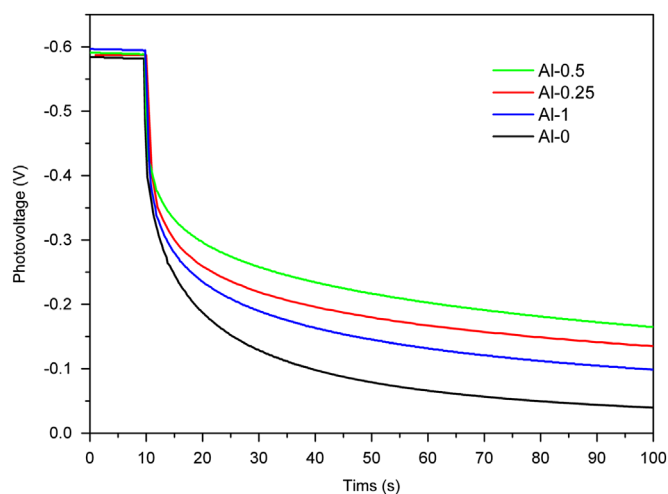


Fig. 7. Open-circuit voltage decay measurement of four DSSC fabricated with different electrodes.

Al-0.5 based DSSC. As well known, the electron lifetime was mainly affected by the surface state traps in the ZnO film. It revealed that higher electron lifetime in lower  $V_{OC}$  represented the photoanode possessed a lower surface trap density [31]. From the XPS results, it had been demonstrated that the surface oxygen defect in ZnO was affected by introducing aluminum ions, which was in well agreement with the OCVD results. On the other hand, the lower surface trap density and higher electron lifetime of Al-0.5 based photoanode were

consistent with the higher FF in Al-0.5 based DSSC (as shown in Table 1).

Electrochemical impedance spectroscopy (EIS) can provide deeper understanding on the electron transfer processes in DSSC. To reveal the difference in the interfacial characteristics of these photoelectrodes, EIS was measured under the illumination (100 mW cm<sup>-2</sup>) by applying a 10 mV ac signal at the bias of  $V_{OC}$  over the frequency range from 100 mHz to 100 kHz. Fig. 8 (a) and (b) shows the Nyquist and Bode plots of the ZnO nanograss DSSC, respectively. It can be seen that two well-defined semicircles are obtained in all Nyquist plots. The small semicircle in the high frequency (kHz range) fits to a charge-transfer resistance and the capacitance should be ascribed to the process at the redox electrolyte/Pt counter electrode interface. The larger semicircle in the lower frequency range (100–1 Hz) corresponds to the recombination resistance and chemical capacitance across the ZnO/dye/redox electrolyte interface, which is important in the device. The larger semicircle for Al-0.5 cell was the smallest in all samples, indicating the fastest electron/hole generation and transport as well as the lowest hole–electron recombination rate [32]. Since there was no current passing through the external circuit at  $V_{OC}$  condition, the electrons injected into the oxide semiconductor must be recombined at the metal oxide/dye/electrolyte interface. Thus, the electron lifetime in ZnO nanograss photoanodes could be calculated from the maximum angular frequency ( $\omega_{max}$ ) of the impedance semicircle arc at middle frequencies (1–100 Hz), according to the relation  $\tau = 1/\omega_{max} = 1/2\pi f_{max}$  [33]. As shown in Fig. 8b, the characteristic frequency peak ( $f_{max}$ ) values of the samples are  $\sim 23.6$ ,  $\sim 14.2$ ,  $\sim 12.8$  and  $\sim 15.6$  Hz, respectively. These observations implied that electron in Al-0.5 photoanode had a longest lifetime among the samples, which was consistent with the results of OCVD. Considering XPS, OCVD and EIS analyses, the electron lifetime of different ZnO nanograss photoanodes was mainly affected by the surface oxygen defect in ZnO, resulting in enhancement of the conversion efficiency.

#### 4. Conclusion

In this work, ZnO nanograss films were successfully synthesized by the aqueous solution process with or without adding  $Al^{3+}$  as an additive. Detailed morphological and structural analyses indicated that the ZnO nanograss films had the hexagonal wurtzite structure perpendicular to the substrates along the [0001] direction. It was found that  $Al^{3+}$  had an obvious effect on the crystal growth of ZnO, leading to

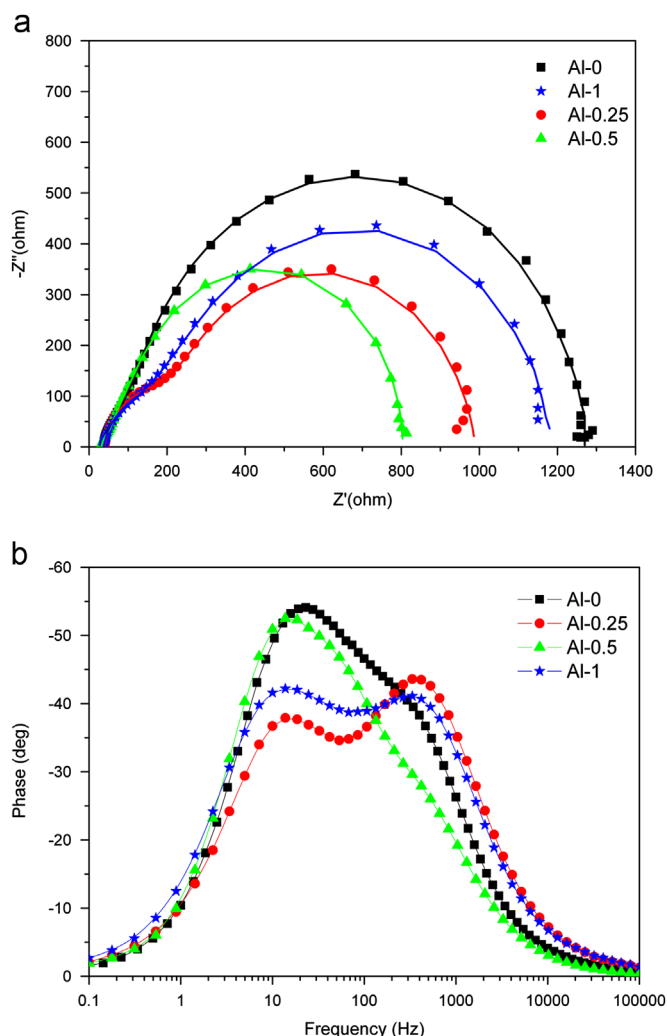


Fig. 8. Electrochemical impedance spectroscopy (EIS) of DSSC fabricated with different electrodes: (a) Nyquist plots and (b) Bode plots.

changes in morphology and aspect ratio by electrostatic interaction between ZnO crystal and Al complex. The photochemical experimental results showed that the conversion efficiency of DSSC based on Al-0.5 photoanode was increased by 55.6% compared to that of DSSC based on Al-0 photoanode. The remarkable enhancement of the photovoltaic performance was mainly attributed to the higher electron lifetime and the lower electron recombination, which was confirmed by the EIS and OCVD characterizations.

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