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Surface morphology and photoelectric properties of fluorine-doped tin oxide thin films irradiated with 532 nm nanosecond laser

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Abstract

In order to improve the transparency and conductivity of the commercial fluorine-doped tin oxide (FTO) films deposited on glass substrates, a nanosecond pulsed laser with a wavelength of 532 nm was used to irradiate the surfaces of the films. The effects of laser fluence and scanning speed on the surface morphology, photoelectric property and overall quality of the FTO films were investigated. The FTO films which were subjected to lower laser fluences or higher scanning speeds achieved gentle laser annealing effects, resulting in unapparent changes in surface morphology. These changes caused enhancements in optical transmittance and decrease in sheet resistance. The FTO films irradiated with higher laser fluences or lower scanning speeds melted and ablated, causing the optical transmittance and the electrical conductivity of the films to drop significantly. Experimental results indicated that the optimum irradiation fluence and scanning speed for 532 nm nanosecond laser annealing of FTO films were 1.02 J/cm^2 and 10 mm/s, respectively. The corresponding film had an obvious increase in crystallite size. The RMS roughness, the average optical transmittance in the waveband of 380–780 nm and the figure of merit were increased from 100.5 nm, 75.4% and $5.82 \times 10^{-3} \, \Omega^{-1}$ to 113.0 nm, 82.7% and $17.00 \times 10^{-3} \, \Omega^{-1}$ respectively, while the sheet resistance was reduced from $10.2 \, \Omega$ / \square to $8.8 \, \Omega$ / \square . © $2013 \, \text{Elsevier Ltd}$ and Techna Group S.r.l. All rights reserved.

Keywords: Fluorine-doped tin oxide (FTO); Nanosecond laser; Annealing; Surface morphology; Photoelectric property

1. Introduction

Transparent conductive oxide (TCO) films with high transmittance and low resistivity are in demand in many optoelectronic devices such as solar cells [1–3], flat panel displays [4], touch panels [5], light-emitting diodes (LEDs) [6], and gas sensors [7]. Among the TCO films, tin-doped indium oxide (ITO) film has been widely used for many years. However, due to the expensive and toxic indium, developing cheap and high performance TCO films is more desired. Fluorine-doped tin oxide (FTO) thin film is the TCO film of choice because it is cheap (containing no expensive indium element), and has good thermal stability as well as high chemical stability [8]. It is not

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enough to control the surface morphologies and photoelectric properties of FTO films by only depositing methods (such as sputtering [9], spray pyrolysis [10] and chemical vapor deposition [11,12]), so some post-anneal processes have to be employed [13]. The traditional thermal annealing processes require a high temperature (always higher than 500 °C) and a long operating period (several hours due to the electric resistance heating method). In contrast, the laser annealing process provides a way to achieve local annealing of the film without causing damage to the substrate and shorten the operating time, which can improve work efficiency and avoid escape of doping elements in the film. Moreover, during laser annealing the temperature from the surface of the film to the substrate presents a gradient distribution that can restrain diffusion of impurities from the substrate [14]. Although, a lot of research has been done on post-annealing treatment of zinc oxide (ZnO) films [15–17], aluminum-doped ZnO (AZO) films [18-20], ITO films [21,22] and TiO₂ films [23] using

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excimer or nanosecond lasers, the laser anneal treatments of FTO films have rarely been studied except by [24,25]. Chen et al. [24] adopted a nanosecond pulsed Nd:YAG laser with a wavelength of 1064 nm to anneal FTO films prepared by the spray pyrolysis method. After the laser annealing process, the average optical transmittance between 400 and 800 nm was slightly reduced from 80.90% to 75.30% and the sheet resistance was reduced from $639.7 + 40.02 \Omega/\Box$ to 595.1 +29.0 Ω/\Box . Tseng et al. [25] utilized a nanosecond pulsed Nd: YVO₄ laser with a wavelength of 355 nm to anneal FTO films deposited on soda-lime glass substrates by the sputtering method. The value of the average optical transmittance for laser annealed FTO films increased approximately by 4% and all the sheet resistance of laser annealed FTO films decreased significantly compared with unannealed FTO films. The works mentioned above indicate a potential developing space for the optimization of FTO films by employing the laser anneal process. In this study, commercial FTO films deposited on glass substrates were irradiated with a nanosecond pulsed laser with a wavelength of 532 nm which was different from the wavelength adopted in the above researches. The effects of laser fluence and scanning speed on the surface morphologies and photoelectric properties of the films were systematically investigated, so as to ascertain the optimum laser parameters for improving the performance of the FTO films.

2. Experiment

2.1. Sample preparation and characterization

The commercial FTO films used in this experiment were deposited on 3.0 mm-thick plate glass substrates (expressed as FTO/glass samples) by the chemical vapor deposition method. The FTO/glass samples were cut into small pieces (15 mm \times 15 mm). Before the laser irradiation, all samples were cleaned with deionized water, anhydrous ethanol and acetone in an ultrasonic bath each for 10 min and then air-dried by highpurity (99.99%) nitrogen gas. The crystal structures of the films were examined with an X-ray diffractometer (XRD) (Rigaku Corp., Japan, D/max2500VB3+/PC) with Cu-Ka radiation ($\lambda = 0.1541$ nm). The thicknesses and micromorphologies of the films were observed with a scanning electron microscope (SEM) (Carl Zeiss Inc., Germany, EVO MA10). The thickness of the FTO layer was approximately 726 nm, as measured from the SEM cross-sectional view of the FTO/glass sample shown in Fig. 1. The 3D images with the root mean square (RMS) roughnesses of the FTO films were scanned with an atomic force microscope (AFM) (Asylum Research Inc., USA, MFP-3D-SA), and the scanned surface region was $20 \,\mu\text{m} \times 20 \,\mu\text{m}$. The optical properties and sheet resistance of the films were tested with a spectrophotometer (Shimadzu Corp., Japan, UV-2550) and a digital four-point probe instrument (Suzhou Baishen Technology Co. Ltd., China, SX1944), respectively. Table 1 presents the measured thickness, surface RMS roughness and photoelectric properties for the FTO/glass sample.

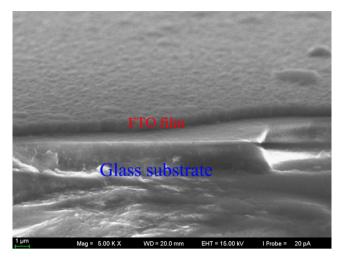


Fig. 1. A SEM cross-sectional view of the FTO/glass sample.

Table 1 Measured parameters of the FTO/glass sample.

Measured parameter	Measured value
Thickness of glass substrate (mm)	3.0
Thickness of film (nm)	726
Surface RMS roughness (nm)	100.5
Average optical transmittance in the waveband of 380–780 nm (%)	75.4
Absorptance at the wavelength of 532 nm (%)	4
Sheet resistance (Ω/\Box)	10.2

2.2. Experimental system

A diode pumped Nd:YVO₄ nanosecond pulsed laser (Bright Solution Ltd., Italy, Wedge532) with 532 nm wavelength was used in the experiment. The Nd:YVO4 laser provided a Gaussian beam without hot-spot effects and with a pulse width of 1-2 ns, a repetition rate of 1 kHz and a maximum single pulse energy of 0.9 mJ. The beam shape/mode was TEM₀₀ $(M^2 < 5)$, the laser output was linearly polarized with a polarization extinction ratio of 100:1 and the mean of the pulse-to-pulse stability was +2%. The nanosecond pulsed laser surface treatment system was composed of a beam expander, a total reflector, a vibrating mirror system (Raylaser AG, Germany, Supscan-15) and a focusing lens (with a focal length of 20 cm), as shown in Fig. 2. The laser beam diameter at the exit port was approximately 2.5 mm. The vibrating mirror system contained a focus shifter, which could adjust the focus range in the Z-direction from +15 mm to -15 mmand achieved a maximum scanning area of 40 mm × 40 mm through a computer program. The X–Y moving stage, on which the FTO/glass sample was placed, was controlled to achieve two-dimensional motion by the computer program. The laser output energy, the scanning speed and path on the FTO film surfaces could also be set and controlled by the computer program.

2.3. Experimental control

During the experiment, the location of the sample was crucial due to the following reason. When the surface of the FTO layer is at or before the laser focal point, the laser beam may be focused on the surface of the glass substrate (owing to the lower thickness of the FTO layer) or inside the glass substrate (owing to the higher transparency of the glass substrate), resulting in a non-ideal treatment effect. Therefore, the surface of the FTO layer was controlled to be after the focal point of the laser beam with a defocus amount of 0.3 mm by adjusting the focus shifter in the vibrating mirror system. Then the average spot size irradiated on the film surface was about $100~\mu m$. The scanning path of the laser was set to be back and forth along the *X*-axis, as shown in Fig. 3. The dimensions of scan area and line-scan spacing were $10~m m \times 10~m m$ and

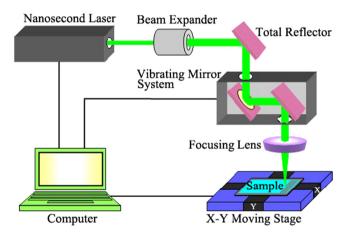


Fig. 2. Schematic diagram of the nanosecond pulsed laser surface treatment system.

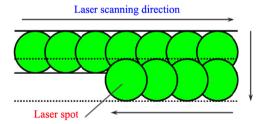


Fig. 3. Schematic diagram of the laser scanning path.

40 μ m, respectively. Two groups of samples A and B were prepared to undergo laser irradiation with various laser fluences (*F*) and scanning speeds (ν), see Table 2.

3. Results and discussion

3.1. Dependence of the crystal structures and surface morphologies of FTO/glass samples on the laser parameters

Fig. 4 shows the XRD patterns of FTO films before and after laser treatment. It is seen that the untreated FTO film only contains the SnO₂ tetragonal structure (JCPDS no. 41–1445) and exhibits preferred orientation along (110) plane ((1) in Fig. 4). The presence of other reflexes along (101), (200), (211), (220), (310), (301) and (321) planes indicates polycrystallinity of the film. In the case of the FTO films treated with lower F values or higher v values, the changes of all the diffraction peaks in the XRD patterns are not obvious ((2) and (5) in Fig. 4). The laser-treated film with F of 1.02 J/cm^2 and v of 10 mm/s shows an increase in the intensity and a decrease in the full width at half maximum (FWHM) of the (110) diffraction peak ((3) in Fig. 4), which may be due to the increase in both crystallinity and crystallite size [26]. With respect to the films treated with higher F values or lower v values, all the diffraction peaks in the XRD patterns are weakened or disappeared altogether ((4) and (6) in Fig. 4) indicating a certain

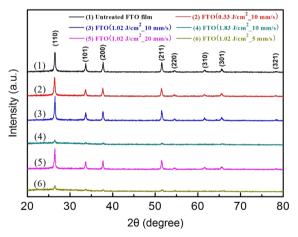


Fig. 4. XRD patterns of the untreated and the laser-treated FTO films.

Table 2		
Laser parameters and optical	properties of the two	groups of samples

Group number	Laser fluence, F (J/cm ²)	Scanning speed, v (mm/s)	Average transmittance in the waveband of 380–780 nm (%)	Absorptance at the wavelength of 532 nm (%)
A	0.33	10	77.5	2.4
	1.02		82.7	1.1
	1.83		71.8	8.4
	2.48		66.1	16.9
В	1.02	5	67.6	14
		20	81.3	1.2
		40	77.2	3.6

damage to the films [22], which will be further confirmed by SEM analysis.

To assess the crystal quality of the films, the crystallite size for the (110) diffraction peak was estimated according to the Scherrer formula [27]. Fig. 5 shows the variation of the calculated crystallite sizes with laser parameters F and v. The crystallite size of the untreated FTO film was 43.3 nm. When the values of F and v were $1.02 \, \text{J/cm}^2$ and $10 \, \text{mm/s}$ respectively, the crystallite size achieved a maximum of 78.0 nm, suggesting that grain growth of this film was significantly greater than that of others [17]. The laser-treated films with lower F values or higher v values showed only small increases in crystallite size, while those with higher F values or lower v

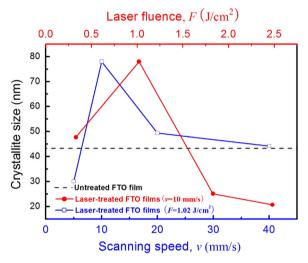


Fig. 5. Effects of laser fluence (F) and scanning speed (ν) on crystallite size of the FTO films.

values exhibited greater decreases in crystallite size, consistent with the XRD results.

Fig. 6 shows the SEM images of the FTO films before and after laser treatment using a constant v of 10 mm/s. The surface of the untreated FTO film shows some small and densely distributed grains (Fig. 6(a)). When the film was treated with Fof 0.33 J/cm², as Fig. 6(b) shows, the surface morphology involved a slight increase in the grain size and had no other apparent changes, which may be due to a gentle annealing effect caused by the lower laser fluence. As the F value increased to 1.02 J/cm² shown in Fig. 6(c), the crystalline grains became greater and more uniform. It implied that the film achieved a wonderful annealing effect and a re-crystallization process had taken place in the laser-irradiated region [24]. When the film was treated with F of 1.83 J/cm² or 2.48 J/ cm², local regions of the film layer melted and ablated, and even the glass substrate became visible, as shown in Fig. 6(d). Obviously, the laser fluence is too high and exceeded the ablation threshold of the FTO layer of approximately 1.6 J/cm² measured in the experiment. It should also be mentioned that the surface morphologies of the films treated with a constant Fof 1.02 J/cm² showed a similar changing feature. That is to say that the films treated with higher (i.e. 20 mm/s and 40 mm/s) and lower (i.e. 5 mm/s) values of v had the surface morphologies similar to Figs. 6(b) and (d), respectively.

Fig. 7 shows AFM images with RMS roughnesses of four sample surfaces. The RMS roughness of the untreated FTO film was 100.5 nm (Fig. 7(a)). AFM images of the surfaces of the laser-treated FTO films exhibited three kinds of surface morphologies with different RMS roughnesses. The films gently annealed with lower F value (0.33 J/cm²) or higher v values (20 mm/s and 40 mm/s) had dense and fine-grained

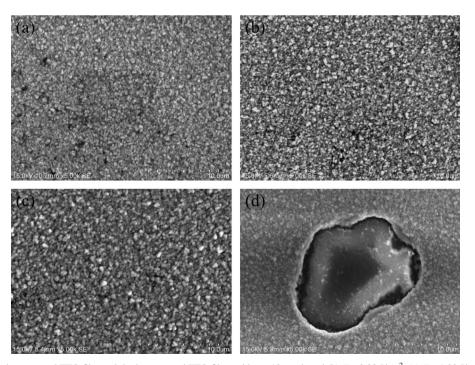


Fig. 6. SEM images of (a) the untreated FTO film and the laser-treated FTO films with v = 10 mm/s and (b) F = 0.33 J/cm², (c) F = 1.02 J/cm² and (d) F = 1.83 J/cm², respectively.

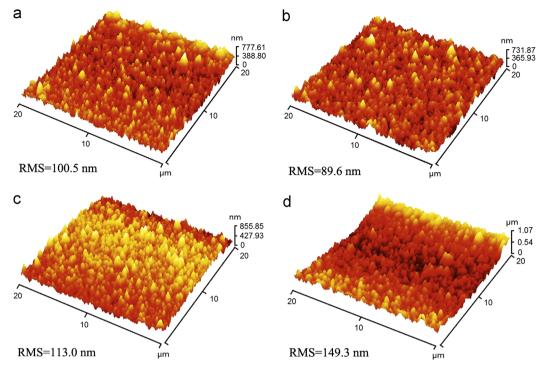


Fig. 7. AFM images of (a) the untreated FTO film and the laser-treated FTO films with v = 10 mm/s and (b) F = 0.33 J/cm², (c) F = 1.02 J/cm² and (d) F = 1.83 J/cm², respectively.

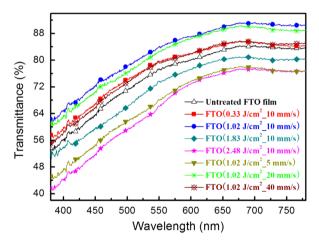


Fig. 8. Optical transmittances of the untreated and the laser-treated FTO films.

surfaces with a RMS roughness slightly lower than 100.5 nm (Fig. 7(b)). The film annealed with F of 1.02 J/cm^2 and v of 10 mm/s had an uniform and coarse-grained surface with a RMS roughness (113.0 nm) slightly greater than 100.5 nm (Fig. 7(c)), while the films partly ablated with higher F values (1.83 J/cm² and 2.48 J/cm^2) or lower v value (5 mm/s) had rugged and pit-like surfaces with a RMS roughness far greater than 100.5 nm (Fig. 7(d)). It is well known that grain size strongly influences the surface roughness of thin films [17]. The films annealed with lower F values or higher v values had finer and denser grains. As a result, their RMS roughness values were lower than that of both the untreated FTO film and the film annealed with F of 1.02 J/cm^2 and v of 10 mm/s.

3.2. Effects of the laser parameters on the photoelectric properties of FTO/glass samples

The optical properties of the FTO films were significantly influenced by laser fluence (F) and scanning speed (v). Fig. 8 shows the optical transmittance spectra of the FTO films before and after laser treatment. The average optical transmittance in the waveband of 380-780 nm and absorptance at the wavelength of 532 nm for the laser-treated FTO films are given in Table 2. It is seen that the films treated with lower F value (0.33 J/cm^2) or higher v values (20 mm/s) and 40 mm/s) had a certain increase in the average optical transmittance compared with the untreated film. The gentle annealing effect with an increase in grain size caused by laser irradiation, which brought about a loss of light scattering at grain boundaries, should be responsible for the enhancement of transmittance [28]. Meanwhile, the annealing effect could result in relatively lower extinction coefficient [29], thus reducing the absorptance at 532 nm for these films. The results shown in Fig. 8 and Table 2 also reveal that the laser-treated film with F of 1.02 J/cm^2 and v of 10 mm/s has the maximum value of average optical transmittance (82.7%) and the minimum value of absorptance (1.1%). From the SEM images at these laser parameters, the film achieved a wonderful annealing effect that resulted in greater and more uniform crystalline grains. It has been reported that the greater size and uniformity of grains could effectively reduce scattering and absorption of light in the film, which should be conducive to improving transparency of the film [30,31]. With respect to the laser-treated films of higher F values $(1.83 \text{ J/cm}^2 \text{ and } 2.48 \text{ J/cm}^2)$ or lower v value (5 mm/s), the average optical transmittance greatly decreased

and the absorptance greatly increased compared with the untreated film. These could be attributed to the damage of the films [32].

The F and v values were also crucial to the electrical properties of the FTO films. The sheet resistance of the FTO films as functions of F and v is shown in Fig. 9. The film treated with F of 1.02 J/cm^2 and v of 10 mm/s had the minimum sheet resistance of 8.8 Ω/\Box , which was lower than that of the untreated film (10.2 Ω/\Box). With regard to the films treated with F of 0.33 J/cm^2 and v of 10 mm/s, the sheet resistance had an imperceptible drop compared with the untreated film. Similarly, the sheet resistances of the films treated using a constant F of 1.02 J/cm² and higher ν values (20 mm/s and 40 mm/s) reduced to values slightly lower than that of the untreated film and remained steady. Based on the correlative analyses that have been reported, the following can be concluded. No matter what laser parameters are adopted, appropriate annealing can release internal stress and eliminate parts of the crystal defects in the films [24,33]. Furthermore, the annealing effect can also increase the grain size and distance between two neighboring grain boundaries [25]. As a result, the potential barrier height of the grain boundaries and the number of bound electrons decrease, thus enhanced mobility and reduced scattering of the carriers are achieved [18,34]. All these changes lead to the improvement of conductivity of the films. However, due to the damage caused by the laser, the sheet resistances of the films treated with higher F (1.83 J/cm² and 2.48 J/cm²) or lower v (5 mm/s) were greatly higher than that of the untreated film [24]. In addition, the intense laser ablation could cause a certain decrease in the thickness of the films [25,35], which may also be responsible for the increase in sheet resistances.

To evaluate the overall quality of the FTO films, we estimated the figure of merit, φ_{TC} , which was defined by Haacke [36] as

$$\varphi_{\rm TC} = T^{10}/R_{\rm s},\tag{1}$$

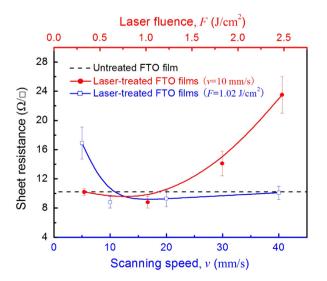


Fig. 9. Effects of laser fluence (F) and scanning speed (v) on sheet resistance of the FTO films.

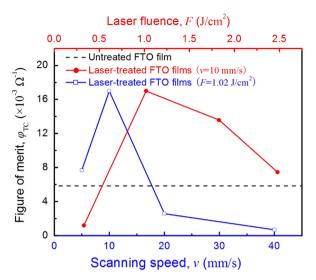


Fig. 10. Figure of merit (φ_{TC}) for the FTO films as functions of laser fluence (F) and scanning speed (v).

where T and $R_{\rm s}$ are the average optical transmittance in the waveband of 380–780 nm and the sheet resistance of a film, respectively. Higher values of the figure of merit represent better performance of the films [37]. Fig. 10 shows the figure of merit ($\varphi_{\rm TC}$) for the FTO films as functions of the laser parameters (F and ν). It is obvious that the figure of merit for the laser-treated film with F of 1.02 J/cm² and ν of 10 mm/s increases from the value of $5.82 \times 10^{-3} \, \Omega^{-1}$ for the untreated film to the maximum value of $17.00 \times 10^{-3} \, \Omega^{-1}$, indicating that the annealing effect achieved by the nanosecond laser can improve the FTO-film overall quality.

4. Conclusion

In summary, commercial FTO films deposited on glass substrates were irradiated with a 532 nm nanosecond pulsed laser. The effects of laser fluence and scanning speed on the surface morphology, optical and electrical properties of the FTO films were investigated. In the present study, the film treated with a laser fluence of 1.02 J/cm² and a scanning speed of 10 mm/s achieved the optimum laser annealing effect. The film had an obvious increase in crystallite size and a RMS roughness of 113.0 nm. The average optical transmittance in the waveband of 380-780 nm, the sheet resistance and the figure of merit of the film were 82.7%, 8.8 Ω / \square and 17.00 \times 10⁻³ Ω ⁻¹ respectively, indicating that the photoelectric property and overall quality of the film were significantly improved. When the laser fluence was too high or the scanning speed was too low, the irradiated FTO films melted and ablated resulting in remarkable degradation of the photoelectric properties.

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