# Importance of Starting Materials on Reactions and Phase Equilibria in the Al<sub>2</sub>O<sub>3</sub>–SiO<sub>2</sub> System

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#### Abstract

Disagreements in the high  $Al_2O_3$  side of the phase equilibrium diagram for the  $Al_2O_3$ –Si $O_2$  system have been reported consistently. Some of the disagreements are significantly different and have been reported many times. It is thus necessary to eliminate experimental errors as being primarily responsible. An examination and analysis of the starting materials and the development of a fundamental understanding of the chemical reactions that take place provide an explanation for the reported differences. The objective of this report is to briefly review the disagreements and correlate them with the starting materials and the associated solid-state reactions that occur in reaching stable or metastable equilibrium.

#### 1 Literature Review

A review of a chronological assemblage of selected papers brings out the disagreements. In 1909 Shepherd et al. published the first phase equilibrium diagram which indicated that sillimanite  $(Al_2O_3\cdot SiO_2, 62\cdot 92 \text{ wt}\% Al_2O_3)$  was the only binary compound in the Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> system; this compound was subsequently shown to be metastable at standard conditions of temperature and pressure and stable only at high pressures. In 1924, Bowen and Greig<sup>2,3</sup> published the first phase equilibrium at standard conditions which showed that mullite  $(3Al_2O_3\cdot 2SiO_2, 71\cdot 80 \text{ wt}\% Al_2O_3)$  was the only compound and that it melted incongruently at 1828°C with no solid solution range determined (Fig. 1). In 1950 and 1951, Bauer et al.4,5 grew a single crystal of mullite containing about 83 wt% Al<sub>2</sub>O<sub>3</sub> (3Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub>) by the flame fusion process. These experiments raised doubts as to the reported incongruent melting of mullite.

In 1951, Toropov and Galakhov<sup>6</sup> heated mixtures of alumina gel and quartz; the mullite that formed melted congruently at about 1900°C. In

1954, Shears and Archibald<sup>7</sup> reported mullite with a solid solution range from  $3Al_2O_3 \cdot 2SiO_2$  to  $2Al_2O_3 \cdot SiO_2$  (77·24 wt%  $Al_2O_3$ ) which melted congruently at approximately  $1810^{\circ}C$ . In 1960, Welch<sup>8</sup> supported the proposed solid solution range and incongruent melting. In 1958, Trömel *et al.*<sup>9</sup> showed some data mostly in support of congruent melting behaviour. However, they also showed data generally indicating that in short time runs no corundum was obtained when it should have been found with incongruent melting, but that increasing the time caused the corundum to appear.

In 1962, Aramaki and Roy<sup>10</sup> showed mullite with a solid solution range of  $71\cdot8-74\cdot3$  wt%  $Al_2O_3$  and a congruent melting point that was supported by the position of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> liquidus (Fig. 1). They also determined that the solid solution range was extended to  $77\cdot3$  wt%  $Al_2O_3$  under metastable conditions which is not shown in the figure. They employed samples prepared from dry mixtures of reagent grade  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and powdered fused SiO<sub>2</sub> glass. The samples were held at temperature and quenched in mercury or water.

In 1972, Davis and Pask, 11 using semi-infinite diffusion couples of sapphire  $(\alpha-Al_2O_3)$  and fused SiO<sub>2</sub> at temperatures up to 1750°C, determined the solid solution range of mullite as 71.0-74.0 wt% Al<sub>2</sub>O<sub>3</sub>. In 1975, Aksay and Pask<sup>12</sup> extended these experiments to higher temperatures and reported an  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> liquidus profile (Fig. 2) that was similar to that of Bowen and Greig<sup>2,3</sup> with a peritectic at ~55 wt% Al<sub>2</sub>O<sub>3</sub> which supported mullite as having an incongruent melting point. Single crystals of mullite were grown by the Czochralski technique in 1974 by Guse<sup>13</sup> and Guse and Mateika<sup>14</sup> of the 2:1 type instead of the 3:1 type obtained by the flame fusion process. In 1980, Shindo<sup>15</sup> also grew single crystals of the 2:1 type by the 'slow cooling float zone method' but showed incongruent melting.

In 1983, Prochazka and Klug<sup>16</sup> showed a solid solution range that shifted to higher Al<sub>2</sub>O<sub>3</sub> contents with increase in temperature from 1600°C up

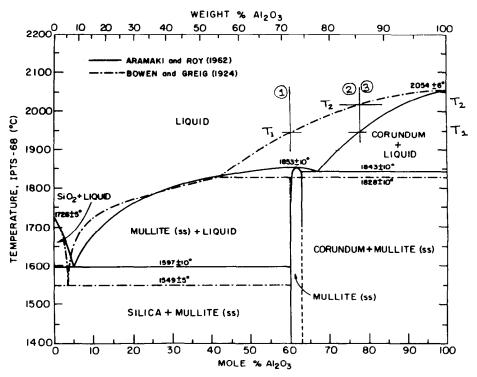
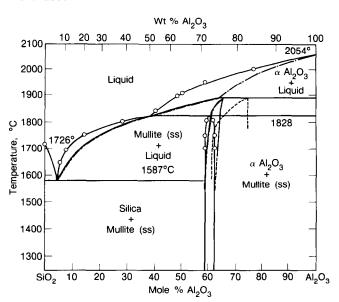


Fig. 1. Superimposed SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> phase equilibrium diagrams as determined by Bowen and Greig<sup>2,3</sup> and Aramaki and Roy. <sup>10</sup>

to about 1890°C, where the mullite reached the 2:1 composition (77·2 wt% Al<sub>2</sub>O<sub>3</sub>) and melted congruently since the peritectic composition had a slightly higher Al<sub>2</sub>O<sub>3</sub> content. In 1987, Klug et al.<sup>17</sup> modified the diagram wherein the α-Al<sub>2</sub>O<sub>3</sub> liquidus peritectic composition shifted to a slightly lower Al<sub>2</sub>O<sub>3</sub> content than 77·2 wt% Al<sub>2</sub>O<sub>3</sub> which technically indicated that the mullite melted incongruently. The latter diagram is included in Fig. 2. In both papers, homogeneous aluminosilicate powders produced by sol–gel processing from AlO(OH) and tetraethyl orthosilicate (TEOS) were used.



**Fig. 2.** Phase equilibrium diagrams by Klug *et al.*<sup>17</sup> (dot–dash line) and Aksay and Pask<sup>12</sup> (light solid line) superimposed. Nature of reported solid solution regions for mullite illustrated.

Many other studies on this system have been published. Sufficient experimental support is available for either opinion. It is thus not necessary to review them in detail. More extensive discussions of the phase equilibria are presented in several other review papers. <sup>18–21</sup> The diagrams shown in Figs 1 and 2 will suffice for further discussions.

#### 2 Starting Materials

Various starting materials have been used to provide the Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> molecules for a desired composition. In the earlier studies Al<sub>2</sub>O<sub>3</sub> was introduced primarily as α-Al<sub>2</sub>O<sub>3</sub> powders of controlled particle size. It was mixed with fine ground fused SiO<sub>2</sub>, quartz or cristobalite. A given mixture was normally heated above the liquidus temperature until it formed a homogeneous liquid phase, lowered to and held at the test temperature, and quenched. The homogenization step is critical since α-Al<sub>2</sub>O<sub>3</sub> does not react rapidly because of the sluggishness of the liquid due to some covalency and high bond strength of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. On the other hand, if  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is melted completely, then nucleation and precipitation also may not occur easily on cooling especially when the aluminosilicate liquid does not become saturated with Al<sub>2</sub>O<sub>3</sub> molecules. Kinetic problems then arise.

More recent studies utilized starting materials prepared chemically from alkoxides and sol-gels so that single-phase and diphasic gels were attained, i.e. no  $Al_2O_3$  is introduced as  $\alpha$ - $Al_2O_3$ . Any segre-

gation or clustering of like atoms in single-phase gels could be critical since they can lead to nucleation and formation of colloidal particles, i.e. diphasic gel mixtures. Single-phase gels have also been called polymeric gels.<sup>22,23</sup> The basic objective of this approach is to attain or approach homogeneous mixing on an atomic or molecular basis. Sacks *et al.*<sup>24</sup> have reviewed the literature on the preparation of starting materials.

#### 3 Mechanisms of Reactions

The critical factor in determining the nature of the solid-state reactions that occur in reaching equilibrium compositions at elevated temperatures in this system is the presence or absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in the starting materials as a source of Al<sub>2</sub>O<sub>3</sub> molecules. The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> particles react with SiO<sub>2</sub> at their interfaces to form mullite at temperatures below about 1828°C by interdiffusion of the cations through the forming mullite, which is a slow process. This kinetic effect could be counteracted in powder mixtures by reducing the particle size of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and thereby increasing the overall rate by increasing the interfacial area. At temperatures above 1828°C mullite does not form, and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is dissolved in the SiO<sub>2</sub> liquid to form aluminosilicate liquids. The most reactive precursors are those chemically prepared, wherein homogeneous atomic mixtures are formed in the absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as a constituent. In this case the reaction rates are considerably faster.

Davis and Pask<sup>11</sup> developed the technique of using diffusion couples of sapphire single crystals and fused SiO<sub>2</sub> up to 1750°C to obtain kinetic data on mullite formation as well as equilibrium compositions. Aksay and Pask<sup>12</sup> extended the technique to higher temperatures. Analysis of polished cross-sections at a number of temperatures for Al and Si with an electron microprobe provided data for calculating concentration profiles for Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> and determining equilibrium compositions at the interfaces. It was determined that the reaction rates at the interfaces are faster than the diffusion rates away from them. The composition at a given temperature thus remained constant at a given interface and maintained equilibrium. Below about 1828°C and down to about 1634°C mullite grew at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> interface and the resulting compositions at the liquid/mullite and mullite/α-Al<sub>2</sub>O<sub>3</sub> interfaces were also at equilibrium. The fact that the Al<sub>2</sub>O<sub>3</sub> content of about 55 wt% at the peritectic at 1828°C remains constant and is less than that of mullite theoretically indicates incongruent decomposition of mullite in the presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>.

It is now necessary to determine the nature of the driving forces for the solid-state reactions occurring at the interfaces of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> diffusion couples since they determine the composition of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> liquidus. Experimental temperatures above 1828°C will be considered first. SiO<sub>2</sub> dissolves Al<sub>2</sub>O<sub>3</sub>. The driving force is the reduction of the internal free energy of the fused SiO<sub>2</sub> as Al<sub>2</sub>O<sub>3</sub> molecules are incorporated into the liquid's atomic structure according to step I (Eqn (1)). The Al<sub>2</sub>O<sub>3</sub> molecules are obtained by surface dissociation of crystalline Al<sub>2</sub>O<sub>3</sub> by breaking bonds for which energy is required according to step II (Eqn (2)). The required energy is provided by the release of internal free energy by the siliceous liquid as it incorporates Al<sub>2</sub>O<sub>3</sub> molecules into its structure. Summation of these step reactions above 1828°C results in the observed net reaction (Eqn (3)).

At experimental temperatures below 1828°C mullite forms at the interface. Energetically it appears more favourable to form mullite than to continue dissolving  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, i.e.  $\Delta G_{\rm III} > \Delta G_{\rm I}$  (Eqn (4) > Eqn (1)).  $\Delta G_{\rm III}$  is greater than  $\Delta G_{\rm IV}$  (Eqn (5)) which results in the net reaction (Eqn (6)) being negative and the determining occurrence of the reaction. If  $\Delta G_{\rm IV} > \Delta G_{\rm III}$ , then the net  $\Delta G^*$  is positive and the reaction will not take place.

I 
$$SiO_2(1) + xAl_2O_3 = SiO_2 \cdot xAl_2O_3(1) - \Delta G_1$$
 (1)

II 
$$\alpha$$
-Al<sub>2</sub>O<sub>3</sub> =  $x$ Al<sub>2</sub>O<sub>3</sub> +(1- $x$ ) $\alpha$ -Al<sub>2</sub>O<sub>3</sub> + $\Delta G_{II}$  (2)

Net 
$$SiO_2(1) + \alpha - Al_2O_3 =$$
  
 $SiO_2 \cdot xAl_2O_3(1) + (1-x)\alpha - Al_2O_3 \quad \Delta G^*$  (3)

III 
$$2\text{SiO}_2 + (3+x)\text{Al}_2\text{O}_3 = (3+x)\text{Al}_2\text{O}_3 \cdot 2\text{SiO}_2$$
  $-\Delta G_{\text{III}}$  (4)

IV 
$$3\alpha - Al_2O_3 = (3+x)Al_2O_3 + (3-x)\alpha - Al_2O_3 + \Delta G_{IV}$$
 (5)

Net 
$$2SiO_2 + 3\alpha - Al_2O_3 = (3+x)Al_2O_3 \cdot 2SiO_2 + (3+x)\alpha - Al_2O_3 \qquad \Delta G^*$$
 (6)

With the formation of mullite two interfaces have to be considered: liquid/mullite and mullite/  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. In this case the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> atomic structure does not change with temperature but the SiO<sub>2</sub> content of the mullite decreases slightly at temperatures approaching dissociation. The bulk structures and compositions along the interfaces at a given temperature are at equilibrium as represented in Eqns (4), (5) and (6), and provide data for the phase equilibrium diagram.

The relative values for the free energies for the step reactions (1) plus (2) and (4) plus (5) determine the amount of solution and compositions. As the solution proceeds,  $\Delta G_{\rm II}$  and  $\Delta G_{\rm III}$  become

less negative until  $\Delta G^*$  becomes zero in both cases with the establishment of equilibrium for the test temperature. With continuation of the reaction, when large quantities of the reactants are present, the equilibrium compositions are maintained at the interfaces because the reaction rates are faster than the diffusion rates. In an experimental study, the constancy of the compositions at the interface were verified. It is evident that these reactions do not continue when one of the reactants is consumed.

It should be further evident that at these points the chemical potentials for Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> are equal in the silicate liquid and the mullite but neither phase is necessarily saturated with Al<sub>2</sub>O<sub>3</sub> molecules. The limiting factor in these cases is established by the bond strength of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> atomic structure, which determines the amount of energy necessary to dissociate an Al<sub>2</sub>O<sub>3</sub> molecule (Eqns (2) and (5)). Thus, if another source of Al<sub>2</sub>O<sub>3</sub> is used whose atomic bond strength is weaker, i.e.  $\Delta G_{\rm II}$  and  $\Delta G_{\rm IV}$  are smaller, then the aluminosilicate liquid could dissolve more Al<sub>2</sub>O<sub>3</sub> molecules before equilibrium is reached or until the liquid structure itself becomes saturated with Al<sub>2</sub>O<sub>3</sub> molecules. In such cases, the liquid is supersaturated relative to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, but it tends to retain the dissolved Al<sub>2</sub>O<sub>3</sub> molecules in the absence of saturation and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nuclei or particles.

In the reported studies, the maximum availability of  $Al_2O_3$  molecules is provided by single-phase sol-gel mixtures wherein essentially atomic homogeneity is present in the absence of any clusters or colloidal assemblages. Compounds can form by rearrangement of atoms and molecules or by short-range diffusion. In the absence of strong  $\alpha$ - $Al_2O_3$  bonds, more  $Al_2O_3$  molecules can become available for the mullite composition which can vary within the solid solution range with temperature.

The alumina-silica system is subject to significant indications of metastability based on equally verified equilibria experiments. At present, because of lack of adequate thermodynamic data, it is difficult to unequivocally claim that one of the experimentally determined phase equilibria diagrams is stable and others are metastable. It is thus more logical to differentiate them on the basis of the nature of the critical starting materials. In this system that material is alumina. It has been shown that the nature of the most controversial equilibria are dependent on the presence or absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as the starting material for a source of Al<sub>2</sub>O<sub>3</sub> molecules. Thus, the diagrams can be differentiated on this basis. The earliest reported diagrams were derived with the use of fine-ground  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> or forms of alumina, e.g.  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, that

readily converted to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> before they were completely reacted. Silica was added as fine-ground, fused or crystalline SiO<sub>2</sub>. Later diagrams were determined with sol-gel or alkoxide type of starting materials. In these cases the objective was to introduce the Al<sub>2</sub>O<sub>3</sub> molecules on the basis of a homogeneous atomic mixture.

In summary, the significance of the types of starting materials used is that the bond strengths of the different types are dependent on their atomic and electronic structures.  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> has strong bonds requiring a relatively large amount of energy for release of Al<sub>2</sub>O<sub>3</sub> molecules in comparison with the energy requirement for dissociation from a sol–gel type of starting material. Silica has a high degree of covalency which makes restructuring more difficult. Both states lead to difficulties affecting the kinetics of nucleation and precipitation of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Another factor that leads to slow kinetics is the nature of the structure and bonding of aluminosilicate liquids, which at present are not completely understood.

An illustration of the above discussions can be made by use of Fig. 1. When the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> diffusion couple is held at 1950°C, the equilibrium liquid composition (about 72 wt% Al<sub>2</sub>O<sub>3</sub>) at the interface is indicated by pt. 1 which provides a point on the α-Al<sub>2</sub>O<sub>3</sub> liquidus of Bowen and Greig's<sup>2,3</sup> diagram. Aramaki and Roy,<sup>10</sup> on the other hand, used dried mixtures of reagent-grade activated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and powdered SiO<sub>2</sub> glass, homogenized them at temperatures above the 'high temperature liquidus' so that all of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> particles were dissolved to form an aluminosilicate liquid, and lowered the temperature to the test temperature. They found that a composition equivalent to pt. 2 (about 86 wt% Al<sub>2</sub>O<sub>3</sub>) was in equilibrium with  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> at 1950°C.

At this point it is important to consider, on the basis of basic principles, the general behaviour of aluminosilicate liquids with changes in composition and temperature at standard pressures. Mixtures of various condensed phases equivalent to aluminosilicate liquid compositions undergo complete melting on raising the temperature above the liquidus temperatures. The atomic and molecular homogeneity of the liquid, if not present, would be expected to increase with increase in temperature and/or time. With decrease in temperature some clustering would be expected. On dropping below the liquidus with saturation, nuclei formation would be started. Their type and rate of actual formation would be controlled by kinetic factors and their composition. On cooling liquids with more than 55 wt% Al<sub>2</sub>O<sub>3</sub> too rapidly,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nuclei may not form easily because the liquid is not saturated with Al<sub>2</sub>O<sub>3</sub> molecules.

Dropping below the melting temperature of mullite, the liquid structure and composition are such that it becomes saturated with mullite which precipitates.

This behaviour can be illustrated by experiments with a series of starting mixtures with an increasing amount of Al<sub>2</sub>O<sub>3</sub> from about 55 wt%.<sup>25</sup> The mixtures are first homogenized above the liquidus temperature and then cooled rapidly or quenched. Mixtures with increasing amounts of Al<sub>2</sub>O<sub>3</sub> up to about 84 wt% do not easily nucleate  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and mullite is precipitated whose Al<sub>2</sub>O<sub>3</sub> content is higher than that of the starting mixture, with which it converges at about 83 wt%. With still higher amounts of Al<sub>2</sub>O<sub>3</sub> in the starting mixture, liquid becomes saturated with Al<sub>2</sub>O<sub>3</sub> and the first precipitates are spherulites of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> followed by mullite with a lower Al<sub>2</sub>O<sub>3</sub> content. The coprecipitates of mullite have about 77 wt% Al<sub>2</sub>O<sub>3</sub>, which corresponds to 2Al<sub>2</sub>O<sub>3</sub> SiO<sub>2</sub> mullite. These results indicate that at 1950°C the aluminosilicate liquids become saturated with Al<sub>2</sub>O<sub>3</sub> at a total content of about 83 wt% Al<sub>2</sub>O<sub>3</sub>.

X-ray diffraction analyses of mullites by Kriven and Pask<sup>26</sup> showed that mullite with increasing amounts of Al<sub>2</sub>O<sub>3</sub> up to about 77 wt% Al<sub>2</sub>O<sub>3</sub> saturated the Si sites with Al atoms. Further increase of Al<sub>2</sub>O<sub>3</sub> up to about 83 wt% resulted in the formation of a crystallographic superstructure superimposed on the mullite structure. These Al<sub>2</sub>O<sub>3</sub> values for mullite supported the Al<sub>2</sub>O<sub>3</sub> content of about 83 wt% for the single crystal grown by the flame fusion process,<sup>4,5</sup> and about 77 wt% for the crystal grown at a constant temperature by the Czochralski technique.<sup>13,14</sup>

The experimental variations in the Al<sub>2</sub>O<sub>3</sub> content of mullite indicate that structures and bond strengths of the mullite are critically dependent on the reaction environment. Competition for Al<sub>2</sub>O<sub>3</sub> molecules between the aluminosilicate structures and Al<sub>2</sub>O<sub>3</sub> when present as part of the reacting mixture, as pointed out above, determines the composition variabilities that have been reported in the literature. Use of chemically-prepared starting mixtures to give more homogeneous atomic structures also introduces some variability because the atomic structure of the amorphous mixtures are expected to change with increasing temperature and changing conditions. The development of better homogeneity could result in increasing availability of Al<sub>2</sub>O<sub>3</sub> molecules from the liquid structure. This behaviour would account for the increasing Al<sub>2</sub>O<sub>3</sub> content of the mullite with temperature as seen in the diagram of Klug et al. 17 shown in Fig. 2. It is thus supported experimentally that the maximum content of Al<sub>2</sub>O<sub>3</sub> in the mullite structure is about 77 wt%. In the presence

of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> at the time of mullite growth, however, the maximum amount is about 74 wt% because of the lesser availability of Al<sub>2</sub>O<sub>3</sub> molecules. The mullites with Al<sub>2</sub>O<sub>3</sub> contents above 77 wt% up to about 84 wt% appear only on quenching melts from above the liquidus temperature. The Al<sub>2</sub>O<sub>3</sub> molecules in excess of the total available Si/Al atomic sites are accommodated by a crystallographic superstructure as pointed out by Kriven and Pask. At about 84 wt% Al<sub>2</sub>O<sub>3</sub> the aluminosilicate structure becomes saturated with Al<sub>2</sub>O<sub>3</sub> molecules. Further additions cause the primary precipitation of Al<sub>2</sub>O<sub>3</sub> spherulites on cooling.

# 3.1 Effect of rate of cooling

There have been several definitive experiments indicating the importance of kinetics in determining the nature of the phase equilibria with different cooling rates. <sup>12</sup> Three diffusion couples of sapphire/fused SiO<sub>2</sub> were heated together at 1900°C for 15 min. They were cooled together by turning off the furnace and directing the flow of a stream of He gas onto the assembly of sealed crucibles so that small differences existed in their rate of cooling.

Figure 3 shows polished cross-sections perpendicular to the interfaces of the three specimens, with the sapphire on the bottom of the photographs. The specimen in photo A, which was cooled the fastest, shows precipitates of mullite; the specimen in photo C, which was cooled the slowest, shows precipitates of Al<sub>2</sub>O<sub>3</sub>; and the photo B specimen, which was cooled at an intermediate rate, shows large mullite precipitates with small precipitates of Al<sub>2</sub>O<sub>3</sub> in the glass phase between. Average diffusion profiles into the fused SiO<sub>2</sub> established at 1900°C were identical for all three specimens with an overall composition of 63 wt% Al<sub>2</sub>O<sub>3</sub> in the aluminosilicate at the interfaces. This composition liquid was in equilibrium with Al<sub>2</sub>O<sub>3</sub> but its structure was not saturated with Al<sub>2</sub>O<sub>3</sub> molecules as previously described. In photo C, the cooling rate was slow enough so that  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nuclei formed at the interface, leading to the formation of elongated  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> crystals. In photo A, with a faster cooling rate, sufficient time for rearrangement and assembly to form α-Al<sub>2</sub>O<sub>3</sub> nuclei and crystals was not available before the temperature was reached at which the liquid was saturated with mullite, leading to the nucleation and growth of the elongated mullite crystals. This behaviour supports the concept that  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> does not nucleate easily unless the aluminosilicate atomic structure becomes saturated with Al<sub>2</sub>O<sub>3</sub> molecules.

### 3.2 Mullite peritectic reaction

Another significant experiment is one that showed

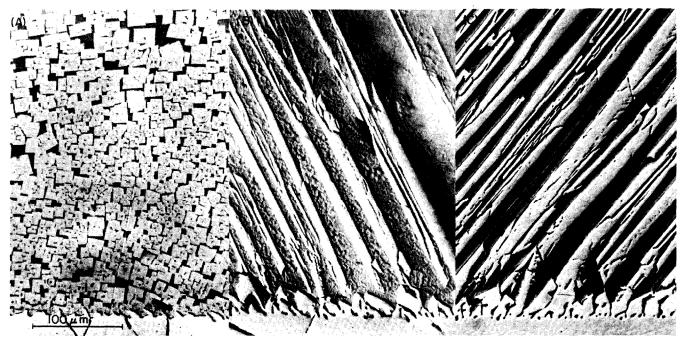


Fig. 3. Microstructures of diffusion zones in couples of sapphire (bottom) and fused silica annealed at 1900°C for 15 min and (A) quenched, (B) cooled at a relatively moderate rate and (C) cooled relatively slowly. Precipitates in diffusion zone in (A) are mullite (light grey), in (B) alumina (light grey needles) and mullite (fine precipitates between alumina needles) and in (C) alumina (light grey needles; precipitates along interface in (B) and (C) are also alumina).

the formation of mullite by a peritectic reaction.<sup>12</sup> A mixture containing 71.8 wt% Al<sub>2</sub>O<sub>3</sub> was homogenized in a sealed Mo crucible at 1950°C for 460 min, cooled to 1750°C in 30 min, annealed at 1750°C for 29.4 days, and quenched. A polished cross-section is shown in Fig. 4. The light grey crystals are α-Al<sub>2</sub>O<sub>3</sub> surrounded by medium grey growths of mullite, with a dark grey aluminosilicate glass between the mullite growths. The concentration profiles across the mullite growths correspond to those obtained in α-Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> diffusion couples at 1750°C. The reactions at the interface are fast and maintain equilibrium compositions for 1750°C at the interfaces. The overall reaction is slow because the rate-determining step is interdiffusion through the forming mullite, which is slow.

The formation of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> crystals which participate in the formation of mullite in a melt of this composition clearly indicates that mullite melts incongruently. If mullite had formed directly from the melt and thus melted congruently, then it would have been impossible to form as a stable phase under the above conditions. It could also be pointed out that if Bowen and Greig,<sup>2,3</sup> using  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> particles as part of their starting materials, did not completely dissolve it into their starting mixture and performed experiments at about 70 wt% Al<sub>2</sub>O<sub>3</sub> and temperatures of about 1900°C,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> could not have persisted under these conditions if mullite melted congruently. Concentration profile discontinuities across the phase

boundaries provide very accurate information about the stable composition range of the phase fields.



Fig. 4. Microstructure of specimen containing 71.8 wt.% Al<sub>2</sub>O<sub>3</sub> held at 1950°C for 7.7 h, cooled to 1750°C in 30 min, annealed at 1750°C for 29.4 days, and quenched to room temperature. Light grey precipitates are alumina completely surrounded by layer of mullite. Dark grey portions between mullite layers are glass containing precipitates of mullite formed on quenching. The microstructure formed during the heating schedule indicates a peritectic reaction.

## 4 Summary and Conclusions

A critical study of the mechanisms and kinetics of the solid-state chemical reactions that take place with different starting materials provides an explanation for the differences that have been reported in the high alumina region of the phase equilibria diagrams for the Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> system. Since the equilibria are formed by reactions, the bond strengths, atomic structures and free energies of the participating phases are critical. The nature of the reactions and their driving forces are the principal factors. Both the source of Al<sub>2</sub>O<sub>3</sub> molecules and the nature of the aluminosilicate liquid structures are important in this system.

The Al<sub>2</sub>O<sub>3</sub> sources have been either as  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> particles or chemically-prepared sol-gel aluminosilicates or alkoxides. The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> has a high negative free energy of formation. Thus, the energy necessary to break its bonds to provide Al<sub>2</sub>O<sub>3</sub> molecules is relatively high. This energy is provided by the aluminosilicate liquid since its free energy is lowered by incorporating Al<sub>2</sub>O<sub>3</sub> molecules into its atomic structure. A thermodynamic balance is reached when the free energy change for the overall reaction becomes zero and the chemical potentials for each of the components are equal in both participants at the reacting interface. The source of the phase equilibria differences is the fact that, at this point, equilibria are reached because of insufficient energy to make more Al<sub>2</sub>O<sub>3</sub> molecules available. The aluminosilicate liquid at this point, thus, does not become saturated with Al<sub>2</sub>O<sub>3</sub> molecules.

In the case of the sol-gel source of  $Al_2O_3$ , the bond strengths are weaker and  $Al_2O_3$  molecules are more readily available. Thus, the aluminosilicate liquid becomes saturated, or near-saturated, with  $Al_2O_3$  molecules. The additional significance of this fact is that in intermediate  $Al_2O_3$  compositions the liquid can be saturated with  $Al_2O_3$  but supersaturated with respect to  $\alpha$ - $Al_2O_3$ . Because of this situation and the high covalency of its bonding, nucleation and growth of  $\alpha$ - $Al_2O_3$  are sluggish in the aluminosilicate liquids.

Consequently, there are two phase equilibria diagrams for the  $Al_2O_3$ – $SiO_2$  system. The controlling factor is whether  $\alpha$ - $Al_2O_3$  is present or absent throughout the reactions leading to the steady or equilibrium state. In the presence of  $\alpha$ - $Al_2O_3$ , the peritectic composition is 55 wt%  $Al_2O_3$ , mullite corresponds to the 3:2 type and melts incongruently at  $1828^{\circ}C$ . Its solid solution range remains essentially constant with temperature. In the absence of  $\alpha$ - $Al_2O_3$ , mullite corresponds to the 2:1 type and melts congruently at  $1890^{\circ}C$ ; its solid solution range increases in  $Al_2O_3$  up to 77 wt%

 $Al_2O_3$  but would be expected to vary with the nature of the chemically-prepared source of  $Al_2O_3$ . The 3:1 type of mullite is only formed on quenching homogenized aluminosilicate liquids from above the high temperature  $\alpha$ - $Al_2O_3$  liquidus. This behaviour is the basis of a third phase equilibrium diagram for the  $Al_2O_3$ - $SiO_2$  system which has not been extensively studied.

Aramaki and Roy<sup>27</sup> also performed experiments with ternary mixtures composed of additions of MgO or CaO to  $Al_2O_3$  and  $SiO_2$ . These were used to support the arguments that mullite melted congruently on the basis of the position of the boundary between the primary fields of mullite and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in the corresponding ternary phase equilibrium diagrams. However, since the starting materials were first completely homogenized above the liquidi temperatures, the equilibrium phase compositions were obtained in the absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as a starting material.

In summary, the controlling factor in determining the nature of the phase equilibrium diagram for the Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> system is the presence or absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> during the reactions leading to stable or metastable equilibrium. In the presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, the higher temperature  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> liquidus represents an atomic structure thermodynamically in equilibrium with  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> but not saturated with Al<sub>2</sub>O<sub>3</sub> molecules. In the absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as a source of Al<sub>2</sub>O<sub>3</sub> molecules, the lower temperature  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> liquidus represents an atomic structure that is saturated with Al<sub>2</sub>O<sub>3</sub> molecules. On cooling,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nucleates and precipitates from liquids when they become saturated with Al<sub>2</sub>O<sub>3</sub> molecules. The region between the two liquidi represents liquid structures that are supersaturated with respect to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. In order to further differentiate the two conditions, the phase equilibrium diagram derived in the presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> as one of the starting materials could be identified as the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> system; and in the absence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, the phase equilibrium diagram could be identified as the Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub> system.

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