

# The Use of Lithium as a Dopant in the Directed Melt Oxidation of Aluminium

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## Abstract

*A Li source has been used to initiate directed melt oxidation of Al; the Li source used was  $\text{Li}_2\text{CO}_3$ . Growth both into free space, in which case  $\text{Li}_2\text{CO}_3$  powder was placed on the metal surface, and into preform bodies comprising pure particulate  $\alpha\text{-Al}_2\text{O}_3$  mixed with a doping amount of  $\text{Li}_2\text{CO}_3$ , has been examined. In both cases it is shown that Li may initiate the directed oxidation reactions in the absence of any other dopants and that Li is therefore an effective dopant for the production of  $\text{Al}_2\text{O}_3/\text{Al}$  by the directed melt oxidation process. The products were characterized using scanning electron microscopy, transmission electron microscopy and X-ray diffraction. A cyclic reaction sequence for  $\text{Al}_2\text{O}_3/\text{Al}$  composite growth in the Li-doped system is postulated. This process is initiated by the formation of  $\text{LiAl}_5\text{O}_8$  which aids the breakdown of the stable oxide film that would normally form on aluminium in a similar fashion to magnesium aluminium spinel in the Mg-doped system. The process involves motion of Li from within the growth to the reaction front; this can occur because of the high vapour pressure of Li at the reaction temperature. The effects of the preform body on these cyclic reactions are also considered.*

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## Introduction

The directed melt oxidation process involves the directed growth of a composite product from a bulk molten metal via oxidation of the melt by a vapour-phase oxidant (e.g. air).<sup>1</sup> This composite comprises an interconnected ceramic reaction product and, usually, several per cent of residual metal. The product may be shaped by growing the product either into a defined empty space or into a shaped region containing a preform comprising a loosely packed filler, ceramic fibres or whiskers. In this paper we

distinguish the two cases by referring to bodies produced by growth into free space as 'unreinforced bodies' and to ones produced by growth into a preform body as 'reinforced bodies'.

The growth of products by directed melt oxidation depends crucially on the presence of dopants, which initiate and maintain the process. These dopants may be introduced by alloying with the pure parent metal or externally in the form of elemental or oxide powders.

Much of the published literature on this process has used alloys to introduce the doping elements. For example, growth of  $\text{Al}_2\text{O}_3/\text{Al}$  composites from Al alloys containing Mg either alone or in conjunction with Si has been studied by several authors.<sup>1–3</sup> More recently, the use of external doping with Mg or MgO powders has received some attention.<sup>4,5</sup>

It has been shown that Mg, either in elemental form or as part of a compound, can initiate directed melt oxidation reactions in the  $\text{Al}_2\text{O}_3/\text{Al}$  system. For example, Xiao and Derby<sup>4</sup> have shown that MgO may be used as an external dopant to initiate growth with pure Al, and that oxide growth occurs in the temperature range 1100–1400°C, with no incubation period. Our previous work,<sup>5</sup> in which Mg powder was used as external dopant for directed oxidation of pure Al, also showed that only Mg is necessary to initiate and sustain the reaction growth. Mg can initiate growth in the  $\text{Al}_2\text{O}_3/\text{Al}$  system as it promotes the formation of a non-protective oxide layer at the interface between the growth oxide and oxidant. This layer plays an important role in the subsequent directed oxidation cyclic reaction sequence. By comparison Si seems only to accelerate the reaction process, probably by modifying the viscosity of the aluminium melt.<sup>2</sup> Na and Sn have also been examined as possible dopants; Na can initiate the process although it leads to low quality products and Sn apparently has similar effects to Si.

In the current work we have examined the possibility of using Li to initiate directed melt oxidation growth in the  $\text{Al}_2\text{O}_3/\text{Al}$  system. Li was chosen

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as a potential dopant because examination of the periodic table reveals that, in general, on moving diagonally across the periodic table the elements have certain similarities. This is because as one moves across a period, the charge on the ions increases and the size decreases, causing the polarizing power to decrease, whereas on moving down a group, the size increases and polarizing power increases. On moving diagonally these two effects partly cancel each other, so that there is no marked change in properties. The type and strength of bond formed and the properties of the compounds are therefore often similar, although the valency is different. The similarities between diagonally related pairs of elements are usually weaker than those within a group, but they are quite pronounced for the following pairs of elements.<sup>6</sup> Li and Mg; Be and Al; B and Si. Therefore, there are some similarities in the properties of Mg and Li which were expected to allow Li to be used as dopant in directed oxidation of aluminium; in particular, both Mg and Li have very high vapour pressures at high temperature and they both form spinel structures with  $\text{Al}_2\text{O}_3$ .

In this paper the use of  $\text{Li}_2\text{CO}_3$  as an externally applied lithium source in the directed melt oxidation of aluminium has been examined. Both unreinforced and  $\alpha\text{-Al}_2\text{O}_3$  particulate-reinforced bodies have been produced. In both cases, a detailed description of the microstructure is provided.

## Experimental Procedure

A block of 99.8% pure Al (Alcan) was placed in a cavity shaped in an alumina crucible using fine alumina powder. Between 1.25 and 7.50 wt% (based on the weight of Al) reagent grade  $\text{Li}_2\text{CO}_3$  powder (Fisons) was either applied directly on the surface of the Al block for composites grown into free space, or mixed with fine pure  $\alpha\text{-Al}_2\text{O}_3$  (A17, Alcoa) for composites grown into a preform body. The respective experimental arrangements are given in Figs 1(a) and 1(b).

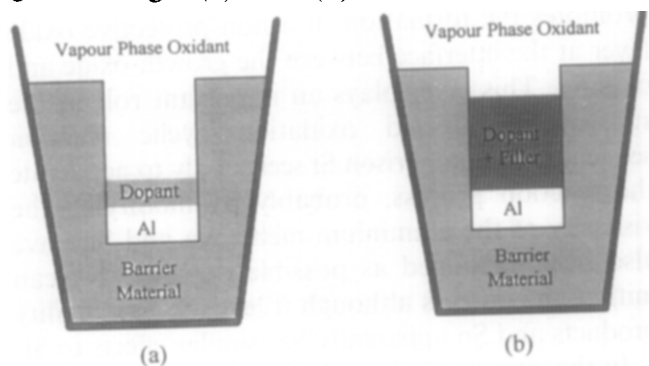


Fig. 1. Experimental arrangements used. (a) Unreinforced bodies: dopant directly placed on the Al block. (b) Reinforced bodies: dopant mixed with filler and the mixture placed above the Al block.

The prepared systems were heated in air at  $200^\circ\text{C h}^{-1}$  in a muffle furnace to a soaking temperature of 700, 900 or  $1180^\circ\text{C}$ . Samples fired to 700 and  $900^\circ\text{C}$  were held at these temperatures for 3 h, whereas samples fired to  $1180^\circ\text{C}$  were held at this temperature for 35 h. In all cases the samples were cooled to room temperature inside the furnace.

Fired samples were sectioned parallel or perpendicular to the growth direction and the different regions analysed by qualitative X-ray diffraction. These samples were subsequently mounted in epoxy resin, ground and diamond polished to  $1\text{ }\mu\text{m}$ , before carbon coating for examination using scanning electron microscopy (SEM; Jeol JSM 6400) and energy dispersive spectroscopy (EDS; Link Analytical 6276). For transmission electron microscopy (TEM), the samples were punched to 3 mm discs, mechanically thinned to around  $20\text{ }\mu\text{m}$ , ion milled at 6.0 keV until perforation, coated with carbon, and examined in a Philips EM420 at 100 keV.

## Results

### Growth into free space

Small, soft, black compacts were produced when samples containing 2.47 or 7.41 wt%  $\text{Li}_2\text{CO}_3$  were fired to  $700^\circ\text{C}$  for 3 h. XRD (Fig. 2) showed that these compacts consisted of Al,  $\text{Li}_2\text{CO}_3$ ,  $\alpha\text{-LiAlO}_2$ ,

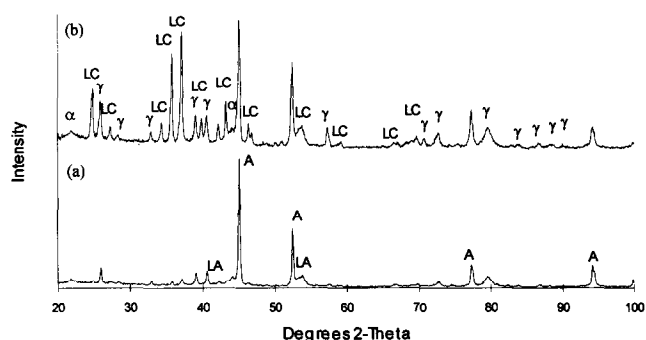


Fig. 2. XRD traces of samples fired for 3 h at  $700^\circ\text{C}$ : (a) Al-2.47 wt%  $\text{Li}_2\text{CO}_3$ ; (b) Al-7.41 wt%  $\text{Li}_2\text{CO}_3$  (A, Al; LC,  $\text{Li}_2\text{CO}_3$ ; α,  $\alpha\text{-LiAlO}_2$ ; γ,  $\gamma\text{-LiAlO}_2$ ; LA,  $\text{LiAl}_3\text{O}_8$ ).

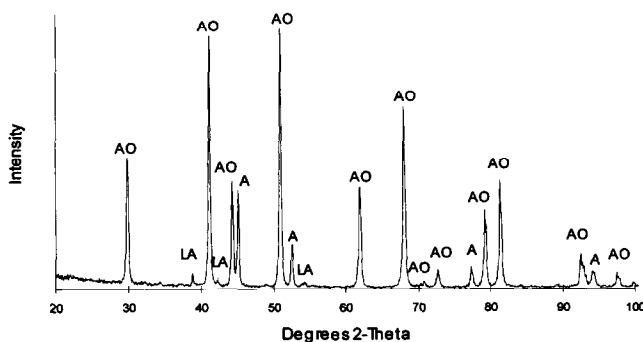


Fig. 3. XRD trace of Al-1.23 wt%  $\text{Li}_2\text{CO}_3$  sample fired for 35 h at  $1180^\circ\text{C}$  (A, Al; AO,  $\alpha\text{-Al}_2\text{O}_3$ ; LA,  $\text{LiAl}_3\text{O}_8$ ).

$\gamma$ -LiAlO<sub>2</sub> and LiAl<sub>5</sub>O<sub>8</sub>, and that, within the limits of detection, no Al<sub>2</sub>O<sub>3</sub> had been produced.

In samples doped with 1.23 wt% Li<sub>2</sub>CO<sub>3</sub> and fired at 1180°C, Al<sub>2</sub>O<sub>3</sub>/Al growth was obtained; the Al block was totally exhausted after a soaking time of 35 h. Growth proceeded not only upwards from the top surface of the Al block but sideways into the barrier material. A section cut parallel to the growth was analysed by XRD which showed (Fig. 3) that the product was mainly Al and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>; in addition, a small amount of LiAl<sub>5</sub>O<sub>8</sub> was detected. Cross-sections of the growth product were also analysed by SEM. At the top of the sample there was a thick layer comprising Al pockets within a ceramic matrix (Fig. 4). Beneath this thick layer there was a series of thinner alternating dense and less dense layers (Fig. 5). The dense layers contained Al channels and the less dense ones contained no Al. In the centre of this sample (Fig. 6), an interconnected matrix was obtained. At the bottom of the sample (Fig. 7), further alternating dense and less dense layers were found. In addition, in the base of the product, the presence of Li-containing phases can be inferred from the backscattered electron image, as

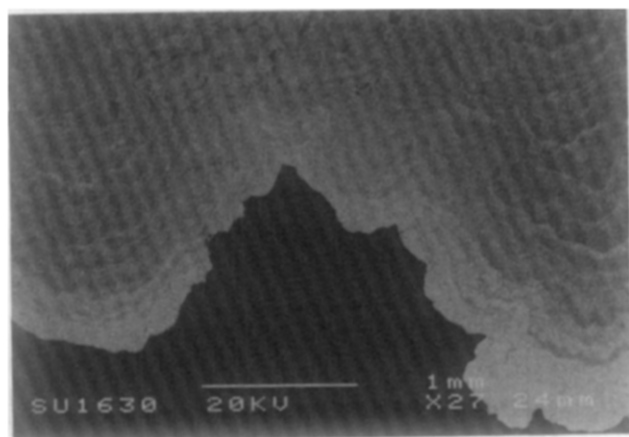


Fig. 4. SEM micrograph of the Al-1.23 wt% Li<sub>2</sub>CO<sub>3</sub> sample fired to 1180°C for 35 h, showing a thick matrix layer at the top.

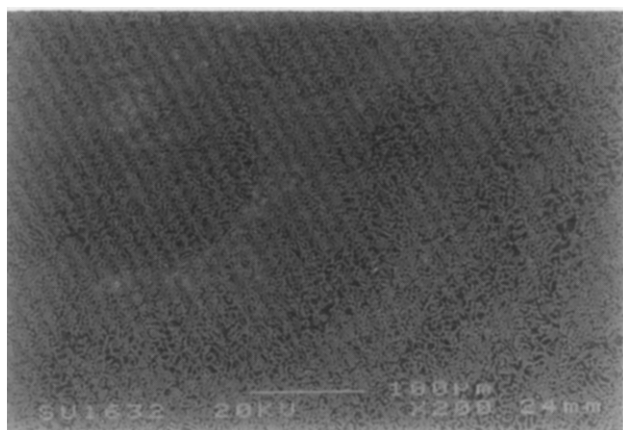


Fig. 5. SEM micrograph of the Al-1.23 wt% Li<sub>2</sub>CO<sub>3</sub> sample fired to 1180°C for 35 h, showing alternating dense and less dense layers.

well as a small amount of AlN, which was identified by EDS (Fig. 8). Around the outermost surface of these samples, a thin Li-containing layer was observed (Fig. 9).

Although it is very difficult to identify the ceramic oxide as Al<sub>2</sub>O<sub>3</sub> or LiAl<sub>5</sub>O<sub>8</sub> using EDS since lithium

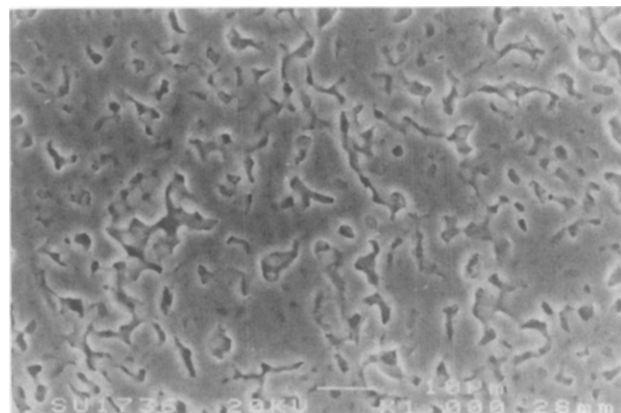


Fig. 6. SEM micrograph of the Al-1.23 wt% Li<sub>2</sub>CO<sub>3</sub> sample fired to 1180°C for 35 h, showing interconnected Al<sub>2</sub>O<sub>3</sub>/Al matrix in the centre region.

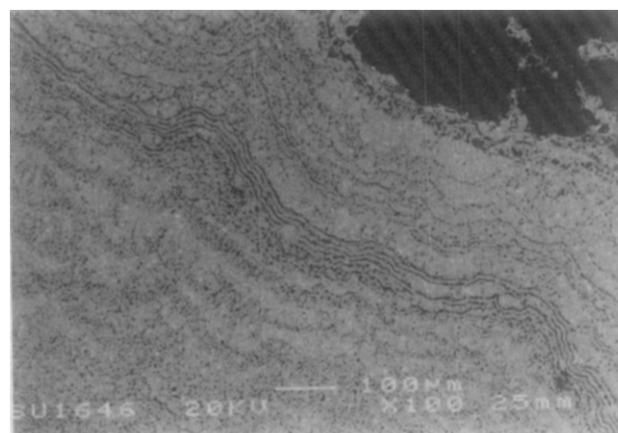


Fig. 7. SEM micrograph of the Al-1.23 wt% Li<sub>2</sub>CO<sub>3</sub> sample fired to 1180°C for 35 h, showing alternating dense and less dense layers at the bottom of the product.

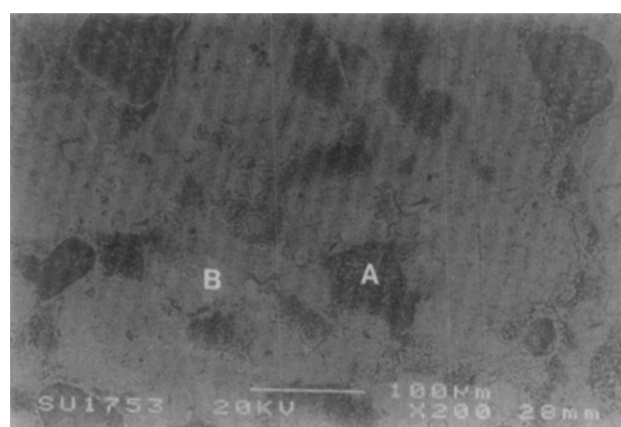


Fig. 8. Backscattered electron image of the Al-1.23 wt% Li<sub>2</sub>CO<sub>3</sub> sample fired to 1180°C for 35 h, showing a Li-containing phase (dark feature — A) and AlN (light feature — B).

is too light, TEM showed up differences between these two phases. High-angle  $\text{LiAl}_5\text{O}_8$ – $\text{LiAl}_5\text{O}_8$  grain boundaries were commonly observed (Fig. 10), which was similar to the high-angle  $\text{MgAl}_2\text{O}_4$ – $\text{MgAl}_2\text{O}_4$  grain boundaries reported by Breval *et al.* on the Mg-doped system.<sup>7</sup> It was also found that many

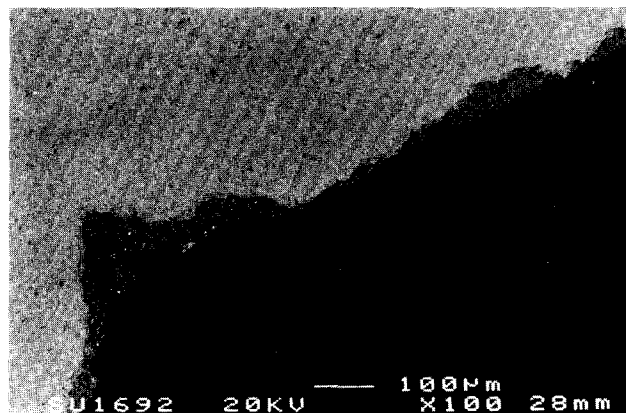


Fig. 9. SEM micrograph of the surface of a sample fired to 1180°C for 35 h, showing a thin, Li-containing layer on the surface.

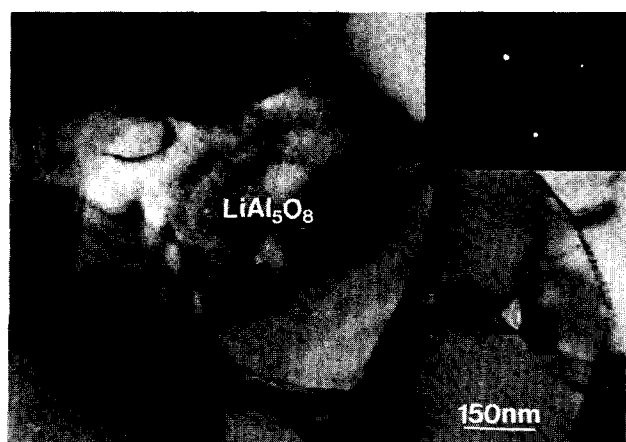


Fig. 10. TEM micrograph of a  $\text{LiAl}_5\text{O}_8$  feature showing a high-angle  $\text{LiAl}_5\text{O}_8$ – $\text{LiAl}_5\text{O}_8$  grain boundary. Diffraction pattern shows  $\text{LiAl}_5\text{O}_8$  [1923].

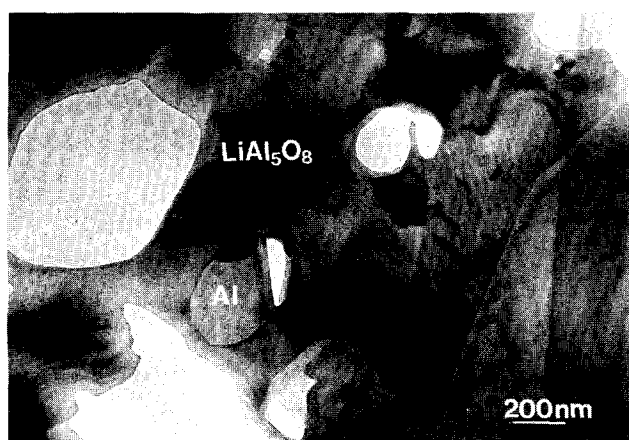


Fig. 11. TEM micrograph showing inclusions of Al within  $\text{LiAl}_5\text{O}_8$  matrix.



Fig. 12. TEM micrograph of  $\text{Al}_2\text{O}_3$  feature showing low-angle  $\text{Al}_2\text{O}_3$ – $\text{Al}_2\text{O}_3$  grain boundary and high-angle  $\text{Al}_2\text{O}_3$ –Al grain boundary. Diffraction pattern shows  $\text{Al}_2\text{O}_3$  [006].

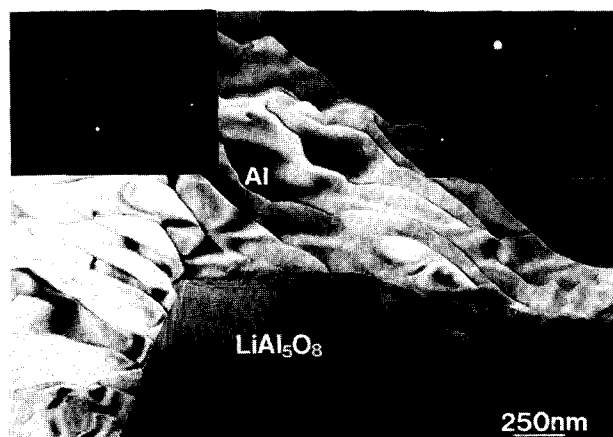


Fig. 13. TEM micrograph showing Al channel between  $\text{LiAl}_5\text{O}_8$  crystals. Diffraction patterns show Al [0 1 3] (right) and  $\text{LiAl}_5\text{O}_8$  [0 4 3] (left).

inclusions of unoxidized Al remained within the  $\text{LiAl}_5\text{O}_8$  matrix (Fig. 11). Low-angle grain boundaries were observed between  $\text{Al}_2\text{O}_3$  grains (Fig. 12) and Al pockets were found set in the  $\text{Al}_2\text{O}_3$ – $\text{Al}_2\text{O}_3$  grain boundaries with a high-angle Al– $\text{Al}_2\text{O}_3$  phase boundary. This was also in agreement with the features seen in the directed melt oxidation of Al–Mg alloys.<sup>1,3,7</sup> Some thin channels of aluminium were also found (Fig. 13), which separate neighbouring  $\text{LiAl}_5\text{O}_8$  crystals rather than  $\text{Al}_2\text{O}_3$  crystals reported in the Mg-doped system by Newkirk *et al.*<sup>1</sup>

#### Growth into a preform body

Apart from a small amount of surface oxidation of the aluminium blocks, no growth was found in samples containing either 2.47 or 7.41 wt%  $\text{Li}_2\text{CO}_3$  that had been heated to 900°C for 3 h. XRD showed, however (Fig. 14), that after firing the filler powder mixture consisted of  $\alpha$ - $\text{Al}_2\text{O}_3$ ,  $\alpha$ - $\text{LiAlO}_2$ ,  $\gamma$ - $\text{LiAlO}_2$  and  $\text{LiAl}_5\text{O}_8$ . The greater the initial  $\text{Li}_2\text{CO}_3$  content, the more  $\gamma$ - $\text{LiAlO}_2$  was obtained in the fired mixture.

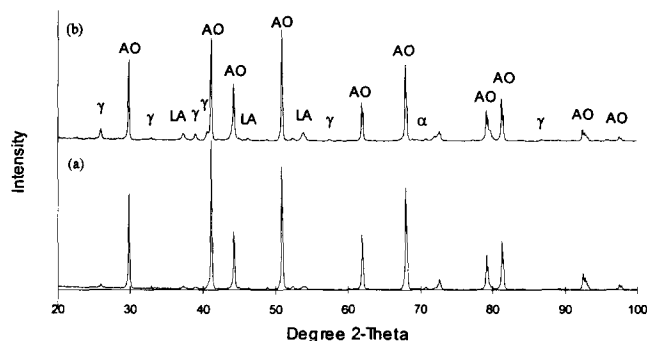


Fig. 14. XRD traces of  $\text{Al}_2\text{O}_3$  particle-reinforced samples fired for 3 h at  $900^\circ\text{C}$ : (a) Al-2.47 wt%  $\text{Li}_2\text{CO}_3$ ; (b) Al-7.41 wt%  $\text{Li}_2\text{CO}_3$  (A, Al;  $\alpha$ ,  $\alpha\text{-LiAlO}_2$ ;  $\gamma$ ,  $\gamma\text{-LiAlO}_2$ ; LA,  $\text{LiAl}_5\text{O}_8$ ).

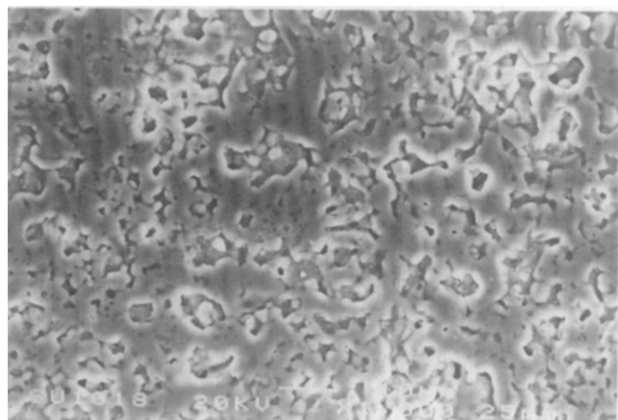


Fig. 15. SEM micrograph of  $\text{Al}_2\text{O}_3$  particle-reinforced Al-1.23 wt%  $\text{Li}_2\text{CO}_3$  sample fired to  $1180^\circ\text{C}$  for 35 h.

In systems doped with between 1.24 and 7.41 wt%  $\text{Li}_2\text{CO}_3$  that had been heated to  $1180^\circ\text{C}$  for 24 or 35 h, irregular growths were obtained in the lower part of the dopant/filler mixture and part of the aluminium block was consumed. On inspection of the cross-section, it was difficult to distinguish between alumina filler and growth. The section was analysed by XRD which showed that although  $\text{LiAl}_5\text{O}_8$  was present in addition to  $\alpha\text{-Al}_2\text{O}_3$  and Al, no  $\text{LiAlO}_2$  was present. The fired mixture above the growth product consisted of  $\alpha\text{-Al}_2\text{O}_3$  (filler) and  $\text{LiAl}_5\text{O}_8$ . Unlike the unreinforced body, there were no alternating dense/less dense layers within the micro-structure of the reinforced body. A lithium aluminate phase was concentrated on the surface of the product body and, within the growth, an interconnected  $\text{Al}_2\text{O}_3/\text{Al}$  matrix that contained filler  $\text{Al}_2\text{O}_3$  particles ( $0.3\ \mu\text{m}$  diameter) and unoxidized Al channels was formed (Fig. 15).

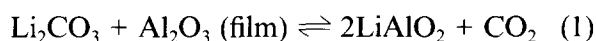
## Discussion

Ginsberg and Datta<sup>8</sup> claimed that lithium confers a greater reactivity to aluminium melt than any other alloying element. Small amounts of lithium (3 wt%) dramatically alter the nature of the molten alloy

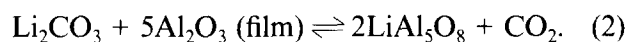
to the extent that traditional materials of construction used in the melting and transfer of aluminium alloy are rendered inadequate. Furthermore, rapid reaction of lithium with oxygen and water from the ambient atmosphere renders the oxide crust that forms on the molten metal non-protective, leading to severe volatilization of lithium, necessitating processing under an inert atmosphere. This removal of coherent film from the molten alloy also allows intimate contact with particles and promotes wetting and infiltration.<sup>9</sup>

Butler and co-workers<sup>10,11</sup> showed that a binary Al-3 wt% Li alloy developed surface films of spinel oxides such as  $\text{LiAl}_5\text{O}_8$  and  $\gamma\text{-LiAlO}_2$  in oxygen-containing environments around  $500^\circ\text{C}$ . Under pure oxygen  $\gamma\text{-LiAlO}_2$  and  $\alpha\text{-Al}_2\text{O}_3$  developed at around  $700^\circ\text{C}$  on alloys containing relatively low levels of Li. X-ray analysis<sup>12</sup> of oxide films grown in air at  $750^\circ\text{C}$  on Al-0.3 wt% Li and Al-1.2 wt% Li indicated that  $\gamma\text{-Al}_2\text{O}_3$  was present in addition to  $\gamma\text{-LiAlO}_2$ . Field and co-workers<sup>11,13</sup> studied the oxidation of liquid Al-3 wt% Li alloy under different environments. In dry air the oxidation sequence with increasing temperature was  $\text{Li}_2\text{O} \rightarrow \text{Li}_2\text{CO}_3 \rightarrow \gamma\text{-LiAlO}_2$ . In wet air,  $\text{Li}_2\text{O}$  and  $\text{Li}_2\text{CO}_3$  were stable up to  $500^\circ\text{C}$  but above this temperature a mixture of cubic spinel  $\text{LiAl}_5\text{O}_8$  and  $\text{LiAlO}_2$  existed. The surface of molten Al-3 wt% Li appeared to behave chemically like pure lithium and thus Al played only a minor role during oxidation. Oxidation was not limited by Li diffusion but controlled by the nucleation and growth of crystalline reaction products at the metal-oxide interface.

In this work, pure aluminium and an external  $\text{Li}_2\text{CO}_3$  dopant, rather than an Al-Li alloy, have been used. XRD of  $\text{Li}_2\text{CO}_3$  fired at  $600^\circ\text{C}$ , and DTA traces of  $\text{Li}_2\text{CO}_3$  heated from room temperature to  $1180^\circ\text{C}$ , show that  $\text{Li}_2\text{CO}_3$  is very stable even above  $660^\circ\text{C}$  (the melting point of aluminium) and that the decomposition reaction occurs around  $730^\circ\text{C}$ . Thus, in the directed melt oxidation process below  $730^\circ\text{C}$ ,  $\text{Li}_2\text{CO}_3$  may react directly with the protective  $\text{Al}_2\text{O}_3$  oxide layers that will be present on the pure aluminium:

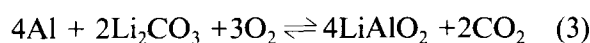


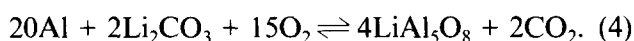
or



These reactions can aid the breakdown of stable oxide film in a similar fashion to magnesium aluminate spinel,  $\text{MgAl}_2\text{O}_4$ , in the Mg-doped system.<sup>5</sup>

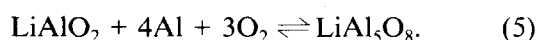
On the other hand, above the melting point of aluminium, molten aluminium may also react directly with  $\text{Li}_2\text{CO}_3$  to form  $\text{LiAl}_5\text{O}_8$  or  $\text{LiAlO}_2$ , i.e.





As  $\text{Li}_2\text{O}$  is never observed it is thought that these reactions occur preferentially to the high-temperature decomposition of the carbonate to the oxide.

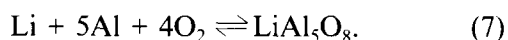
Lithium aluminates are therefore formed on the surface of the parent metal and aluminium liquid continues to penetrate this lithium aluminate layer by capillary action and react with lithium aluminates. Byker *et al.*<sup>14</sup> claimed that  $\text{LiAl}_5\text{O}_8$  spinel is stable over a substantial range of stoichiometry and thus any  $\text{LiAlO}_2$  could be transformed to  $\text{LiAl}_5\text{O}_8$  on contact with excess Al:



$\text{LiAl}_5\text{O}_8$  can also react with liquid Al to produce  $\text{Al}_2\text{O}_3$



The resulting Li vaporizes easily to the reaction front and would reform  $\text{LiAl}_5\text{O}_8$  again producing the Li-rich layer seen on the outermost surface of the products:

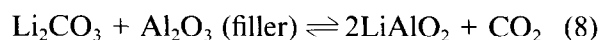


This cycle of oxidation reactions leads to the directed oxidation  $\text{Al}_2\text{O}_3/\text{Al}$  body growth although some Li may be lost to the environment.

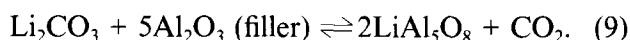
As the lithium aluminate layer is constantly being broken down and reformed, the orientation of lithium aluminate grains formed at a later time is not related to those formed earlier. High-angle grain boundaries are therefore observed in the lithium aluminate layer (Fig. 10). By comparison, after the initial development of an  $\text{Al}_2\text{O}_3$  layer there is always  $\text{Al}_2\text{O}_3$  present in the system. Hence the orientation of subsequently grown  $\text{Al}_2\text{O}_3$  is related to the pre-existing  $\text{Al}_2\text{O}_3$  grains, and low-angle grain boundaries are seen between  $\text{Al}_2\text{O}_3$  grains (Fig. 12).

Within the base of growth product the oxygen content may be exhausted so that the remaining Al liquid may react with nitrogen (present in air which was used as the oxidizing atmosphere) to form  $\text{AlN}$ .<sup>5</sup>

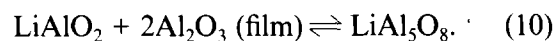
In the  $\text{Al}_2\text{O}_3/\text{Al}$  growth into a preform body, fine  $\text{Al}_2\text{O}_3$  particles were used as filler. Before the directed melt oxidation reactions started, the following reactions between  $\text{Al}_2\text{O}_3$  filler and  $\text{Li}_2\text{CO}_3$  would occur:



and



When aluminium liquid infiltrates into the mixture of dopant and reinforcement,  $\text{LiAlO}_2$  leads to breakdown of the  $\text{Al}_2\text{O}_3$  protective layer on the aluminium surface by the following reaction:



Thus  $\text{LiAl}_5\text{O}_8$  could start to react with aluminium liquid, resulting in a cyclic directed melt oxidation reaction sequence similar to that outlined above.

## Conclusions

Composite  $\text{Al}_2\text{O}_3/\text{Al}$  ceramics have been obtained by directed melt oxidation of pure aluminium externally doped with a Li source ( $\text{Li}_2\text{CO}_3$ ). Products have been produced by directed melt oxidation into both free space and particulate preforms comprising pure  $\alpha\text{-Al}_2\text{O}_3$ . As no other dopants were present, Li can initiate directed oxidation reactions and is therefore an effective dopant for the production of  $\text{Al}_2\text{O}_3$  from Al by directed melt oxidation.

With Li the directed melt oxidation process was initiated by the formation of  $\text{LiAl}_5\text{O}_8$ , which aids the breakdown of the stable oxide film that would normally form on aluminium. Subsequently the process involves motion of Li from within the growth to the reaction front; this can occur because of the high vapour pressure of Li at the reaction temperature. Thus, a Li-containing non-protective lithium aluminate layer was formed on the outward surface of product growth. This layer was instrumental in developing the subsequent cyclic reaction sequence in a similar fashion to the Mg-doped directed oxidation system.

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