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# In Situ Formed $\alpha$ -Alumina Platelets in a Mullite-Alumina Composite

M. Zhou,\* J. M. F. Ferreira, A. T. Fonseca and J. L. Baptista

Department of Ceramic and Glass Engineering, University of Aveiro, 3800 Aveiro, Portugal

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#### Abstract

A diphasic aluminosilicate gel with 10 vol% excess alumina over the stoichiometric mullite composition was prepared by a coprecipitation method and used to prepare a mullite-alumina composite. The microstructure development of this composite, including the precipitation of the excess alumina and its influence on mullite grain morphology was studied by sintering the green compacts at different temperatures and for different times. At low sintering temperature (1400°C), mullite formation takes place at local regions of dozens nm and a poorly crystallised mullite phase exists among well crystallised mullite grains. The excess alumina exists in the form of low crystallised and fine  $\theta$ -alumina crystallites distributed among the small mullite grains. At higher sintering temperature, the alumina grains develop in preferred orientations forming  $\alpha$ -alumina platelets which are [0 0 0 1] oriented. The excess alumina present favours the formation of equiaxed mullite grains. © 1998 Published by Elsevier Science Limited. All rights reserved

#### 1 Introduction

Recent research activities on mullite preparation have emphasised on introducing a second phase into the mullite matrix to prepare mullite-based composites which, besides the excellent properties of mullite, 1-4 could have high strength and fracture toughness. There are several ways to prepare mullite-alumina composites from different starting materials, and different ways to introduce α-Al<sub>2</sub>O<sub>3</sub> as a second phase. 5-8 As a consequence different microstructures are obtained for the sintered bodies. Nischik *et al.* 7 studied the effect of processing conditions on the mechanical properties of

Pask et al.<sup>9,10</sup> have shown that the chemical processing of the starting materials has a great influence on the final microstructure, since elongated grains appear in the sample from colloidal gels while polymeric gels produce equiaxed grains. Even for colloidal gels, the microstructure of mullite can be controlled by seeding<sup>11</sup> or by ageing the precursor suspension before drying.<sup>12</sup> Changing the composition of the starting material in the range from 70·4 wt% to 74 wt% Al<sub>2</sub>O<sub>3</sub><sup>13,14</sup> could also change the morphology of the mullite grains from elongated to equiaxed.

The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grain-growth behaviour has also been studied extensively. One of the most interesting aspects is the platelike alumina grains that were observed in compacts prepared from different starting materials. Chou et al.15 studied the formation of the  $[0\ 0\ 0\ 1]$ -oriented layered  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> from nanocrystalline Al<sub>2</sub>O<sub>3</sub> films (amorphous phase and γ-Al<sub>2</sub>O<sub>3</sub> phase) synthesised by radio frequency reactive sputtering deposition. They attributed this layered  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains to the interface boundary migration and lattice epitaxy. [0 0 0 1]-oriented platelike α-Al<sub>2</sub>O<sub>3</sub> grains also appeared both in undoped<sup>16</sup> and doped<sup>16–18</sup> Al<sub>2</sub>O<sub>3</sub> compacts which were sintered from  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powder. This preferred orientation is caused by the minimum surface free energy of the closest packed atomic planes which are then expected to survive the grain growth competition.

In the present work, a diphasic precursor, <sup>19</sup> with about 10 vol% excess alumina over the stoichiometric mullite composition, was used to prepare the mullite-alumina composite. The precipitation, morphology and orientation of the  $\alpha$ -alumina grains were studied.

platelet-reinforced mullite composites. An increase in fracture toughness was obtained by incorporation of up to  $\sim 30 > \text{wt}\%$  of platelets either of SiC or Al<sub>2</sub>O<sub>3</sub> into the mullite matrix, whereas the flexural strength was mostly reduced due to increased critical flaw sizes.

<sup>\*</sup>To whom correspondence should be addressed.

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# 2 Experimental Procedure

A mullite-alumina composite precursor containing about 10 vol% excess alumina over the stoichiometric mullite composition was prepared by spraying a solution containing AlCl<sub>3</sub> and silicic acid, derived from sodium metasilicate by an ion exchange method, into a dilute ammonia solution maintained at pH  $8.2 \pm 0.2$ . Details of the preparation procedure and of the phase transitions observed during heating up of the obtained precursor were described elsewhere. 19,20 The powders obtained were calcined at 1000°C, milled in a planetary ball mill for 12h using a Teflon jar and agate balls and pressed into pellets in an isostatic press at 150 MPa. The pressed pellets were isothermally sintered in air at 1400, 1500 and 1550°C for 10 h and also at 1600°C for different times. The heating rate up to the sintering temperatures was controlled at 5°C min<sup>-1</sup>. The sintered samples were ground and analysed by X-ray diffraction (XRD) (X-ray diffractometer, Model XDMAX, Rigaku/ USA, Inc., Danvers, MA) using Cu K $\alpha$  radiation. The peak area ratio of the (1 1 3) alumina peak to the four mullite peaks—(2 0 1), (1 2 1), (2 1 1) and (2 3 0) were calculated from the XRD spectra of the samples sintered at 1600°C for 1, 3, and 6 h.<sup>21</sup>

The sintered microstructures were observed by scanning electron microscopy (SEM) (Model S4100-1, Hitachi, Ltd., Tokyo, Japan) in polished sections of samples that had been previously etched during 1 h at a temperature 10% lower than the sintering temperature. Transmission electron microscopy (TEM) (Model Hitachi H9000-NA) diffraction patterns were used to analyse the orientations of the mullite grains and of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains in the samples sintered at 1600°C. The samples were thinned up to about 30  $\mu$ m by grinding and then ion milled to perforation followed by deposition of a carbon film for TEM analysis.

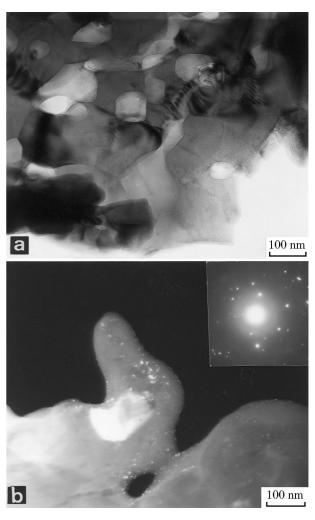
When the sample was cut, an  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelet could be cut in various ways. The most probable cut appeared as a rectangle because of the high aspect ratio of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets. By tilting and azimuthing the sample inside the transmission electron microscope, the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelet could be adjusted to an edge-on condition which means that the direction perpendicular to the long edges of the rectangle is also perpendicular to the flat boundaries.<sup>18</sup>

# 3 Results and Discussion

According to our previous studies.<sup>22</sup> XRD spectra of the powders after calcination at temperatures

from 850 to 1150°C, which are lower than the mullitization temperature, revealed that Al species existed in the form of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> or  $\theta$ -Al<sub>2</sub>O<sub>3</sub>. TEM micrographs of the precursor calcined at 1000°C show that the alumina crystallites, with dimensions of a few nanometers, are evenly distributed in the amorphous matrix. The diphasic characteristics of chemically produced mullite precursors have been studied in detail by Schneider et al.23 who found that amorphous silica and the transient y-Al<sub>2</sub>O<sub>3</sub> were the main phases formed by heat treating the powders at a temperature between 350 and 1150°C. They also conclude that the amorphous silica is gradually incorporated into  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>  $\approx$  12 mol% to  $\approx$  18 mol%) with the increase in the temperature.

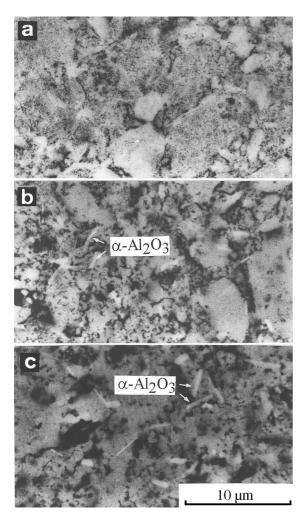
The X-ray diffraction spectrum of the sample sintered at the lower temperature (1400°C), shows a very weak trace of  $\theta$ -alumina in the mullite matrix.  $\alpha$ -alumina was not detected at this temperature. The TEM micrograph of the thermally etched cross-section of the sample in Fig. 1(a) shows that this sample is composed of grains of different sizes ranging from dozens of nanometers up to hundreds of nanometers. These matrix grains



**Fig. 1.** TEM micrographs of the sample sintered at 1400°C (10 h). (a) Bright Field, (b) Dark Field.

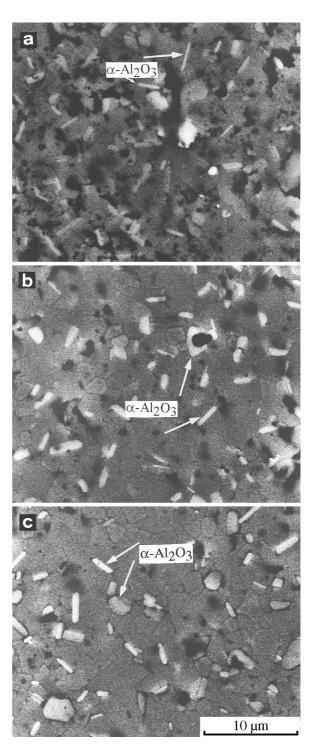
are not well-defined and no obvious distinction between phases can be observed from the SEM backscattered signal in Fig. 2(a). TEM observation of a thin section, shown in Fig. 1(b) reveal several mullite grains with different orientations, curved grain boundaries and some entrapped pores. The diffusive rings and bright diffraction points in the electron diffraction pattern from Fig. 1(b) show that a poorly crystallised phase still exists among well-crystallised mullite grains, one of which, about 100 nm long is clearly seen by the different orientation contrast in the micrograph. There are very fine crystallites (small bright points) entrapped inside the bigger grains or situated along the grain boundaries. It is possible that these small crystallites are the  $\theta$ -Al<sub>2</sub>O<sub>3</sub> phase detected in the X-ray diffraction of the powder from the same sample. A not very different microstructure has been described for 1573°C sintered specimens originated from colloidal pseudo-boehmite and tetraethylorthosilicate (TEOS) in which the mullite grains entrapped  $\theta$ -Al<sub>2</sub>O<sub>3</sub> and  $\delta$ -Al<sub>2</sub>O<sub>3</sub> particles.<sup>24–26</sup>

When the sintering temperature was increased to 1500 and 1550°C, the SEM micrographs of Fig. 2(b) and (c) show that thin platelets (which



**Fig. 2.** SEM micrographs of the samples [backscattering electron signal (BES)] sintered for 10 h at: (a) 1400°C, (b) 1500°C,

were identified as  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> by the XRD spectrum) appeared in the matrix, evidencing the nucleation and initial grain growth of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, which will probably take place in the matrix regions rich in alumina. Fig. 3 shows the SEM microstructures of the samples sintered at 1600°C for 1, 3 and 6 h. After 1 h sintering, the aspect ratio of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets, as shown in Fig. 4, is still quite high and it tends to decrease with the increase in soaking time, together with the progressive elimination of the porosity. A better definition of the boundaries between the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets and the mullite



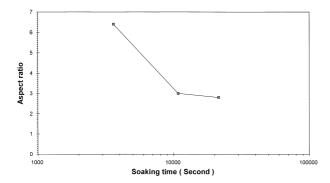
**Fig. 3.** SEM micrographs of the samples sintered at 1600°C (BES): (a) 1 h, (b) 3 h, (c) 6 h.

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grains is also observed for the longer sintering times although inhomogeneities can still be found in the chemical composition of the mullite grains. Although being impossible to give exact chemical compositions due to possible interference from nearby grains it could be that these nonhomogeneous regions, inside the mullite grains, which have a higher concentration of alumina than the stoichiometric mullite, are residues of the θ-Al<sub>2</sub>O<sub>3</sub> crystallites entrapped inside the mullite grains. Another possibility is the existence of mullite but with a disordered structure since the detected atomic ratio in these regions is Al/Si≥4/1 (2Al<sub>2</sub>O<sub>3</sub>:SiO<sub>2</sub>). Such a situation is shown in the TEM micrograph of Fig. 5(a) taken from the sample sintered at 1600°C for 1 h, where the clear region inside the mullite grains has a higher Al concentration than the rest of the grain, which has about the stoichiometric mullite composition, as analysed by energy dispersive spectroscopy (EDS). Figure 5(b) also shows clear regions in the mullite grains near the edges of the alumina platelets, which also have a high Al concentration. These microstructural characteristics suggest that both mullite and alumina grain growth were still in progress at this temperature and soaking time.

Figure 6 shows that the peak area ratio increases with the increase in sintering time at  $1600^{\circ}$ C. Although the increase in this ratio could come from an increase in the crystallinity of the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grain, it seems more probable that it indicates that the alumina is precipitating out from the mullite grains since this is consistent with the variation of the aspect ratio of the platelets. This interpretation is also supported by the nonhomogeneous chemical composition of the mullite grains at the earlier sintering times described in Fig. 5.

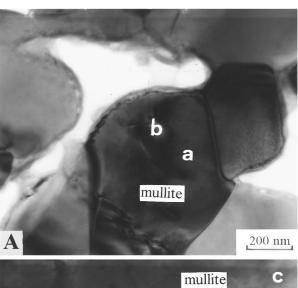
In our previous work, <sup>12</sup> a similar precursor with stoichiometric mullite composition was used to prepare mullite. It was observed that elongated grains dominated the microstructure. This morphology is due to the anisotropic grain growth of mullite <sup>24,25</sup> which originates from the orthorhombic



**Fig. 4.** The aspect ratios of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains versus soaking time at 1600°C.

symmetry of the mullite crystal structure and is usually observed when mullite is sintered at high temperatures and a silica rich phase can be detected in the grain boundaries. For the samples with excess alumina used in this work the grain growth behaviour of the mullite grains was altered and equiaxed grains are present in the microstructure of the samples sintered for longer times at  $1600^{\circ}$ C. Perhaps the excess alumina hinders the presence of the silica rich phase which, together with the presence of  $\alpha$ -alumina grains and high alumina concentration along the mullite—mullite boundaries, is at the origin of the equiaxed mullite grains observed in the mullite matrix.

Because of the different free energy for different crystallographic planes, the grain growth of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> has preferred orientation. As shown in the SEM micrographs,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets appear in the samples sintered at higher temperature. The orientations of five  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets were indexed by



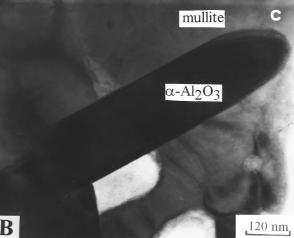


Fig. 5. TEM micrographs (BF) of the samples sintered at  $1600^{\circ}$ C for 1 h. (A) mullite grain: region (a)—high concentration of alumina (atomic ratio  $Al/Si \approx 4/1$ ); region (b)—near stoichiometric mullite composition alumina (atomic ratio  $Al/Si \approx 3/1$ ); (B)  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelet and the jointed mullite grain: region (c)—high concentration alumina (atomic ratio  $Al/Si \approx 4/1$ ).

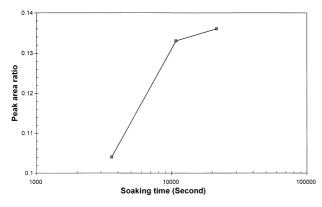
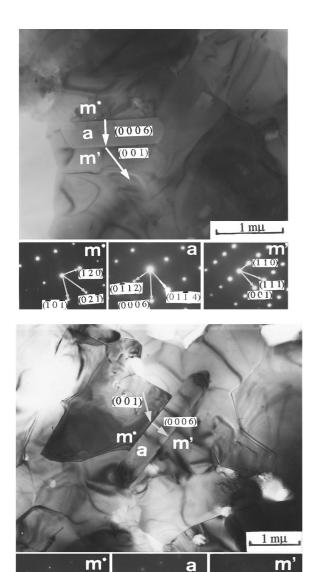


Fig. 6. The ratios of the (113)  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> peak area to the area of four mullite peaks—(201), (121), (211) and (230)—versus soaking time at 1600°C.



**Fig. 7.** TEM micrographs and the diffraction patterns of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains and the jointed mullite grains in the sample sintered at 1600°C for 6 h: m', m\*—mullite grains, a— $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains.

201

1012)

(0.006)

(211)

(010)

using TEM diffraction patterns. Among the five analysed  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grains, three of them are exactly in the edge-on condition,  $^{18}$  the axes of (0006)planes are perpendicular to the two paralleled edges and the two flat boundaries. Two of them are not in the edge-on condition, one grain has the axis of (1110) plane in the rectangle plane, another has the axis of  $(1\overline{1}07)$  in the rectangle plane. The angles between (0006) and some other crystallographic planes were calculated from the diffraction patterns of the two grains, the results show that the axes of (0006) planes are perpendicular to the two paralleled edges and have small angles with the rectangle planes in which the paralleled edges are. It seems that these two cases, not in edge-on condition, are also [0006] oriented. Two examples in edge-on condition are shown in Fig. 7.

The morphology of the  $\alpha$ -Al $_2O_3$  platelets and the preferred  $[0\,0\,0\,6]$  orientation evidences the preference of the  $\alpha$ -Al $_2O_3$  to grow according to the minimum surface free energy of the closest packed  $(0\,0\,0\,6)$  atomic planes. The pre-existing layered structure of the  $\gamma$ -alumina will probably act as a template during the  $\gamma \to \alpha$  transformation favouring the  $\alpha$ -alumina platelet morphology which is somewhat maintained during the grain growth process.

### 4 Conclusions

At low sintering temperature (1400°C), a mullite– alumina composite derived from a diphasic precursor, simultaneously shows a poorly crystallised mullite phase and well-crystallised mullite grains. The excess alumina exists as low crystallised and fine alumina crystallites distributed among the small mullite grains. The nucleation and grain growth of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> take place at about 1500°C. With the coalescence of the small mullite grains in a preferred orientation, the excess alumina precipitates out in the form of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets. The aspect ratio of the alumina platelets decreases with the increase in the soaking time at 1600°C. The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> platelets are [0006] oriented, showing that the closest packed atomic planes, which exhibit the minimum surface free energy among all the crystallographic planes, have preference during the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grain growth. The mullite grains are equiaxed.

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