

# Influence of alumina particle size on fracture toughness of (Y,Nb)-TZP/Al<sub>2</sub>O<sub>3</sub> composites

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## Abstract

ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> composites were prepared by mixing a tetragonal ZrO<sub>2</sub>, stabilized with 5.31 mol% Y<sub>2</sub>O<sub>3</sub> and 4.45 mol% Nb<sub>2</sub>O<sub>5</sub>, and Al<sub>2</sub>O<sub>3</sub> having different particle size (0.2  $\mu$ m and 2.8  $\mu$ m) to investigate the influence of the Al<sub>2</sub>O<sub>3</sub> particle size on flaw tolerance of the composites. The composites exhibited rising R-curve behavior and plateau fracture toughness of 7.9 and 8.8 MPa $\sqrt{m}$  for the additions of 20 vol.% of 0.2 and 2.8  $\mu$ m Al<sub>2</sub>O<sub>3</sub> particles, respectively. The difference in the fracture resistance was attributed mainly to the grain size of tetragonal phase in the composites, which scaled with the Al<sub>2</sub>O<sub>3</sub> particle diameter, and partially to the dispersion toughening. © 2002 Elsevier Science Ltd. All rights reserved.

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## 1. Introduction

Tetragonal zirconia polycrystals (TZPs), stabilized by doping with oxides such as Y<sub>2</sub>O<sub>3</sub> and CeO<sub>2</sub>, show a rising crack growth resistance with crack extension (R-curve behavior) due to the formation of the transformation zone behind the crack tip as a result of the stress-induced phase transformation.<sup>1–8</sup> TZP ceramics showing R-curve behavior are considered to be more tolerant of existing processing defects and surface damage induced under in-service conditions, and thus are reliable as structural ceramics due to the narrow strength distribution. Despite the fracture resistance, TZP stabilized by alloying with 3 mol% Y<sub>2</sub>O<sub>3</sub> (3Y-TZP) experiences a detrimental strength degradation because of the spontaneous tetragonal (*t*) to monoclinic (*m*) phase transformation when the material is exposed to a low-temperature range of 100 to 400 °C for a long period of time in air or water.<sup>9</sup> The low temperature degradation can be prevented by increasing the stabilizer content, decreasing the average grain size, or by the formation of TZP composites with Al<sub>2</sub>O<sub>3</sub>.<sup>9–10</sup> However,

these solutions lead to a decrease in the fracture toughness because the stress-induced *t*→*m* phase transformation becomes suppressed.

Recently it has been reported that Nb<sub>2</sub>O<sub>5</sub> and Y<sub>2</sub>O<sub>3</sub> co-doped TZPs ((Y,Nb)-TZP) exhibits the low-temperature phase stability in air while maintaining a reasonably high fracture toughness of 8.1 MPa $\sqrt{m}$ .<sup>11</sup> The absence of the degradation in (Y,Nb)-TZP under the low temperature condition is attributed to a relief of internal strain in the *t*-ZrO<sub>2</sub> lattice<sup>12</sup> as a result of local Y-Nb ordering in *t*-ZrO<sub>2</sub> into a scheelite-like arrangement.<sup>13</sup> The internal strain is known to assist the degradation under aging environments.<sup>12</sup> Besides, the concentration of oxygen vacancy in *t*-ZrO<sub>2</sub>, created by the Y<sup>3+</sup> doping, is diminished by an additional doping with Nb<sup>5+</sup>, leading to a low oxygen vacancy diffusion rate that governs the *t*→*m* phase transformation during aging at low temperatures.<sup>14,15</sup> Although (Y,Nb)-TZP has the high phase stability and fracture toughness,<sup>11,15,16</sup> the biaxial strength of (Y,Nb)-TZP is determined to be about 500 MPa in the present study that is somewhat lower than that of 3Y-TZP, which is about 850 MPa.<sup>15</sup>

The addition of Al<sub>2</sub>O<sub>3</sub> to Y-TZP produces composites with improved strength and toughness.<sup>17–24</sup> However, the results of Tsukuma et al.<sup>22</sup> and Fukuhara<sup>23</sup> showed

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that  $\text{Al}_2\text{O}_3$  additions to Y-TZP increased strength, but decreased toughness. The discrepancies arose from different toughness test techniques; that is, indentation tests showed a decrease in the toughness,<sup>20–23</sup> whereas chevron-notched beam tests showed an increase.<sup>24</sup> Furthermore, the mechanism for the strengthening and the toughening by the  $\text{Al}_2\text{O}_3$  alloying has not been fully understood. Glass and Green<sup>21</sup> have summarized that the increase in the strength and the toughness of TZP by the  $\text{Al}_2\text{O}_3$  addition may result from (i) phase transformation toughening, (ii) grain boundary toughening, and (iii) dispersion toughening. Thus, it is desirable to delineate the entire R-curve for the Y-TZP/ $\text{Al}_2\text{O}_3$  composites to understand the role of  $\text{Al}_2\text{O}_3$  in the improvements in the mechanical properties of Y-TZP. In the present study the (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites having different  $\text{Al}_2\text{O}_3$  particle sizes were prepared in an effort to increase strength and toughness of the monolith. R-curve behavior and mechanical reliability of the composites were evaluated.

## 2. Experimental procedure

Powder preparation procedure of (Y,Nb)-TZP, having the monolithic composition of 90.24 mol%  $\text{ZrO}_2$ -5.31 mol%  $\text{Y}_2\text{O}_3$ -4.45 mol%  $\text{Nb}_2\text{O}_5$  (mixture of 85 wt.% of 89.25 mol%  $\text{ZrO}_2$ -5.75 mol%  $\text{Y}_2\text{O}_3$ -5 mol%  $\text{Nb}_2\text{O}_5$  and 15 wt.% of 95.5 mol%  $\text{ZrO}_2$ -3 mol%  $\text{Y}_2\text{O}_3$ -1.5 mol%  $\text{Nb}_2\text{O}_5$ ), was reported elsewhere.<sup>11</sup> (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  powder mixtures were prepared by adding 10, 20, and 30 vol.% of  $\text{Al}_2\text{O}_3$  into the (Y,Nb)-TZP and ball milling in a polyethylene jar with zirconia balls for 24 h.  $\text{Al}_2\text{O}_3$  powders (Sumitomo Chemical Co., Japan) with different average size (0.2  $\mu\text{m}$  and 2.8  $\mu\text{m}$ ) were used as particulates. The milled slurries were dried, sieved through a 100-mesh screen, die-pressed into disks, and then isostatically pressed at 140 MPa. The green compacts were sintered for 2 h at 1550 °C in air.

The sintered density was measured by the Archimedes method, using distilled water as the immersion medium. Thermal expansion coefficient (CTE) of (Y,Nb)-TZP samples, having dimensions 8 mm in diameter and 4 mm in thickness, was measured using a dilatometer (Netzsch, Selb, Germany) in the temperature range of room temperature to 1200 °C with a heating rate of 10 °C/min. For mechanical property measurements the disk specimens were polished to a 1  $\mu\text{m}$  diamond finish. The dimension of the specimens after the polishing was 18 mm in diameter and 1.8 mm in thickness. A flat-on-three-ball biaxial-fixture was used for the determinations of strength, Weibull modulus, and toughness with a stress rate of 23 MPa/s.<sup>25</sup> The Weibull modulus was determined using the estimator of  $P = (i - 0.5)/n$ ,<sup>26</sup> where  $P$  is the failure probability,  $i$  is the  $i$ th specimen in the strength ranking and  $n$  is total number of specimens

tested ( $n = 30$ ). The fracture toughness ( $K_{\text{IC}}$ ) was determined by the indentation-strength method.<sup>27</sup> A Vickers indent of 490 N was placed on the center of the tensile face of the test specimens for the toughness determination.<sup>27</sup> A minimum of five tests was performed for each specimen composition. For the calculation of the toughness, the hardness to modulus ratio was estimated from the measurements of the diagonal dimensions of 49 N Knoop indentations after Marshall et al.<sup>28</sup>

The flaw tolerance of the composites was assessed using the indentation-strength test because of its simplicity,<sup>3,5,27,29</sup> even though the toughness determined by the indentation-strength method does not account for the residual stresses left by the transformation zone surrounding the indent in high-toughness zirconia ceramics.<sup>30–32</sup> Vickers indentations were placed on the center of the tensile surface of each disk using loads ranging from 4 to 490 N for the subsequent R-curve analysis. The indentation-induced crack length,  $2c$ , was measured with an optical microscope to determine the crack growth resistance parameter ( $K_R$ ) as reported by Anstis et al.<sup>27</sup> A drop of silicon oil was applied to minimize moisture assisted subcritical crack growth. For each load five specimens were broken using the biaxial test fixture. The R-curve analysis was then performed by following the procedure proposed by Krause.<sup>33</sup>

## 3. Results and discussion

Flexural strength and fracture toughness of (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites containing 0–30 vol% of the fine and the coarse  $\text{Al}_2\text{O}_3$  particles are shown in Fig. 1. Both the flexural strength and the fracture toughness rise as the  $\text{Al}_2\text{O}_3$  content increases. The m- $\text{ZrO}_2$  fraction (m- $\text{ZrO}_2$ /t- $\text{ZrO}_2$ ) on the fracture surfaces of the monolith,<sup>34</sup> which corresponded to 37%, decreased continuously with increasing the amount of  $\text{Al}_2\text{O}_3$ . Regardless of the  $\text{Al}_2\text{O}_3$  particle size, the relative density of (Y,Nb)-TZP/20 vol.%  $\text{Al}_2\text{O}_3$  composites was 5.53 g/cm<sup>3</sup>, corresponding to 98.5% of the theoretical density. Microstructure of the composites is shown in Fig. 2. The coarser alumina particles lead to an overall coarser TZP microstructure, even at the same volume fraction, according to the Zener's relationship<sup>35</sup>

$$R = 4r/3f \quad (1)$$

where  $R$  is the radius of curvature of the average grain,  $r$  is the radius of the particles, and  $f$  is the volume fraction of particles. The micrograph also show interaction between the microstructure and the crack path corresponding with the extended radial cracks of 196 N Vickers indentation on the surface as shown in Fig. 2C, indicating that the composite containing 2.8  $\mu\text{m}$   $\text{Al}_2\text{O}_3$

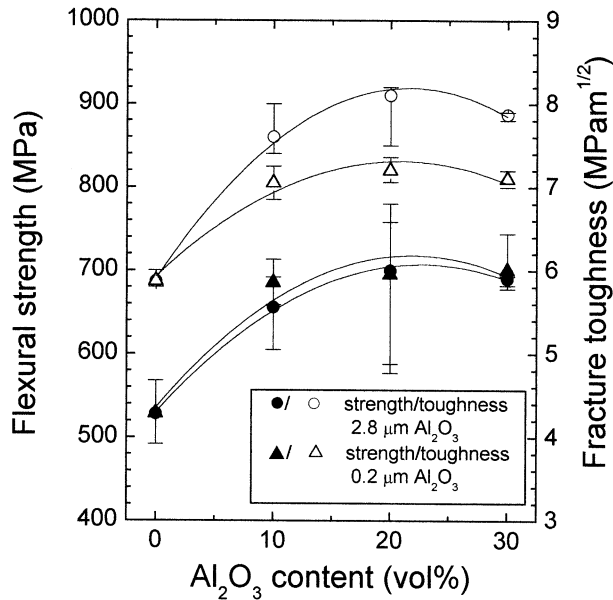


Fig. 1. Strength and fracture toughness of (Y,Nb)-TZP/Al<sub>2</sub>O<sub>3</sub> composites containing different Al<sub>2</sub>O<sub>3</sub> particle size as a function of Al<sub>2</sub>O<sub>3</sub> content.

particles exhibits grain bridging showing incomplete separation of crack faces around Al<sub>2</sub>O<sub>3</sub> particle.

The toughening by the Al<sub>2</sub>O<sub>3</sub> addition may arise from toughened grain boundaries due to the segregation of aluminum impurities<sup>21</sup> and/or dispersion toughening that comprises the crack deflection and the grain bridging.<sup>36</sup> For the grain boundary toughening mechanism, the composites containing the fine Al<sub>2</sub>O<sub>3</sub> should show a higher toughness than those having the coarse ones. This is because the grain boundary area and the interfaces in the former are higher than in the latter for a given fraction of Al<sub>2</sub>O<sub>3</sub> in the composites as shown in Fig. 2. However, this mechanism contradicts the results in Fig. 1.

It has been suggested that the increase in the toughness by the Al<sub>2</sub>O<sub>3</sub> addition is attributed to the dispersion toughening combined with a negative contribution of the transformation toughening.<sup>36</sup> The transformation toughening is given by<sup>37</sup>

$$\Delta K_c^{t \rightarrow m}(\text{phase transformation}) = k E V e_t \sqrt{h(1 - \nu)^{-1}} \quad (2)$$

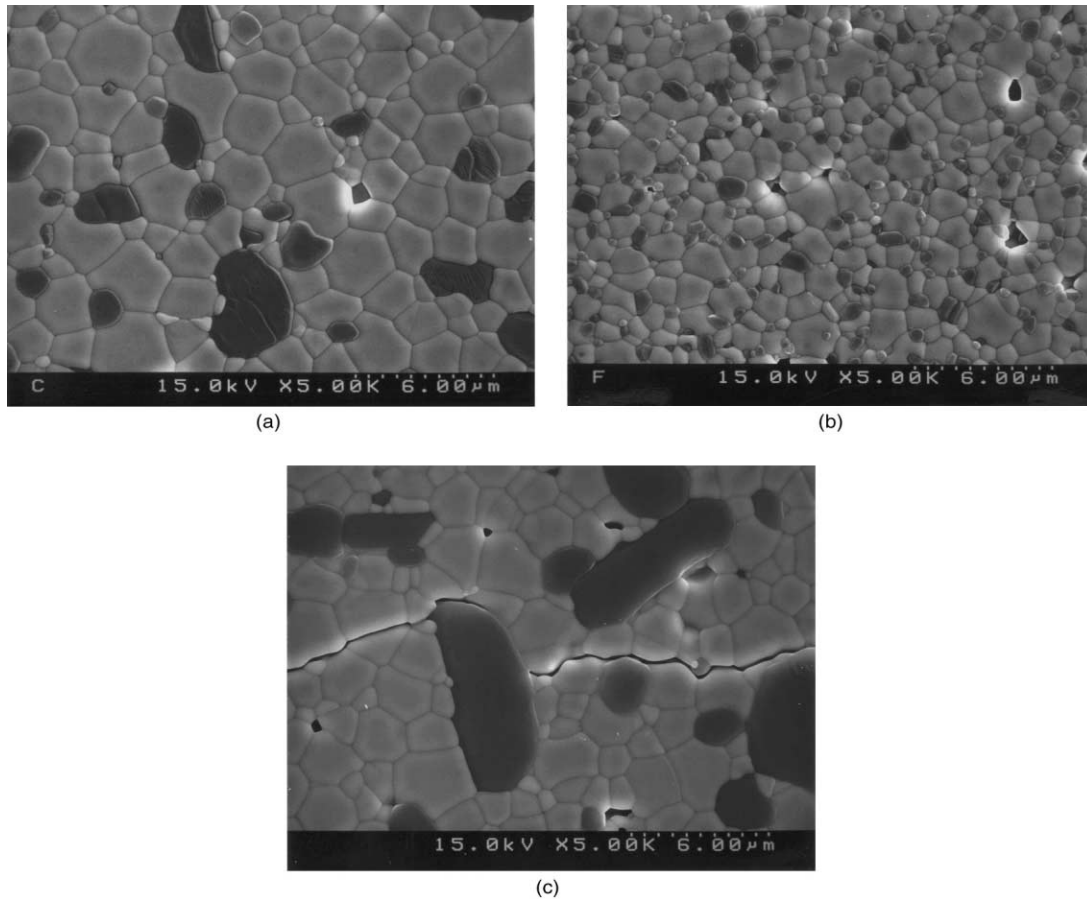


Fig. 2. Scanning electron micrographs of (a) (Y,Nb)-TZP/20 vol.% of 2.8 μm Al<sub>2</sub>O<sub>3</sub> composite and (b) (Y,Nb)-TZP/20 vol.% of 0.2 μm Al<sub>2</sub>O<sub>3</sub> composite and typical crack paths induced by 196 N Vickers indentation in (c) (Y,Nb)-TZP/20 vol.% of 2.8 μm Al<sub>2</sub>O<sub>3</sub> composite. An intergranular crack path and grain bridging sites are apparent in Fig. 2c. Also note the influence of alumina particle size on overall TZP microstructure.

where  $k$  is a constant,  $E$  Young's modulus,  $V$  volume fraction of transformable  $t\text{-ZrO}_2$ ,  $e_t$  the transformation strain,  $h$  the height of the transformation zone,  $\nu$  Poisson's ratio. Li and Watanabe<sup>36</sup> related the influence of  $\text{Al}_2\text{O}_3$  addition on the phase transformation to a decrease in the volume fraction of transformable  $t\text{-ZrO}_2$ . The negative contribution to toughness by decrease in  $V$  can be offset further by the increase in elastic modulus by the addition of  $\text{Al}_2\text{O}_3$ <sup>21</sup> and the lattice distortion in  $t\text{-ZrO}_2$  due to the thermal expansion mismatch between the two components.<sup>38</sup> This leads to a residual tensile stress in the  $t\text{-ZrO}_2$  matrix and consequently increases the transformation zone height in Eq. (2), although the zone height is somewhat reduced by grain size refinement as

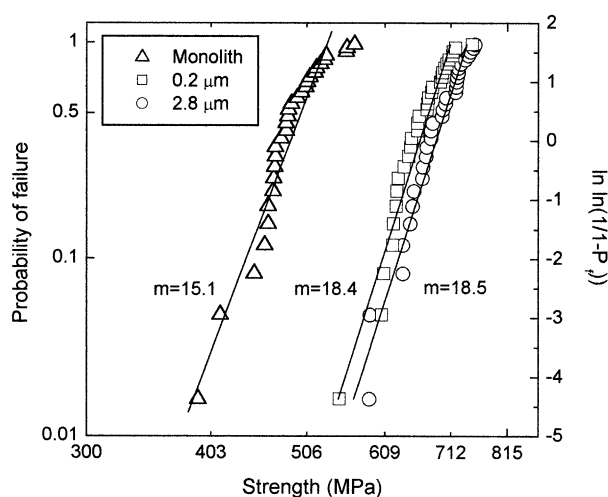


Fig. 3. Weibull plots for (Y,Nb)-TZP and (Y,Nb)-TZP/20 vol%  $\text{Al}_2\text{O}_3$  composites having different  $\text{Al}_2\text{O}_3$  particle sizes.

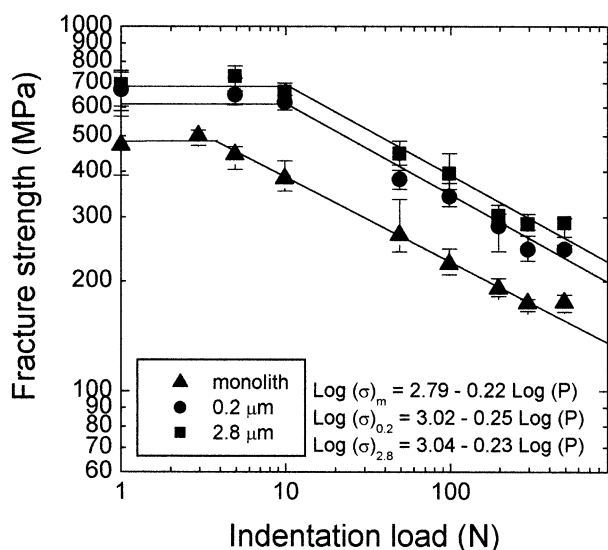


Fig. 4. Strength of (Y,Nb)-TZP and (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites as a function of indentation load. The strengths from natural flaws were arbitrarily plotted at  $P = 1$  N.

the  $\text{Al}_2\text{O}_3$  content increases.<sup>17</sup> The Weibull distribution of the monolith and the composites containing 20 vol.% of fine and coarse  $\text{Al}_2\text{O}_3$  particles is depicted in Fig. 3. The Weibull moduli for the monolith and the fine and the coarse  $\text{Al}_2\text{O}_3$  containing composites are 15.1, 18.4, and 18.5, respectively, indicating that the addition of  $\text{Al}_2\text{O}_3$  particles leads to a smaller dispersion in the size of strength-controlling flaws and the particle size does not affect significantly variation in the strength distribution of the (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites. This result also suggests that long cracks in (Y, Nb)-TZP are inhibited by the addition of  $\text{Al}_2\text{O}_3$  particles more than short cracks so that the distribution of flaws in the monolith is effectively narrowed and the reliability is increased. It has been known that the improved Weibull modulus is resulted by R-curve behavior.<sup>1,2,39</sup>

The relationship between the strength and the indentation load of the monolith and the composites having 20 vol.% of fine and coarse  $\text{Al}_2\text{O}_3$  particles is shown in Fig. 4. The strength from natural flaws of the monolith and the fine and the coarse  $\text{Al}_2\text{O}_3$  containing composites was 480 MPa, 690 MPa, and 700 MPa, respectively. The strength is independent of indentation load up to about 3 N for the monolith and about 10 N for the composites. The strength starts to decrease as the load is raised above these critical loads. This shows that the unstable crack size in the composites is larger than that in the monolith and the instability crack size is not varied by the  $\text{Al}_2\text{O}_3$  particle size. The difference in the critical loads of the monolith and the composites containing different particle size is consistent with that in the Weibull moduli in Fig. 3. Thus, it is obvious that the flaw size sensitivity of the fracture strength is related to the mechanical reliability. For all indentation loads, the strength of the composites having the coarse  $\text{Al}_2\text{O}_3$  particles is consistently higher than that of the composites containing the fine  $\text{Al}_2\text{O}_3$  particles possibly because of a stronger R-curve effect of the coarse particle containing composites. The linear least-squares fits of the data in Fig. 4 gave slopes smaller than  $1/3$  that corresponds to the slope in the conventional strength  $\propto$  indentation load <sup>$-1/3$</sup>  relationship for homogeneous ceramics whose fracture toughness is independent of crack extension.<sup>32</sup> The small slopes suggest that a rising R-curve behavior exists in both the monolith and the composites.

The R-curves of the monolith and the composites having 20 vol.% of the coarse and the fine  $\text{Al}_2\text{O}_3$  particles were estimated from the indentation-strength data by using a power law function of  $K_R$  versus the crack extension ( $\Delta c$ ), as suggested by Krause<sup>33</sup> and the results are shown in Fig. 5. The composites show more pronounced R-curve behavior than the monolith and the composites with the larger  $\text{Al}_2\text{O}_3$  particle size exhibit a stronger R-curve effect, indicating that the fracture strength of these materials in Fig. 1 are governed by

R-curve behavior. Nevertheless, the slopes of the R-curves of the composites containing the coarse and the fine  $\text{Al}_2\text{O}_3$  particles are not consistent with the Weibull moduli of these composites in Fig. 3.

The steady-state fracture toughness of the composites having 20 vol.% of the coarse and the fine  $\text{Al}_2\text{O}_3$  particles are 8.8 and 7.9  $\text{MPa}\sqrt{\text{m}}$ , respectively. The contribution of the  $\text{Al}_2\text{O}_3$  particle size on the R-curve

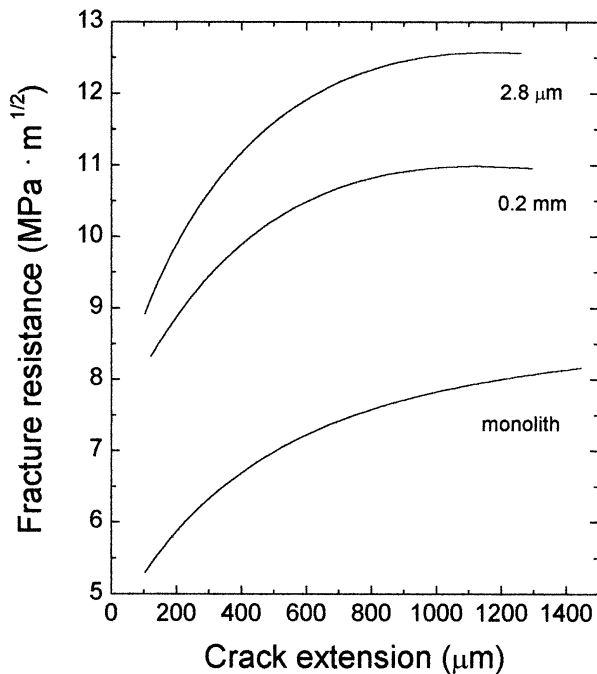


Fig. 5. R-curves for (Y,Nb)-TZP and (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites containing 20 vol.% of fine and coarse  $\text{Al}_2\text{O}_3$  particles constructed by the indentation-strength method.

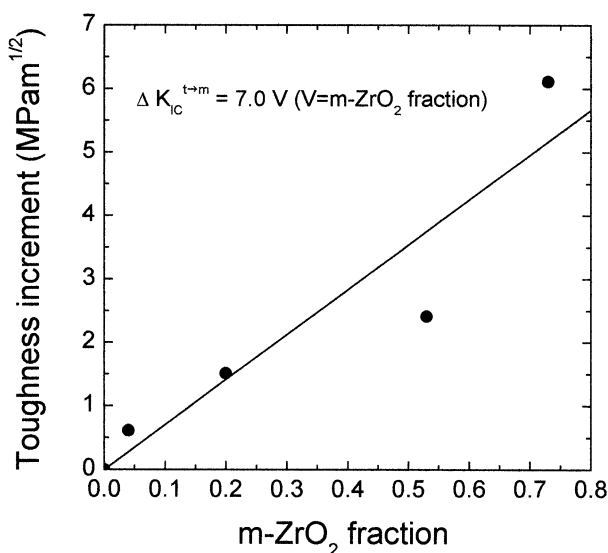


Fig. 6. Fracture toughness increment of 3Y-TZP and 3Y-TZP containing  $\text{Nb}_2\text{O}_5$  as a function of m-ZrO<sub>2</sub> fraction on the fractured surface of the specimens.

behaviors of Y-TZP/ $\text{Al}_2\text{O}_3$  composites has been related to the effects of grain bridging and thermal residual stress,<sup>36</sup> neglecting the particle size effect on the phase transformation. For the composites containing the coarse and the fine  $\text{Al}_2\text{O}_3$  particles, the m-ZrO<sub>2</sub> content on the fracture surfaces was 30% and 22%, respectively, with an estimate of error of  $\pm 1\%$ . The toughening increment by the phase transformation can be estimated from Eq. (2). Alternatively, the contribution of the phase transformation to the toughness increment of the composites may be calculated by measuring the fraction of m-ZrO<sub>2</sub> on the fracture surfaces of the (Y,Nb)-TZP/ $\text{Al}_2\text{O}_3$  composites. This can be accomplished from the relationship between the fracture toughness increment and the m-ZrO<sub>2</sub> fraction on the fracture surfaces of 3Y-TZP doped with  $\text{Nb}_2\text{O}_5$ , whose doping does not influence the density and the grain size of 3Y-TZP,<sup>13</sup> in Fig. 6. The linear relationship is reasonable since the main contribution to the toughness in Eq. (2) is the volume fraction of transformable t-ZrO<sub>2</sub>, especially in the case that the influence of the grain size that is directly related to the transformation zone height<sup>40</sup> on the toughness can be excluded. From Fig. 6 the difference in the toughness increment of the composites due to the different  $\text{Al}_2\text{O}_3$  particle size is calculated to be about 0.6  $\text{MPa}\sqrt{\text{m}}$ . The contribution of the particle size to the toughness results from the fact that the grain size of transformable t-ZrO<sub>2</sub> depends on the  $\text{Al}_2\text{O}_3$  particle size according to Eq. (1). The larger the grain size, the larger the fraction of t-ZrO<sub>2</sub> which transforms to m-ZrO<sub>2</sub> since the residual stress due to the thermal expansion mismatch scales with the grain size.<sup>41</sup> Nevertheless, the toughness difference of 0.6  $\text{MPa}\sqrt{\text{m}}$  cannot fully account for the difference in the plateau toughness values of the composites containing the fine and the coarse  $\text{Al}_2\text{O}_3$  particles in Fig. 5, which is 0.9  $\text{MPa}\sqrt{\text{m}}$ .

The discrepancy may arise from an involvement of the grain bridging in the toughness of the composites, as evidenced in Fig. 2(C), which can be calculated by<sup>36</sup>

$$\Delta K_c(\text{grain bridging}) = 2.5 f E_p (\alpha_p - \alpha_m) \Delta T \sqrt{r} \quad (3)$$

where  $E_p$  is Young's modulus of  $\text{Al}_2\text{O}_3$  (412 GPa),<sup>42</sup>  $\alpha_p$  ( $9.0 \times 10^{-6}/^\circ\text{C}$ )<sup>43</sup> and  $\alpha_m$  ( $9.92 \times 10^{-6}/^\circ\text{C}$ ) CTE of  $\text{Al}_2\text{O}_3$  and (Y,Nb)-TZP, respectively, and  $\Delta T$  temperature difference over which the thermal residual stresses are not relieved (1200  $^\circ\text{C}$ ).<sup>36</sup> According to Eq. (3) the toughness increment by the grain bridging for the fine ( $r=0.1 \mu\text{m}$ ) and the coarse ( $r=1.4 \mu\text{m}$ )  $\text{Al}_2\text{O}_3$  is approximately 0.1 and 0.3  $\text{MPa}\sqrt{\text{m}}$ , respectively, which gives the toughening difference of 0.2  $\text{MPa}\sqrt{\text{m}}$ . The sum of the contributions from the phase transformation and the crack bridging is close to the toughness difference of the composites having different  $\text{Al}_2\text{O}_3$  particle sizes. This suggests that the influence of the  $\text{Al}_2\text{O}_3$  particle size on the toughness of (Y,Nb)-TZP comes

dominantly from enhanced phase transformation and the involvement of the grain bridging is relatively insignificant.

#### 4. Conclusions

The (Y,Nb)-TZP mixed with the coarse  $\text{Al}_2\text{O}_3$  particles showed a higher resistance to crack growth and thus a better flaw tolerance compared to the TZP containing the fine  $\text{Al}_2\text{O}_3$  particles. The difference in the  $R$ -curve behaviors is largely attributed to the extent of the  $t \rightarrow m$  phase transformation of the TZP whose grain size scales with the particle size. An additional contribution of the particle size to the difference arises from the more extensive grain bridging in the composites containing the coarse particles than the fine particles.

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