

# Preparation and characterization of SnO<sub>2</sub> and Cu-doped SnO<sub>2</sub> thin films using electrostatic spray deposition (ESD)

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## Abstract

Tin (IV) oxide thin films were deposited on platinum-coated alumina substrates by means of electrostatic spray deposition (ESD) technique using as precursor solution tin chloride pentahydrate (SnCl<sub>4</sub>·5H<sub>2</sub>O) in ethanol. The influence of the deposition parameters (temperature, time and flow rate) and copper addition on the morphology and microstructure of the films was studied using scanning electron microscopy (SEM), transmission electron microscopy (TEM), X-ray diffraction (XRD) and Raman spectroscopy, respectively. The tetragonal rutile phase is evidenced by X-ray diffraction (XRD) with no other phases observed. The size of the particles calculated from the XRD peaks are in the nanometer range (7–10 nm) which is in good agreement with the transmission electron microscopy (TEM) results. The Raman spectra indeed revealed that the SnO<sub>2</sub> films are crystallized in the rutile tetragonal phase and furthermore a peak shift and a decrease of the peaks intensity can be remarked for the films doped with CuO.

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## 1. Introduction

SnO<sub>2</sub> is an interesting material due to its potential application in solar cells,<sup>1</sup> as conductive transparent electrode,<sup>2</sup> in transistors,<sup>3</sup> in varistors,<sup>4</sup> and in sensors.<sup>5</sup> Over the time, tin (IV) oxide (SnO<sub>2</sub>) proved to be the most widely used semiconductor oxide gas sensor which can detect a wide range of pollutant gases.<sup>6–10</sup> The sensing mechanism of tin (IV) oxide, being an n-type semiconductor, is based on resistance changes if it is exposed to oxidizing or reducing gases. A major drawback of SnO<sub>2</sub> and metal oxide-based gas sensors is the lack of selectivity, which limits its further applications when each gas must be detected in a mixture of gases. To overcome this drawback different strategies have been employed. It is well known that the sensitivity and selectivity of SnO<sub>2</sub> can be improved by adding small amounts of dopants. For example, the sensitivity of SnO<sub>2</sub> to H<sub>2</sub> is improved by doping with In<sub>2</sub>O<sub>3</sub>,<sup>11</sup> to O<sub>2</sub> by doping with Ga<sub>2</sub>O<sub>3</sub>,<sup>12</sup> and in particular the sensitivity of SnO<sub>2</sub>

to H<sub>2</sub>S is improved four orders of magnitude by doping with small amount of CuO.<sup>13</sup>

The sensors selectivity can be improved also by using an array of sensors and evaluating the sensor response data with pattern recognition methods like principal component analysis (PCA),<sup>14,15</sup> discriminant factor analysis (DFA),<sup>16,17</sup> and artificial neural network method (ANN).<sup>18,19</sup> This combination between an array of sensors and a pattern recognition method led to the system known as “electronic nose”. SnO<sub>2</sub> or doped SnO<sub>2</sub> as active gas sensor material is used as powder, ceramic compacts, and thick or thin films. It has been proved that the use of films presents advantages such as high mechanical resistance, easier application of the electrodes, and the possibility of miniaturization.<sup>20</sup> Different techniques were used to prepare SnO<sub>2</sub> or doped SnO<sub>2</sub> thin films, i.e., spray pyrolysis,<sup>21,22</sup> sol–gel process,<sup>23–25</sup> chemical vapor deposition,<sup>26,27</sup> sputtering,<sup>8,28</sup> pulsed-laser deposition.<sup>29</sup> In this work SnO<sub>2</sub> and CuO-doped SnO<sub>2</sub> thin films are deposited using the electrostatic spray deposition (ESD) technique which offers many advantages like simple set-up, ambient pressure operation, high deposition efficiency, and good control of the surface morphology. Tuning the ESD-deposition parameters different morphologies ranging

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from dense to fractal-like porous and even reticular with interconnected pores were obtained.<sup>30,31</sup> The aim of this work is to prepare thin films of SnO<sub>2</sub> and CuO-doped SnO<sub>2</sub> using ESD technique in order to find the best process conditions to obtain especially porous thin films suitable for gas sensor application.

## 2. Experimental aspects

### 2.1. ESD set-up

For the deposition of the films a vertical ESD set-up was used.<sup>31</sup> The liquid precursor is pumped by a syringe pump (Kd Scientific, model 100, USA) through a flexible tube of plastic material (Watson Marlow Marprene®, inner diameter 1.3 mm) to the tip of a stainless steel nozzle (outside diameter, 0.9 mm). When a high voltage is applied by a dc voltage power supply (FUG HCN 14-12500, Rosenheim, Germany) between the nozzle and the substrate the precursor solution is atomised into an aerosol. This aerosol consists of highly charged droplets that are attracted by the grounded and heated substrate where they impinge, loose their charge and after complete solvent evaporation the thin layer is formed on the substrate surface. The temperature of the substrate is maintained at a constant value using a temperature control unit (Eurotherm Controls, model 2216e), which includes a heating element and a temperature controller.

### 2.2. Films deposition

Tin chloride pentahydrate (SnCl<sub>4</sub>·5H<sub>2</sub>O, Aldrich, 98% purity) was dissolved in absolute ethanol (C<sub>2</sub>H<sub>5</sub>OH, J.T. Baker, 99.9% purity) to obtain a 0.05 M precursor solution for depositing SnO<sub>2</sub> films. For the doping procedure a 0.05 M precursor solution of copper (II) nitrate hemipentahydrate (Cu(NO<sub>3</sub>)<sub>2</sub>·2.5H<sub>2</sub>O, 98% purity, Aldrich) in ethanol was used. The doping of the films was varied from 1 to 4 at.%. The precursor solutions were pumped through the metal nozzle using a flow rate of 1–4 ml h<sup>-1</sup>. The substrate comprised alumina pellets (99.7%, Gimex Technische Keramiek B.V., The Netherlands), with dimension of 10 mm × 20 mm and thickness 1 mm. The substrate was then coated partially with a layer (1–5 μm) of platinum paste (Engelhard Clal, model 6082A) and fired at 800 °C for 2 h in air. This substrate was fixed in a stainless steel substrate holder, in order to allow a circular deposition surface of 10 mm in diameter (see Fig. 1).

The temperature of the substrate was varied in the range 150–400 °C and the deposition time between 1 and 3 h. A dis-

tance of 20 mm was kept between the nozzle and the substrate. A positive voltage between 6.0 and 8.5 kV was applied to the nozzle in order to generate the aerosol of the precursor solution. The film deposition took place under ambient atmosphere. The as-deposited films were annealed at 550 °C in air for 2 h to remove any possible organic rests remained from the precursor solution and also to improve the crystallinity and to sinter the layer.

### 2.3. Structure characterization techniques

The surface morphology and the thickness of the coatings were investigated using a JOEL JSM 580 LV scanning electron microscope (SEM). To determine the films thicknesses and to avoid charging of the samples a thin film of gold was sputtered on the surface using an Edwards Sputter Coater S150 B.

The transmission electron microscopy (TEM) measurements were performed using a PHILIPS CM30T electron microscope with a filament of LaB<sub>6</sub>. The system is operating at 300 kV. The samples are placed on Quantifoil carbon polymer supported on a gold grid by applying a few droplets of ground sample with ethanol, followed by drying in air. The chemical analysis of the thin films was investigated using an energy dispersive X-ray (EDX) analyzer (Oxford Instruments).

The crystal structure of the films was determined with a Bruker D8 Advance X-ray diffractometer (XRD) using monochromatic Cu Kα radiation (λ = 1.5406 Å, 40 kV and 40 mA).

Raman measurements were performed at room temperature using a home-built set-up. The excitation source used is an Nd:YVO<sub>4</sub> laser system (Spectra Physics Millennia), which is working at a wavelength of 532 nm. The spectra were recorded in the back-scatter mode using a liquid-nitrogen cooled CCD camera (Princeton Instruments LN/CCD-1100PB) connected with a Spex 340E monochromator. The monochromator is equipped with an 1800 grooves/mm grating. The CCD chip is controlled by a Princeton Instruments ST-138 controller, which is connected to a personal computer running with WinSpec software. The laser beam power on the sample was limited to ~2 mW to prevent heating of the samples. The scattering is removed effectively with two notch filters (Kaiser Optical Systems).

## 3. Results and discussion

The SnO<sub>2</sub> morphology changes as a function of the substrate temperature (Fig. 2) was first studied. If the film is deposited at 150 °C (Fig. 2a) the droplets arriving at the substrate do not evaporate on the surface and a dense smooth film is formed. Nevertheless, the film presents cracks, probably caused by thermal stress built-up during the drying process of the film. In the same experiment repeated at 250 °C (Fig. 2b) the film becomes denser. This film comprised of large particles of about 1 μm, which are agglomerates of small particles. No cracks are observed in this case. If the deposition temperature is further increased up to 350 and 400 °C (Fig. 2c and d) a porous layer is formed due to the faster growth rate. As can be seen (Fig. 2) the particle sizes decrease with the increase of the deposition temperature. Also

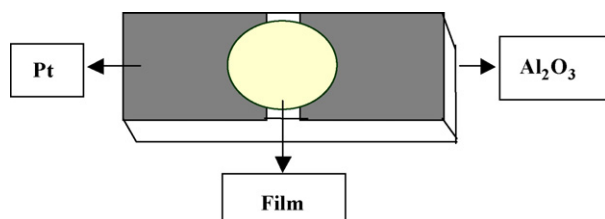


Fig. 1. Schematic representation of the films deposited on Pt-coated alumina.

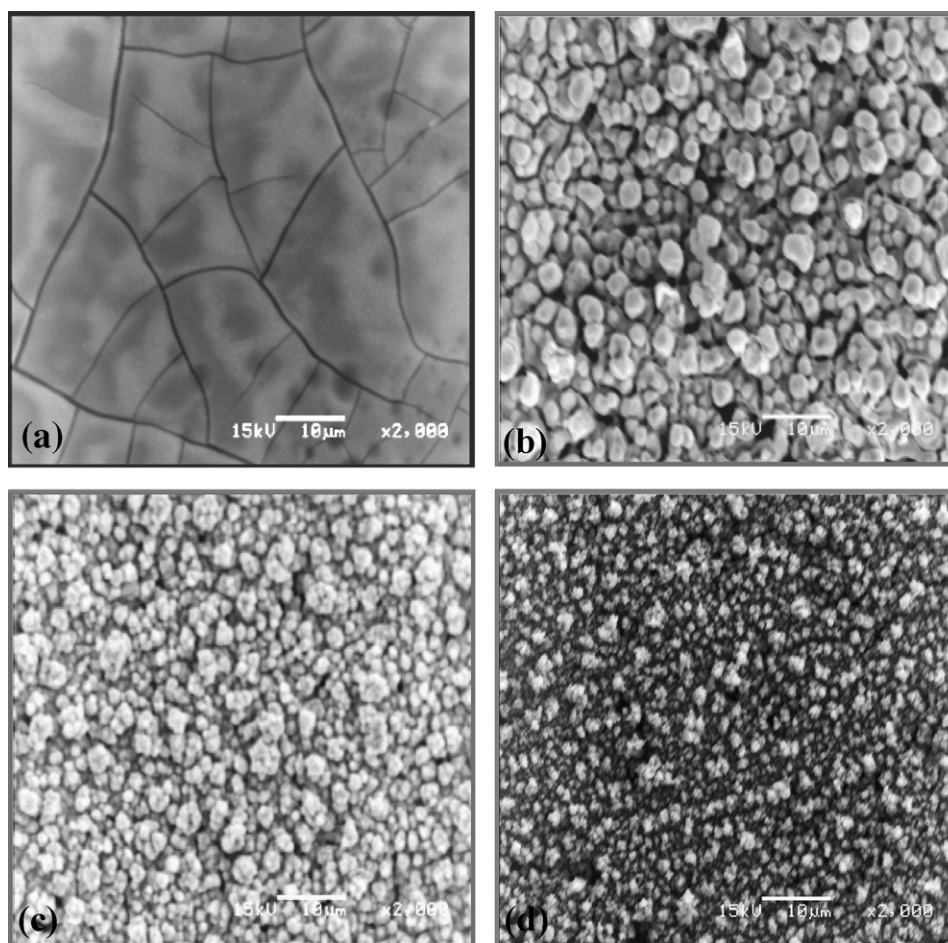


Fig. 2. SEM pictures of  $\text{SnO}_2$  films deposited with 1 ml/h for 1 h using different deposition temperatures: (a) 150 °C; (b) 250 °C; (c) 350 °C; (d) 400 °C.

the porosity and roughness increase with increasing temperature.

The effect of the deposition time on the morphology of the  $\text{SnO}_2$  films has also been studied. Fig. 3a shows that the films deposited in 1 h have a thickness of 5–6  $\mu\text{m}$ . This was determined from the film cross-section (Fig. 3a'). With increase of the deposition time up to 3 h (Fig. 3b) the layer thickness increases to 20–25  $\mu\text{m}$  (see Fig. 3b'). The porosity of these films also increases. The change in the porosity can be explained by the fact that in the first case the particles arriving at the substrate are spreading easily on the alumina substrate, therefore, a denser layer is formed. When the deposition time is increased, the arriving droplets have to spread on the surface of the already deposited layer and discrete particles are formed which increase the roughness and lead to a more porous morphology.

Fig. 4 presents the SEM pictures of the  $\text{SnO}_2$  layers deposited at 400 °C, using a range of flow rates from 1 to 4 ml/h. For a low flow rate (Fig. 4a and b) a porous film is formed due to the fact that the particles arriving at the substrate are dry or semi-dry and slightly spread over the substrate.

As can be observed, the size of the particles is increasing with the increase of the flow rate of the precursor solution. When the droplets are landing on the substrate, they are still

wet, spread over it and a denser film is formed (Fig. 4c). Nevertheless, the films that show a high porosity have a low adhesion to the substrate, a fact observed also by Chen et al.<sup>30</sup> In this way a compromise between porosity and adhesion can be obtained in order to have good quality  $\text{SnO}_2$  thin films.

For the deposition of the  $\text{SnO}_2$  films doped with CuO the parameters, which lead to a porous morphology as well as a good adherence to the substrate are used (Fig. 4b).

Furthermore, the influence of the Cu dopant on the morphology of the films is studied. The process of doping does not have a significant influence on the morphology. The morphology studies of the layer show a porous structure in which the primary particles are present in aggregates, which have sizes in the range 1–5  $\mu\text{m}$  in diameter. This porous morphology is preferred for gas sensor applications, because of large adsorption areas for the gas molecules. As can be seen, the aggregates are uniformly distributed. The thickness of the film determined by the SEM cross-section (Fig. 4d) is about 7–8  $\mu\text{m}$ .

TEM pictures of  $\text{SnO}_2$  (Fig. 5a) revealed that the particle dimensions are ranging between 10 and 15 nm. The grain size of un doped  $\text{SnO}_2$  was found to be similar to that of Cu-doped  $\text{SnO}_2$  (Fig. 5b) and small amounts of Cu have little influence on film morphology and particle size. This fact is also found in the present SEM and XRD studies.



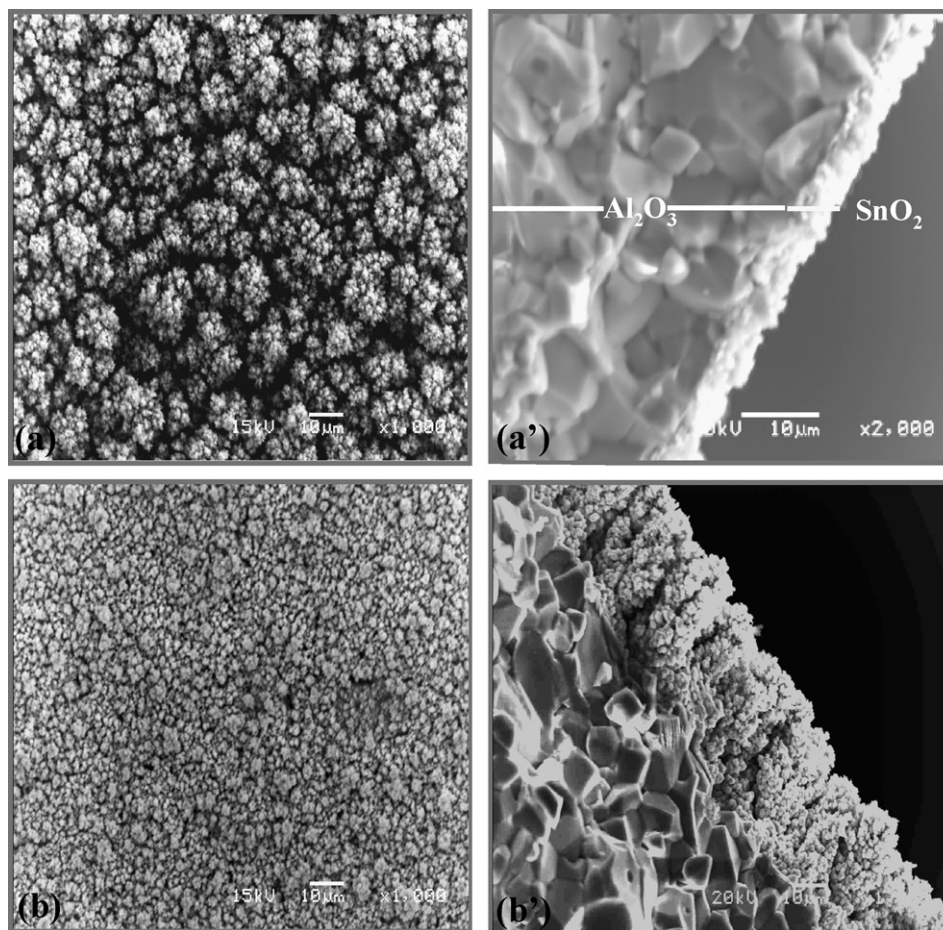


Fig. 3. SEM pictures of  $\text{SnO}_2$  films deposited with 1 ml/h at  $400^\circ\text{C}$  using different deposition times: (a) 1 h, top view; (a') 1 h, cross-section; (b) 3 h, top view; (b') 3 h, cross-section.

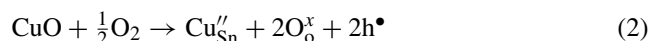
To confirm the composition of the films, EDX analysis was employed. Fig. 6 shows an EDX spectrum of  $\text{SnO}_2$  doped with 4% of  $\text{CuO}$  realized on the marked zone from Fig. 5b. As can be seen peaks associated with Sn, Cu, and O elements are present. The other peaks noticed, i.e., C and Au peaks are due to the grid on which the sample was laid.

The XRD measurements were performed on pure and Cu-doped  $\text{SnO}_2$  before and after annealing. The as-deposited films at  $400^\circ\text{C}$  are amorphous, but annealing of these films at  $550^\circ\text{C}$  in air leads to a crystalline structure. Fig. 7 displays the XRD patterns of  $\text{SnO}_2$  and  $\text{SnO}_2$  doped with 4% Copper. In all cases the tetragonal, rutile-type  $\text{SnO}_2$  phase is observed and the peak positions of the films are found to be in good agreement with JCPD 77-0450. It can be noted that no shift in the  $\text{SnO}_2$  peak positions or any Cu phase (i.e., Cu oxides or ternary compounds with Cu) is observed. This leads to the conclusion that doping of  $\text{SnO}_2$  with Cu has occurred. There are two possible doping mechanisms of  $\text{SnO}_2$  with Cu: substitutional and interstitial. In the case of substitutional doping some  $\text{Sn}^{4+}$  ions are replaced with  $\text{Cu}^{2+}$  (Eq. (1)) based on the comparable radii of  $\text{Sn}^{4+}$  and  $\text{Cu}^{2+}$  (0.69 and 0.73 Å, respectively). The oxygen-ion vacancies ( $\text{V}_{\text{O}}^{\bullet\bullet}$ ) created by substitutional doping of  $\text{Sn}^{4+}$  with  $\text{Cu}^{2+}$  are charge compensated by electron holes ( $\text{h}^\bullet$ ), if the sample is annealed in air. The electron holes compensate the  $\text{Cu}_{\text{Sn}}''$  point

defects charge and are required for the site balance (Eq. (2), Kröger–Vink notations).

In the second case  $\text{Cu}^{2+}$  ions can occupy the interstitial sites of the  $\text{SnO}_2$  lattice (Eq. (3)). As can be seen the concentration of tin ion vacancies ( $\text{V}_{\text{Sn}}'''$ ) increases.

a. Substitutional:



b. Interstitial:



The crystallite size for doped and undoped samples was calculated using the Debye–Scherrer formula<sup>32</sup> for the (1 1 0) peaks, i.e.,  $D = K\lambda/\beta \cos \theta$  where  $D$  is the crystallite size (nm),  $\lambda$  the radiation wavelength (0.15406 nm for Cu  $\text{K}\alpha$ ),  $\beta$  the full-width at half maximum height FWHM of the X-ray line (radians) and  $\theta$  is the diffraction peak angle. The average grain size  $D$  is found to be in the range 7–10 nm for  $\text{SnO}_2$  and CuO-doped  $\text{SnO}_2$ . The XRD results confirm that the grains have a nanometer size and are in good agreement with the TEM results. In

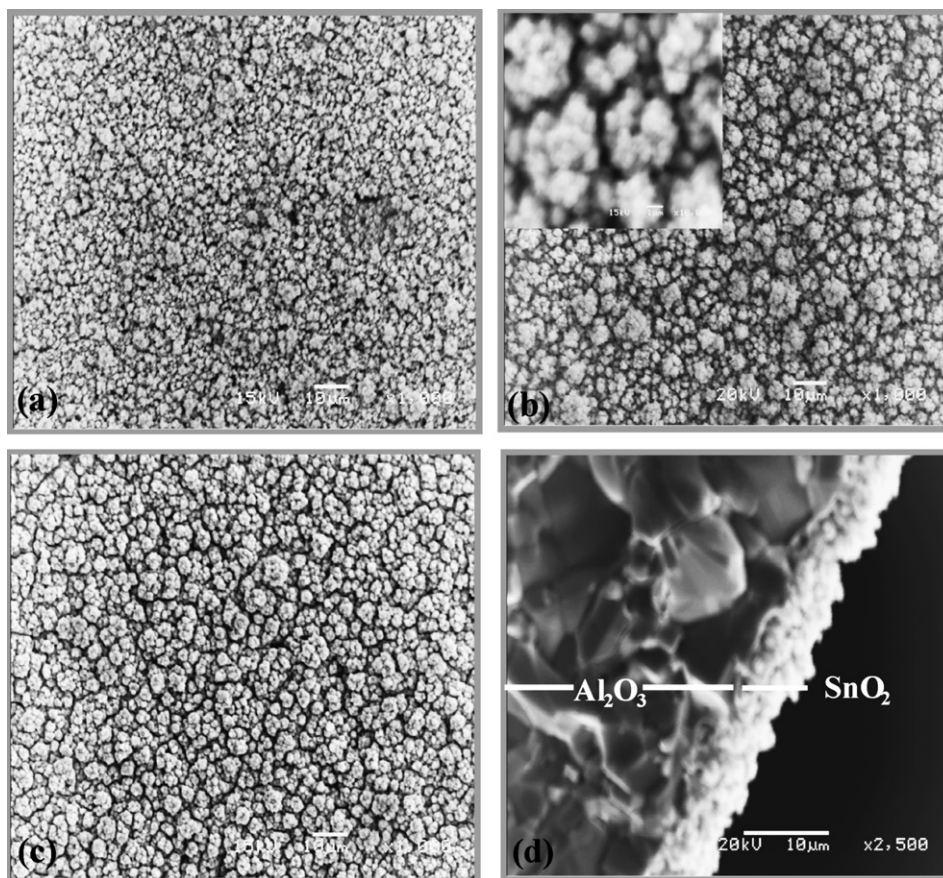


Fig. 4. SEM pictures of  $\text{SnO}_2$  films deposited at  $400^\circ\text{C}$  for 1 h using different liquid flow rates: (a) 1 ml/h; (b) 2 ml/h, top view; (c) 4 ml/h; (d) 2 ml/h, cross-section.

conclusion, the copper dopant has a minor effect on the grain size and crystallinity.

The Raman spectra of  $\text{SnO}_2$  and Cu-doped  $\text{SnO}_2$  films are presented in Fig. 8. The tetragonal rutile  $\text{SnO}_2$  unit cell contains two tin ions and four oxide ions and belongs to the space group  $D_{4h}^{14}(P_{42/mnm})$  and displays four Raman active modes in the bulk of  $\text{SnO}_2$ :  $A_{1g}$ ,  $E_g$ ,  $B_{1g}$  and  $B_{2g}$ . Three Raman peaks for  $\text{SnO}_2$  (Fig. 8a) are detected at  $474$ ,  $632$ , and  $773\text{ cm}^{-1}$ , corresponding to the  $E_g$ ,  $A_{1g}$  and  $B_{2g}$  vibration modes of tetragonal

rutile  $\text{SnO}_2$ . These results are in good accordance with the literature values.<sup>33</sup> The  $A_{1g}$  ( $632\text{ cm}^{-1}$ ) and  $B_{2g}$  ( $773\text{ cm}^{-1}$ ) are related to the expansion and contraction vibration modes of Sn–O bonds, while  $E_g$  ( $474\text{ cm}^{-1}$ ) is related to the vibration mode of oxide-ions. The  $B_{1g}$  mode corresponds to a librational motion of octahedra<sup>34</sup> and the intensity is very weak that it is not commonly observed. Another Raman peak at  $313\text{ cm}^{-1}$  is also observed in addition of the fundamental Raman peaks of rutile  $\text{SnO}_2$ . This Raman peak corresponds to IR active  $E_u^{(3)}\text{TO}$  or

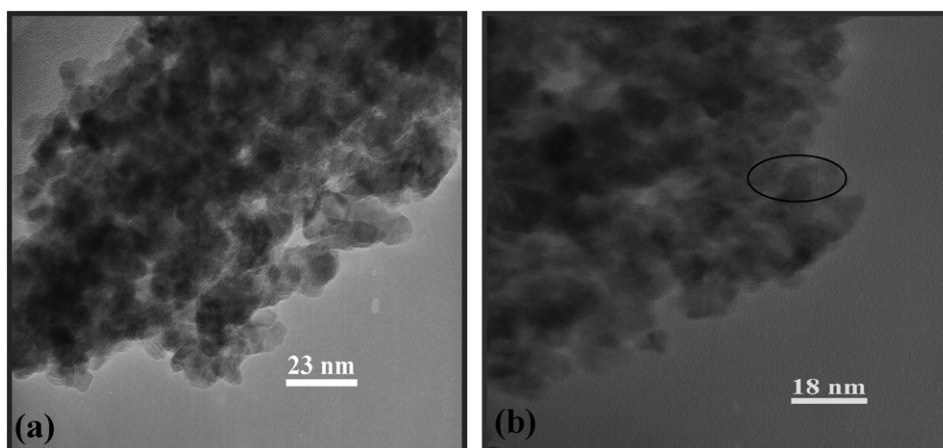


Fig. 5. TEM picture of (a)  $\text{SnO}_2$  film and (b)  $\text{SnO}_2$  film doped with 4% CuO deposited at  $400^\circ\text{C}$  (2 ml/h for 1 h) and annealed at  $550^\circ\text{C}$  for 2 h in air.



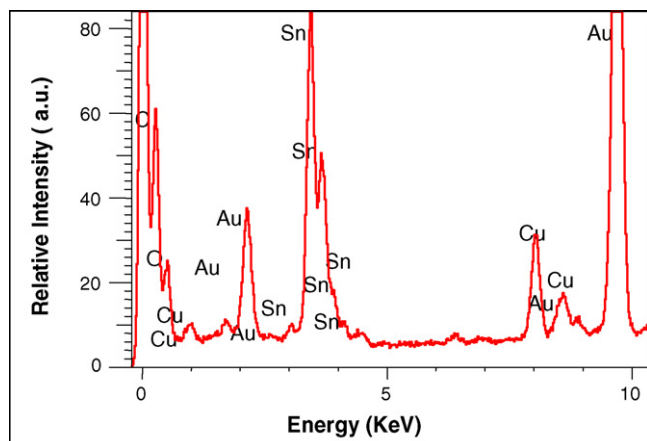


Fig. 6. EDX spectrum of SnO<sub>2</sub> doped with 4% CuO deposited at 400 °C (2 ml/h for 1 h) and annealed at 550 °C for 2 h in air.

A<sub>2u</sub>LO, where TO and LO are the modes of the transverse and longitudinal optical phonons, respectively. Similar results were reported recently by Sun et al.<sup>35</sup> for rutile SnO<sub>2</sub> nanobelts and by Liu et al.<sup>36</sup> for rutile SnO<sub>2</sub> nanorods. Abello et al.<sup>34</sup> explained that the infrared (IR) active modes are induced when disorder and size effect takes place. Comparing the Raman spectra of the undoped SnO<sub>2</sub> and SnO<sub>2</sub> doped with different percents of CuO can be observed that for SnO<sub>2</sub> doped with 1% CuO (Fig. 8b) no significant shift of the peaks position takes place. In addition, an important shift of the SnO<sub>2</sub> A<sub>1g</sub> band from 632 to 628 cm<sup>-1</sup> for SnO<sub>2</sub> doped with 4% CuO (Fig. 8b) can be remarked. This can be ascribed to the contribution of CuO modes to SnO<sub>2</sub> modes taking into account that the CuO B<sub>g</sub> mode is between 626 and 636 cm<sup>-1</sup>.<sup>37,38</sup> Furthermore, a decrease in the intensity of the peaks with the increase of the copper percent can be remarked. Pagnier et al. attributed the decrease of the peaks to the formation of the Cu–Sn solid solution or to the stress created due to the coverage by CuO. In our case the first hypothesis can be excluded considering the XRD results but the second one can be taken into account.<sup>39</sup>

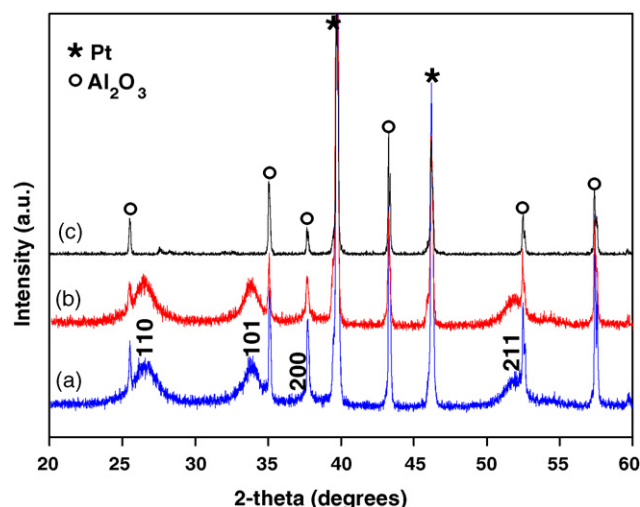


Fig. 7. XRD patterns of (a) SnO<sub>2</sub>, (b) SnO<sub>2</sub> doped with 4%CuO and (c) Pt-coated alumina substrate.

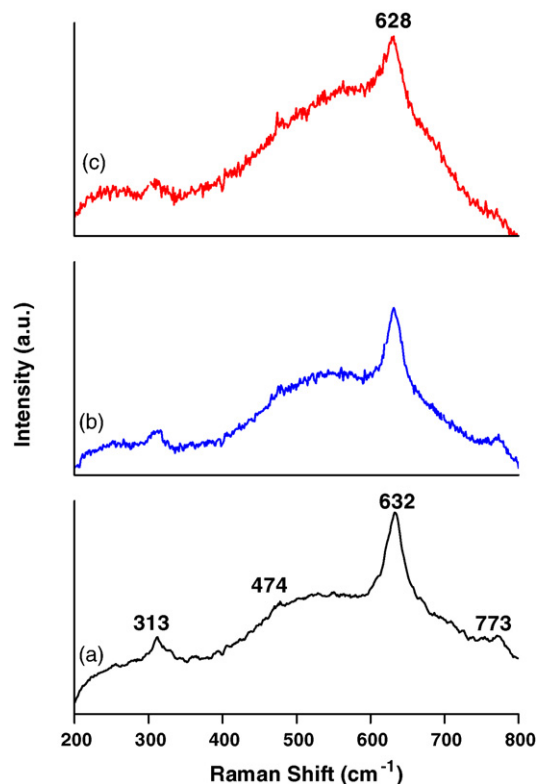


Fig. 8. Room-temperature Raman spectra of (a) SnO<sub>2</sub> and SnO<sub>2</sub> doped with (b) 1%CuO and (c) 4%CuO films deposited at 400 °C (2 ml/h for 1 h) and annealed at 550 °C for 2 h in air.

#### 4. Conclusions

Porous nano-structured SnO<sub>2</sub> and copper-doped SnO<sub>2</sub> thin films with average particles size of 7–10 nm were successfully deposited on Pt-coated alumina substrates using electrostatic spray deposition (ESD) technique. Varying the deposition parameters (temperature, time and flow rate) SnO<sub>2</sub> thin films with different morphologies, thickness and particle size were obtained.

The Cu-doping proved to have minor effect on the morphology and microstructure of the SnO<sub>2</sub> films, as shown by SEM, TEM and XRD analysis. The Raman results confirm the rutile tetragonal phase of SnO<sub>2</sub>. A decrease of the intensity of the bands and a shift of the A<sub>1g</sub> band can be remarked when the amount of copper oxide in the film is increased.

Considering the nano-crystalline structure, the homogeneity and the porosity of these films it could be demonstrated that electrostatic spray deposition (ESD) is a useful technique to obtain thin films with desired morphology by varying the deposition parameters. Furthermore, the possibility of using these films as chemo-resistive gas sensors or in other applications can be taken into account.

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