

## Suppression of grain growth in sub-micrometer alumina via two-step sintering method

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Received 13 April 2008; received in revised form 21 August 2008; accepted 27 August 2008

Available online 11 October 2008

### Abstract

Two-step sintering (TSS) was applied to suppress the accelerated grain growth of sub-micron ( $\sim 150$  nm) alumina powder. The application of an optimum TSS regime led to a remarkable decrease of grain size down to  $\sim 500$  nm; while the grain size of the full-dense structures produced by conventional sintering ranged between 1 and 2  $\mu\text{m}$ . To find how important the temperatures at sintering steps might be, several TSS regimes were conducted. The results showed that the temperatures at both sintering steps play vital roles in densification and grain growth of the alumina compacts. Based on the results, the optimum regime consisted of heating the green bodies up to 1250 °C (first step) and then holding at 1150 °C for more than 60 h (second step). This yielded the finest microstructure with no deterioration of the densification. Heating at 1300 °C (first step) and then at 1200 °C (second step) was not a successful procedure. Lowering the temperature of the second step down to 1100 °C resulted in exhaustion of the densification at 88% -theoretical density. A nearly full-dense structure with an average grain size of 850 nm was obtained when the temperature of the second step was increased to 1150 °C. Empirical results show that not only the first step temperature has to be high enough to reach a structure containing unstable pores, but the second sintering temperature must also be high enough to activate the densification mechanism without grain growth. This means that a considerable densification at the first step does not imply enough second-step densification.

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**Keywords:**  $\text{Al}_2\text{O}_3$ ; Sintering; Grain growth

### 1. Introduction

Large increase in strength and hardness is frequently documented for ultra-fine ceramic/metallic structures; a phenomenon that is explained in part by small grain sizes using the well-known Hall–Petch relationship.<sup>1–4</sup> Difficulties are associated with producing ultra-fine bulk structures<sup>5–7</sup> due to the fact that fine structures with high interface density must store relatively high energies. As a consequence, grain refining processes have attracted much attention recently.<sup>8,9</sup> To achieve this goal, two principal ways are applied: decreasing the grain size from

microscale down to ultra-fine range ( $<300$  nm) and inhibiting the growth of ultra-fine grains during processing. The former has been usually used to produce ultra-fine metallic materials, while the latter has most often been conducted to obtain ultra-fine ceramics. Langdon et al.<sup>10,11</sup> have, for instance, refined the structure of aluminum alloys via severe plastic deformation through equal channel angular pressing (ECAP). Large plasticity of metals and alloys -allows them to be severely deformed, while the brittle nature of ceramics restricts the applicability of this method. In order to obtain an ultra-fine ceramic structure, one can use nanocrystalline powder. Accelerated grain growth during final stage of sintering usually results, however, in coarsening of the structure. Although the higher surface area of powders provides higher driving force and promotes densification leading to a decrease of interfacial energy, it increases their tendency to form agglomerates.<sup>12,13</sup> Dry pressing of the agglomerated powders leads to the formation of a green body with

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two types of pores in this case: micrometric inter-agglomerate pores, which degrade densification, coexisting with nanometric inter-crystalline pores. During sintering, the elimination of the inter-agglomerate pores requires high temperatures promoting grain growth which is incompatible with keeping the sintered grain size in the nanometer range.<sup>13</sup> To overcome this difficulty, loosely agglomerated larger particles could be used as the starting powder. Krell et al.<sup>14</sup> reports the preparation of ultra-fine sintered bodies from sub-micron powders rather than from nanometer-sized ones. If such coarser powders are shaped in a proper way, not only does the sintering temperature decrease but also the grain growth would be minimized due to smaller and more homogeneously distributed pores in a green body with higher green density. Dense structures with fine grain size and improved mechanical properties would, hence, be producible.<sup>3,14,15</sup>

To preserve fine grains while sintering, a second phase could be added to pin grain boundaries. For instance, Chen et al.<sup>16</sup> prohibited grain growth of nanocrystalline zirconia with doping  $\text{TiO}_2$  particles. In doing so, a homogenous distribution of the second phase is needed. The size of the second phase as well as the type and its thermal stability drastically influence the drag pinning force and the final grain size.<sup>17,18</sup> The results of Bernard-Granger and Guizard<sup>17</sup> showed that the influence of  $\text{TiO}_2$  in suppression of grain growth in sub-micrometer alumina was not considerable while a significantly smaller grain size was obtained by addition of  $\text{MgO}$  particles. Chakravarty et al.<sup>18</sup> reported that with increasing of  $\text{MgO}$  content above 1.25 wt.%, densification of sub-micron  $\text{Al}_2\text{O}_3$  was retarded. Moreover, it is not easy to achieve a good distribution of fine second phase in nanopowders to prohibit grain growth. Another way to hamper grain growth is the utilization of pore pinning during densification.<sup>19</sup> In other words, instead of the second phase, one can use pores to inhibit grain growth. Novikov<sup>19</sup> simulated the grain growth in a three-dimensional microstructure while dispersed particles like sintering pores move with boundaries reducing the rate of the grain growth.

From the thermodynamics aspect, at a temperature range where grain boundary diffusion is active, but grain boundary migration is sufficiently sluggish, densification would continue without any significant grain growth. On basis of this idea, Chen and Wang<sup>20</sup> developed a novel technique called two-step sintering (TSS) to suppress the accelerated grain growth at the final stage of sintering by triple junctions. To take the advantage of boundary dragging by triple junctions, a critical density at first should be achieved where sufficient triple junctions exist throughout the body as pins. Then with decreasing the sintering temperature to a critical degree, the grain growth would be stopped by triple junctions while densification may not be impaired. In doing so, samples have to be exposed to prolonged isothermal heating at the second (low temperature) step. Referring to the conducted successful TSS in open literature, different critical densities were reported for various systems. For instance, Chen and Wang<sup>20</sup> determined 75% of the theoretical density (TD) as the critical one for TSS of nano-yttria to succeed. Li and Ye<sup>21</sup> reported that below 82% TD, alumina nanopowder would not be densified even after prolong soaking in the second step.

As in a TSS regime, the triple junctions are going to prohibit grain growth, while unstable pores can shrink with low temperature annealing, seemingly the source of different densities lies in the pore size and distribution which needs to be further investigated. Certainly, formation of inhomogeneous porosity due to the increased tendency of nanopowder to form agglomerates complicates the situation. To solve this problem, one can use larger particles with lower agglomeration degree and shape green bodies with advanced methods to obtain a more homogeneous structure. Under this condition, one can expect successful TSS at lower temperatures.

In the present study, TSS was applied to the sub-micrometer  $\text{Al}_2\text{O}_3$  powders to achieve a dense ultra-fine structure. The sintering behavior and structural evolution during densification were traced to find out the densification mechanism. The effect of temperature of both sintering steps on densification and grain growth at different TSS regimes were discussed. Hardness and fracture toughness of the two-step sintered samples were also reported.

## 2. Experimental procedure

### 2.1. Raw materials and compaction

Alumina powder (Taimicron TM-DAR; Taimei Chemicals Co., Ltd., Tokyo, Japan) with a mean particle size of 150 nm was used. The morphology of powder was examined with a scanning electron microscope (SEM, Philips XL30, the Netherlands). The powder was firstly shaped in a cylindrical die with 10 mm diameter under uniaxial pressure of 50 MPa. The preformed green samples were then cold isostatic pressed under 200 MPa.

### 2.2. Porosimetry

To estimate how the two shaping methods of cold isostatic pressing (CIP) and uniaxial pressing (UP) would influence on sintering (densification and grain growth) and pore size distribution of samples shaped through CIP and UP (at 100 MPa) mercury porosimetry tests were also conducted on pre-sintered/sintered samples at 1100, 1300 and 1350 °C without isothermal dwell.

### 2.3. Sintering

Both conventional sintering (CS) and TSS of the green bodies shaped through CIP were carried out in this research. CS specimens were non-isothermally sintered between 1050 and 1400 °C in air with a heating ramp of 10 °C min<sup>-1</sup>. Different TSS regimes were applied to minimize the final grain size. At the first step, pellets were heated up to  $T_1$  with a heating ramp of 10 °C min<sup>-1</sup>. They were then cooled down to the lower temperatures of  $T_2$  with a cooling rate of 50 °C min<sup>-1</sup> and soaked for a prolonged period of time. Table 1 lists the conditions under which TSS regimes were carried out. The density of the sintered samples was measured by Archimedes method in water. To prevent penetration of water to the open pores of the sample, their surface was wax smeared. The mean diameter of the grains

Table 1  
The conditions for TSS regimes

TSS regime	$T_1$ (°C)	$T_2$ (°C)	Maximum holding time at $T_2$ (h)
TSS1	1300	1200	15
TSS2	1300	1100	15
TSS3	1300	1150	50
TSS4	1200	1150	15
TSS5	1250	1150	64

was measured using SEM micrographs of the fractured surfaces, counting at least 150 grains for each specimen. The average of at least three measurements was reported as the final result of the tests.

#### 2.4. Mechanical properties

Hardness and fracture toughness were measured by indentation method. Indentation tests were conducted on polished samples with a load of 10 kg held for 20 s. The hardness ( $H_V$ ) was calculated from the diagonal length of the indentation determined by SEM observation using the following equation<sup>22</sup>:

$$H_V = \frac{1.854P}{d^2} \quad (1)$$

where  $P$  is the applied load and  $d$  is the mean value of the diagonal length. Fracture toughness ( $K_{IC}$ ) was determined by measuring the crack length emanating the indentation center indicated by  $C$  in the following equation<sup>23</sup>:

$$K_{IC} = 0.0016 \left( \frac{E}{H_V} \right)^{1/2} \left( \frac{P}{C^{3/2}} \right) \quad (2)$$

where  $E$  is the Young's modulus.

### 3. Results

Fig. 1 shows the SEM micrograph of the as-received sub-micrometer (150 nm) alumina powder. As seen, no tight agglomeration can be observed. Fig. 2 shows pore size distribution in green compacts shaped via uniaxial pressing as well

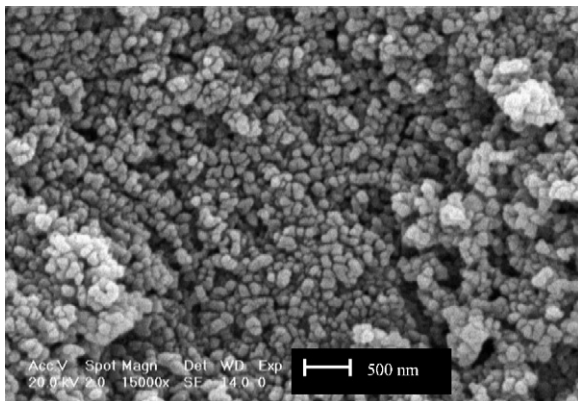


Fig. 1. SEM image of sub-micron  $\text{Al}_2\text{O}_3$  powder.

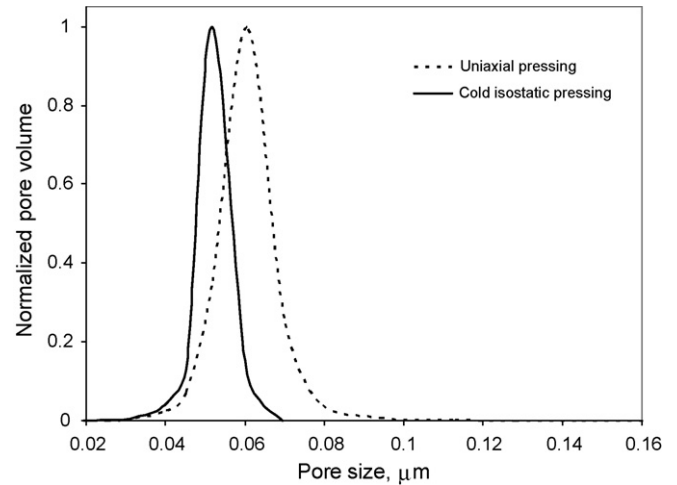


Fig. 2. Pore size distribution in pre-sintered samples shaped via uniaxial pressing and cold isostatic pressing (green samples were heated to 1100 °C with no holding).

as CIP after heating up to 1100 °C. As shown, uniaxial pressing followed by CIP shifted the mean pore size to a smaller value. As no significant densification was achieved, these curves can be considered as an indicator for pore size distribution in green state (before sintering). Fig. 3 shows the structural evolution of green compacts shaped via CIP while firing through heating up to 1400 °C with a heating rate of 10 °C min<sup>-1</sup>. Up to 1250 °C, no obvious change in grain size was observed while a significant increase in density occurred. Further increase of temperature (up to 1300 °C) yielded a density of ~87% TD and a grain size of ~350 nm. Densification was; however, nearly complete (~98% TD) at 1400 °C without isothermal dwelling. Final grain size of the nearly fully dense structure was higher than 1.2 μm. In other words, 11% increase of relative fired density (from ~87 to ~98% TD) led to a remarkable grain growth of more than 240% (from ~350 nm to ~1.2 μm). To suppress the grain growth, different TSS regimes were applied to densify green compacts without the accelerated grain growth at the final stage of sintering. In doing so, long time isother-

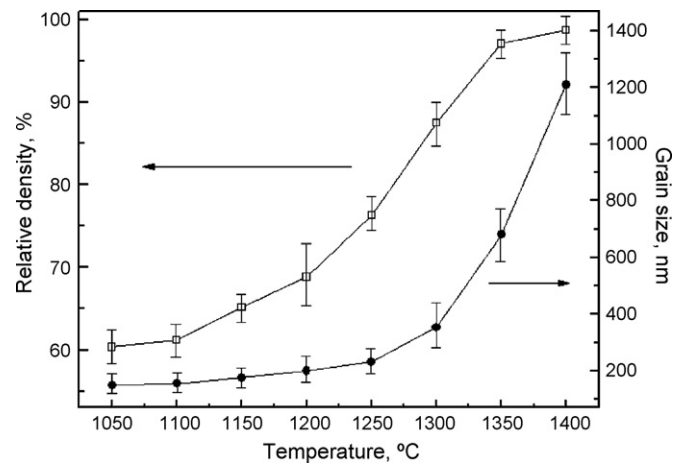


Fig. 3. Structural evolution (relative fired density and grain size) of sub-micron  $\text{Al}_2\text{O}_3$  green compacts through heating up with a constant heating ramp of 10 °C min<sup>-1</sup>.

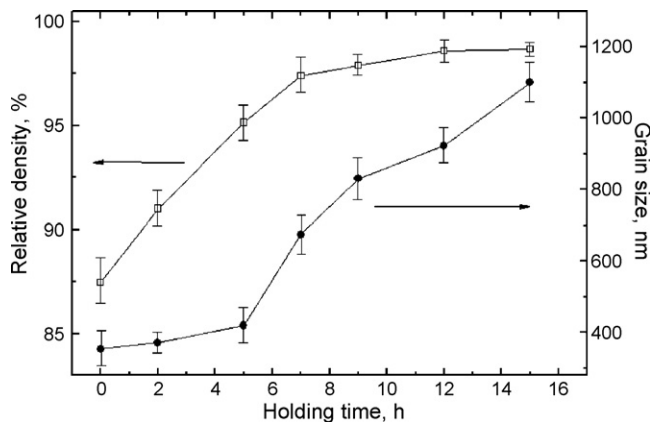


Fig. 4. Relative fired density and grain size versus holding time at 1200 °C for sub-micron  $\text{Al}_2\text{O}_3$  green compacts firstly heated up to 1300 °C (TSS1).

mal dwell at lower temperatures instead of continuous heating up/isothermal dwell at a constant temperature were reported to be effective.<sup>24</sup> Fig. 4 shows the variation of relative fired density and grain size versus holding time at 1200 °C for samples firstly heated up to 1300 °C (TSS1). Although the temperature was decreased 100 °C in the second step, a significant grain growth was observed. In other words, coarse structure with an average grain size of  $\sim 1.1 \mu\text{m}$  was obtained, comparable to that achieved via continuous heating up to 1400 °C. The maximum relative density of both samples was  $\sim 98\%$  TD. To succeed in inhibiting the grain growth, the second step temperature was decreased further to 1100 °C (TSS2). As observed in Fig. 5, even after 16 h soaking at 1100 °C only a slight increase in density was achieved. Most obviously, the second step temperature was not sufficient for elimination of the residual porosity. Figs. 4 and 5 illustrate how important the temperate of the second step might be. Not only did low temperature isothermal dwell in the second step fail to eliminate the residual porosity, but also high temperature soaking led to an uncontrolled grain growth. Seemingly, there is a critical temperature between 1100 and 1200 °C which could be chosen as the second step temperature for elimination of the residual porosity without accelerated growth of grains. Fig. 6 shows the results of the density and the grain

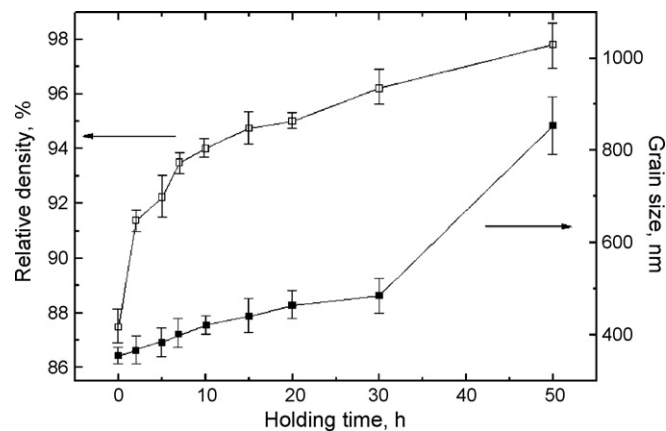


Fig. 6. Relative fired density and grain size versus holding time at 1150 °C for sub-micron  $\text{Al}_2\text{O}_3$  green compacts firstly heated up to 1300 °C (TSS3).

size measurements for TSS3 cycle conducted at  $T_1 = 1300 \text{ }^\circ\text{C}$  and  $T_2 = 1150 \text{ }^\circ\text{C}$  ( $1100 \text{ }^\circ\text{C} < T_2 < 1200 \text{ }^\circ\text{C}$ ). After 50 h dwell at 1150 °C, green compacts were densified and relatively finer structures with an average grain size of  $\sim 0.85 \mu\text{m}$  were obtained. To find how effective the first step temperature might be,  $T_1$  was decreased from 1300 down to 1200 °C (TSS4). Fig. 7 shows the effect of decreasing the temperature of the first step on densification and grain growth versus holding time. With decreasing the temperature in the first step, the density (obtained at the end of the first step) was decreased from  $\sim 87.5$  to  $\sim 69\%$  TD. After 9 h soaking at 1150 °C the density increased up to  $\sim 90\%$  TD while further soaking did not affect densification any more. The grain size was increased from  $\sim 200$  to  $\sim 310 \text{ nm}$ . The results indicate that the initial density after the first step was lower than the critical one, which resulted in failure of the procedure. To increase the initial density, the samples were heated up to 1250 °C. Fig. 8 shows structural evolution of green compacts firstly fired through heating up to 1250 °C followed by holding at 1150 °C (TSS5). Successfully, 50 °C increase of the first step temperature led to formation of a nearly full-dense structure with an average grain size of  $\sim 500 \text{ nm}$ . The final density of sintered bodies achieved 98% TD.

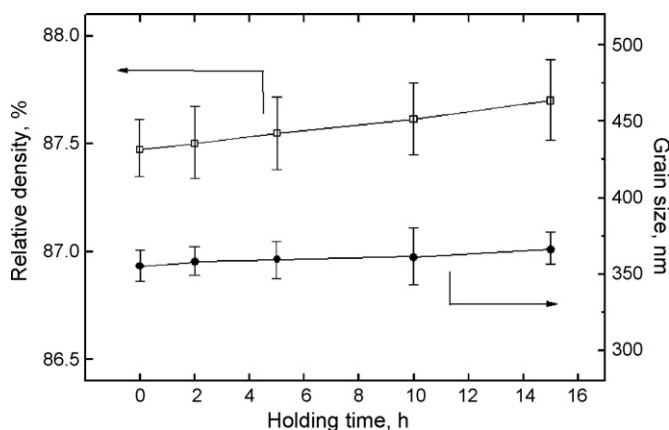


Fig. 5. Relative fired density and grain size versus holding time at 1100 °C for sub-micron  $\text{Al}_2\text{O}_3$  green compacts firstly heated up to 1300 °C (TSS2).

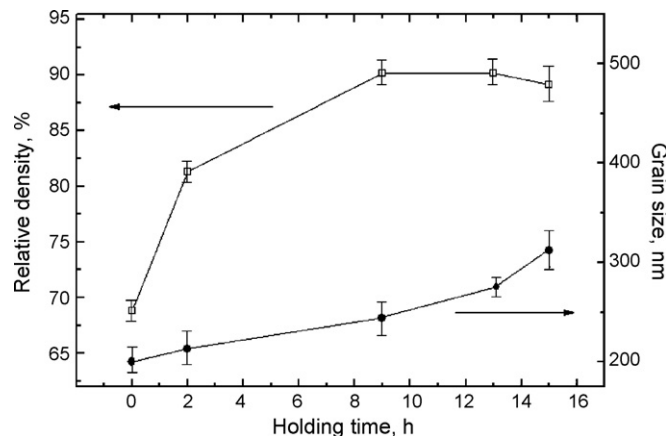


Fig. 7. Relative fired density and grain size versus holding time at 1150 °C for sub-micron  $\text{Al}_2\text{O}_3$  green compacts firstly heated up to 1200 °C (TSS4).



## 4. Discussion

### 4.1. Densification and grain growth

#### 4.1.1. Conventional sintering

It is needless to say that shaping method as well as powder characteristics would significantly influence the sintering path of ceramic powders.<sup>3,12,14,15</sup> For instance, Krell et al.<sup>3,14,15</sup> showed that conventional sintering of uniaxially pressed sub-micron alumina at 1450 °C gave a density of ~98% TD and a grain size of 1.25  $\mu\text{m}$ . While uniaxial pressing, followed by CIP and subsequent sintering at 1400 °C increased the density to 99% TD and reduced the grain size to 650 nm. It was attributed to the higher homogeneity of particle coordination.<sup>3,14,15</sup> Similarly the results of the present study show that uniaxial pressing followed by CIP improved particle coordination. Fig. 2 indicates more homogeneous particle coordination in samples shaped through CIP in comparison with uniaxially pressed compacts, expressed in the reduction of pore size as well as narrowing of pore size distribution.

Another factor affecting green state homogeneity and consequently sintering behavior of powder is agglomeration degree. For instance, Li and Ye<sup>21</sup> reported that after 1 h sintering of uniaxially pressed nanopowder with a mean particle size of 10 nm at 1400 °C, the relative fired density of 82% was attainable. Although the nanometer powder with a significantly higher surface area was used, even after 1 h soaking at 1400 °C, the density is lower than that obtained in the present study without dwelling at the same temperature (~98% TD). Owing to loosely agglomerated nature of the used powder (Fig. 1), a good sinterability was observed while hard agglomerates of a 10 nm powder resulted in its significant reduction.<sup>21</sup> In other words, using a finer powder with higher activation energy for sintering does not guarantee better sinterability.

#### 4.1.2. Two-step sintering

Two-step sintering tests show that there is a critical temperature for the second step as well as for the first one (Figs. 3–7). Low temperature isothermal dwelling at 1100 °C in the second

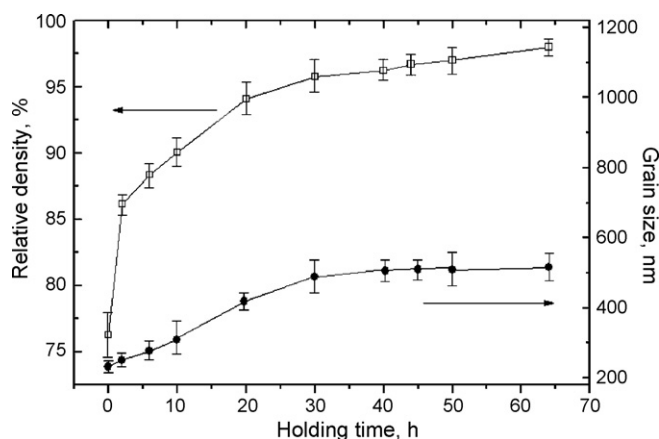


Fig. 8. Relative fired density and grain size versus holding time at 1150 °C for sub-micron  $\text{Al}_2\text{O}_3$  green compacts firstly heated up to 1250 °C (TSS5).

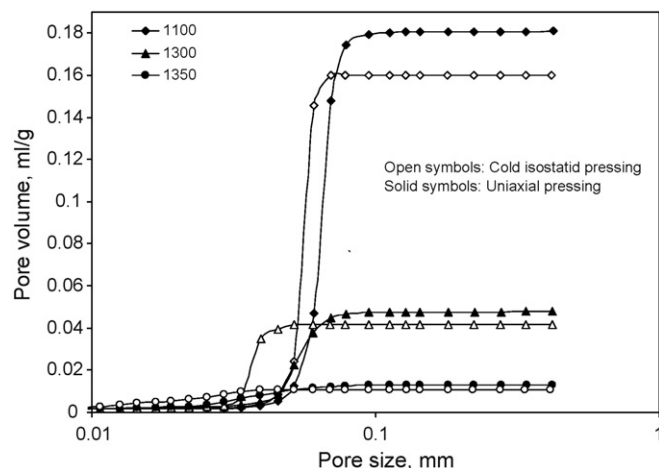


Fig. 9. Cumulative curves of pore size distribution in samples shaped via uniaxial pressing and cold isostatic pressing after heating up to 1100, 1300 and 1350 °C.

step failed, for instance, to eliminate the residual porosity of samples which were firstly heated up to 1300 °C (TSS2) (see Fig. 5). High temperature soaking at 1200 °C led to an accelerated grain growth (TSS1) (Fig. 4), while with further decrease of the second temperature ( $T_2$ ), the TSS was successfully conducted (TSS3) (Fig. 6). Fig. 8 shows an initial density of 75% TD is sufficient for removal of pores in the second step (TSS5). Bodisova et al.<sup>25</sup> observed, however, that 75% TD after the first sintering step is not sufficient in the case of the used powder and at least a density of 92% TD must be achieved before the second step. This discrepancy can be attributed to the shaping method of green compacts resulting in different mean pore size and its distributions. As seen in Fig. 2, not only the mean pore size in CIP sample is smaller than that of UP, but also a narrower pore size distribution can be observed. Accordingly, in the present study the temperature of the first step in TSS of sub-micron  $\text{Al}_2\text{O}_3$  could be decreased to 1250 °C in comparison with 1400 °C of Ref. [25]. The minimum temperature necessary for the second step in both studies was 1150 °C although the relative density of the samples at the beginning of the second step was not identical. Obviously, independently of the initial density at the beginning of the second step the pore size and its distribution dictate whether or not the second sintering step could be successfully completed. Fig. 9 compares the cumulative pore size distribution curves of CIP and UP samples sintered at different temperatures. Most obviously, at all temperatures, CIP samples contain finer residual pores with narrower size distribution. These results confirm enhanced sinterability of more homogeneous CIP-ed samples. Fig. 10 shows the SEM micrograph of samples sintered under optimum TSS regime (TSS5). The average grain size of ~500 nm was achieved in this case. In comparison with the results mentioned in Ref. [25], the final grain size was decreased from 0.9 to 0.5  $\mu\text{m}$ . It could be concluded that the pore size and its distribution obtained after the first step determine the critical density which guarantees the success of a TSS cycle.

Fig. 11 summarizes the effect of different TSS regimes on sintering paths of sub-micron alumina compared with that obtained

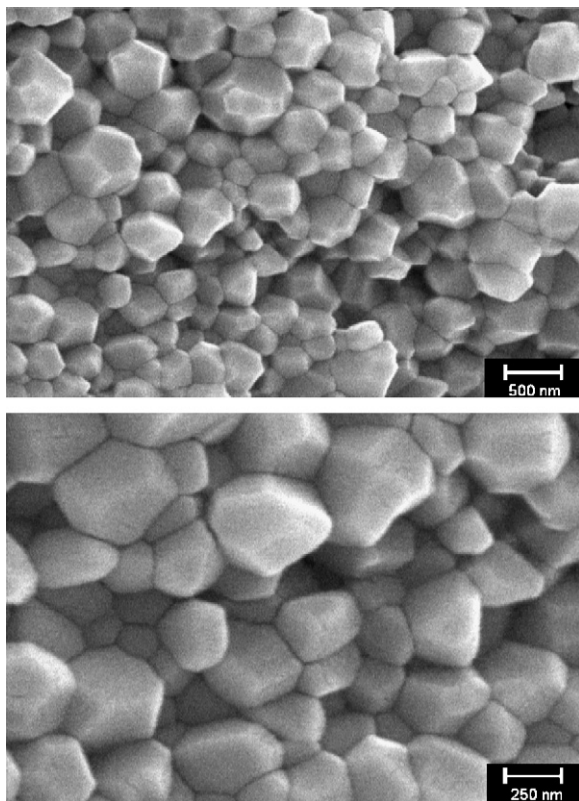


Fig. 10. SEM picture of sintered sub-micron  $\text{Al}_2\text{O}_3$  under TSS5 regime (the green sample firstly heated up to  $T_1 = 1250^\circ\text{C}$ , cooled down to  $T_2 = 1150^\circ\text{C}$  and held at  $T_2$  for 64 h).

through continuous heating. It is shown how effectively the temperatures of the two sintering steps in TSS cycles affect the densification and grain growth processes.

#### 4.2. Mechanical properties: hardness and fracture toughness

The finer the grain size of a structure is, the higher the density of grain boundaries would be. This constrains the plas-

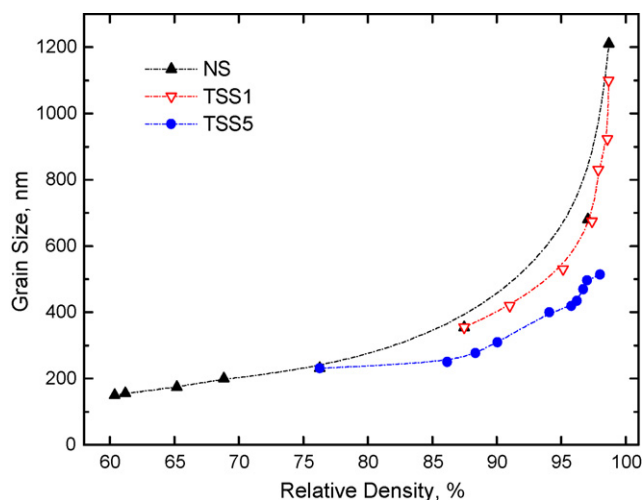


Fig. 11. Structural evolution of sub-micron  $\text{Al}_2\text{O}_3$  green compacts through heating up to  $1400^\circ\text{C}$  and different TSS heating regimes.

Table 2

Mechanical properties of sub-micron  $\text{Al}_2\text{O}_3$  compacts sintered under TSS3 and TSS5 condition

Sintering regime	Fractional fired density (%)	Grain size ( $\mu\text{m}$ )	Hardness (GPa)	Fracture toughness ( $\text{MPa m}^{1/2}$ )
TSS3	97.8	0.85	15.01	4.29
TSS5	98	0.5	18.2	4.24

tic deformation of the sample during loading and attracts the engineers due to their more desirable mechanical properties. Accelerated grain growth at the final stage of sintering especially when nanocrystalline powder is used, is a big challenge in producing ultra-fine structures. To prohibit grain growth, one can sacrifice densification.<sup>21</sup> The residual porosity deteriorates considerably the mechanical properties such as hardness and bending strength. Table 2 summarizes the hardness and the fracture toughness of the bodies sintered under TSS3 and TSS5 with final grain size of 0.85 and  $0.5 \mu\text{m}$ , respectively. In the present study, ultra-fine grained structures with desirable mechanical properties were produced via a simple pressure-less sintering method that did not deteriorate densification. The fracture toughness of  $4.24 \pm 0.21 \text{ MPa m}^{1/2}$  is in the range of values reported in the literature.<sup>18,26</sup> With increasing of the grain size from  $0.5 \mu\text{m}$  (TSS5) to  $0.85 \mu\text{m}$  (TSS3), the hardness decreased while the indentation fracture toughness remained the same.

#### 5. Conclusion

Sub-micron  $\text{Al}_2\text{O}_3$  powder with an average particle size of  $150 \text{ nm}$  was sintered via two-step sintering (TSS) method. To obtain minimum grain size, different TSS cycles were tested. The structural evolution during sintering was traced. The results showed that low temperature isothermal dwell at  $1150^\circ\text{C}$  after heating the green compacts up to  $1300^\circ\text{C}$  decreased the grain size from  $1.2 \mu\text{m}$  down to  $850 \text{ nm}$ . Further decrease of the first step temperature to  $1250^\circ\text{C}$  led to formation of a finer structure with an average grain size of  $500 \text{ nm}$ . The hardness and fracture toughness of the samples sintered under optimum TSS regime were  $18.2 \pm 0.55 \text{ GPa}$  and  $4.24 \pm 0.21 \text{ MPa m}^{1/2}$ , respectively.

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