

# Characterization of alkaline earth metals ruthenate thin films

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## Abstract

SrRuO<sub>3</sub> (SRO), BaRuO<sub>3</sub> (BRO) and CaRuO<sub>3</sub> (CRO) thin films prepared by laser ablation in a wide range of deposition conditions were investigated on the relationship between deposition conditions, phase formation, microstructure and electrical conductivity. The optimum conditions for preparing highly conductive thin films were investigated. SRO, CRO and BRO thin films were crystallized with pseudo-cubic SRO and CRO, and a 9R-type hexagonal BRO structure, respectively. SRO and BRO thin films were crystallized with fine grains, whereas rectangular-shaped island grains tended to grow on the CRO thin films. The electrical conductivity of SRO and BRO thin films increased with an increase in deposition temperature of substrate and an increase in oxygen pressure, meanwhile, in CRO thin films, only the CRO thin film with a continuous surface of connected grains exhibited metallic conduction. However, the electron conduction transition from semi-conducting behavior to metallic conduction occurred when  $\sigma$  of  $10^4 \text{ S m}^{-1}$  was reached independent of alkaline earth metal and microstructure. The optimum conditions were  $T_{\text{sub}} = 773$  to  $973 \text{ K}$  and  $P_{\text{O}_2} = 0.13$  to  $13 \text{ Pa}$ .

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## 1. Introduction

The alkaline earth metals ruthenate ARuO<sub>3</sub> (A = Sr, Ba and Ca) are promising materials as conductive pastes and electrodes for microdevices because of their excellent electrical conductivity and chemical stability.<sup>1,2</sup> SrRuO<sub>3</sub> (SRO) and CaRuO<sub>3</sub> (CRO) have a slightly distorted GdFeO<sub>3</sub>-type orthorhombic structure (*Pnma*; SRO:  $a = 0.553 \text{ nm}$ ,  $b = 0.875 \text{ nm}$  and  $c = 0.557 \text{ nm}$ , and CRO:  $a = 0.536 \text{ nm}$ ,  $b = 0.766 \text{ nm}$  and  $c = 0.553 \text{ nm}$ ) that can be simplified as a pseudo-cubic perovskite structure, which basically consists of corner-sharing RuO<sub>6</sub> octahedra.<sup>1,3</sup> On the other hand, due to the large ionic radius of Ba, a pseudo-cubic structure with corner-sharing RuO<sub>6</sub> octahedra with face sharing is possible. Thus, there are various hexagonal poly-types of BRO depending on the number of periodically stacked RuO<sub>6</sub> octahedra. Nine-layered BRO (9R BRO;  $R\bar{3}m$ :  $a = 0.575 \text{ nm}$  and  $c = 2.161 \text{ nm}$ ), in which the Ru<sub>3</sub>O<sub>12</sub> trimers of the face-sharing RuO<sub>6</sub> octahedra are joined by corner-sharing, is the most common structure,<sup>3,4</sup> whereas four- and six-layered BRO (4H BRO and 6H BRO;  $P6_3/mmc$ ; 4H BRO:  $a = 0.574 \text{ nm}$  and

$c = 0.950 \text{ nm}$ , and 6H BRO:  $a = 0.574 \text{ nm}$  and  $c = 1.405 \text{ nm}$ ), in which the Ru<sub>2</sub>O<sub>9</sub> dimers of the face-sharing RuO<sub>6</sub> are joined by corner-sharing, are obtained as a high-pressure phase product.<sup>5,6</sup>

SRO thin film has been widely employed as electrodes for the ferroelectric oxide layer in microdevices due to its good performance,<sup>2,7,8</sup> although a magnetic phase transition restricts its application to magnetic devices operating at low temperatures. In that respect, CRO is paramagnetic independent of temperature, and therefore attractive for use in for magnetic devices such as Josephson devices.<sup>9</sup> BRO thin films have received relatively little attention even though BRO exhibits characteristic electrical properties different from those of CRO and SRO owing to the small Ru–Ru distance in the face-sharing RuO<sub>6</sub> octahedra.<sup>10,11</sup>

Epitaxial ARuO<sub>3</sub> thin films on single-crystal substrates such as SrTiO<sub>3</sub><sup>1,12,13</sup> and LaAlO<sub>3</sub><sup>14,15</sup> have been widely investigated. However, there has been no overall report on the relationship between deposition conditions, microstructure and electrical conductivity of polycrystalline ARuO<sub>3</sub> thin films. Due to the constrained conditions of epitaxial growth, the intrinsic nature and the role of the alkaline earth metal elements of ARuO<sub>3</sub> thin films has not been well elucidated. The present authors have previously reported on the preparation of each polycrystalline SRO, BRO and CRO thin films prepared on quartz glass substrates

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by laser ablation.<sup>16–18</sup> Laser ablation has been advantageous to prepare and compare the ruthenate films in a wide range of deposition conditions by comparison with the other vapor deposition methods such as sputtering or chemical vapor deposition.

In this paper, the effects of deposition conditions on the phase formation, microstructure and electrical conductivity of SRO, BRO and CRO thin films prepared by laser ablation were investigated and contrasted comprehensively. The optimum conditions for preparing a highly conductive thin film were proposed.

## 2. Experimental procedure

RuO<sub>2</sub> (99.99% purity, Furuya Metal Co. Ltd.), SrCO<sub>3</sub> (99.9% purity, Wako Pure Chemical Industries Ltd.), BaCO<sub>3</sub> (99.9% purity, Wako Pure Chemical Industries Ltd.) and CaCO<sub>3</sub> (99.99% purity, Wako Pure Chemical Industries Ltd.) powders were used for preparation of laser ablation targets. These powders were weighed, mixed, pressed into pellets and reacted at 1273 K for up to 86.4 ks to obtain SRO, BRO and CRO pellets. The SRO and BRO pellets were crushed and sintered again at 1573 K for 43.2 ks, thus obtaining SRO and BRO targets. To obtain CRO targets, CRO pellets were crushed and sintered by spark plasma sintering (SPS) at 80 MPa and 1373 K for 300 s.

A third harmonic wavelength of a Q-switch pulsed Nd:YAG (Spectron Laser System SL805,  $\lambda = 355$  nm) was used for the ablation. The details of the experimental setup have been reported elsewhere.<sup>16–18</sup> Quartz glass plates (10 mm  $\times$  12 mm  $\times$  0.5 mm) were used as substrates. Depositions were carried out in a high vacuum ( $P = 10^{-6}$  Pa) and in O<sub>2</sub> at oxygen pressures ( $P_{O_2}$ ) from 0.013 to 130 Pa for deposition times ( $t_{\text{dep}}$ ) of 3.6 and 7.2 ks. The substrate temperature ( $T_{\text{sub}}$ ) was changed from room temperature to 1073 K.

The crystal phase was studied by X-ray diffraction (XRD, Rigaku RAD-2C). The binding energy was determined by X-ray photoelectron spectroscopy (XPS, Surface Science SX100). Surface morphology was observed by a field-emission scanning electron microscope (FESEM, JEOL JSM-6500FT). The composition was examined by energy dispersive X-ray spectroscopy (EDS) attached to FESEM. The electrical conductivity ( $\sigma$ ) was measured from 60 to 723 K by the van der Pauw method.

## 3. Results and discussion

### 3.1. Phase formation

Figs. 1–3 show the phase formation diagrams of SRO, BRO and CRO thin films prepared at various  $T_{\text{sub}}$  and  $P_{O_2}$ . The details of the XRD and XPS results have been reported elsewhere.<sup>16–18</sup> Pseudo-cubic SRO thin films with a (110)-orientation were obtained at  $T_{\text{sub}} > 773$  K and  $P_{O_2} = 13$  Pa (filled circles in Fig. 1), whereas amorphous SRO thin films were formed at  $T_{\text{sub}} < 573$  K and  $P_{O_2} = 13$  Pa ( $\times$ -indications in Fig. 1). SRO thin films were amorphous in a high vacuum and at  $P_{O_2} = 0.13$  Pa independently of  $T_{\text{sub}}$ . The co-existence of free metallic Ru and SrO phase in the SRO thin films was identified by XPS studies for the thin films prepared in a high vacuum and

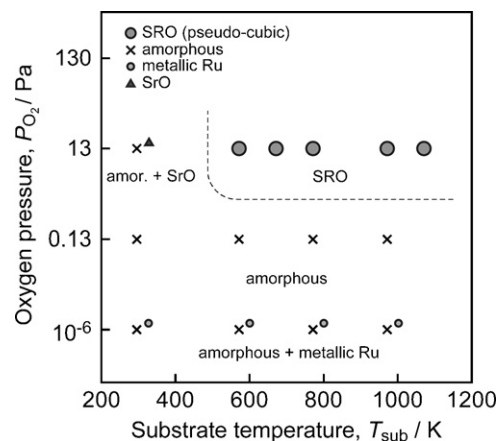


Fig. 1. Phase formation diagram of SRO thin films as functions of  $T_{\text{sub}}$  and  $P_{O_2}$  for  $t_{\text{dep}} = 3.6$  ks.

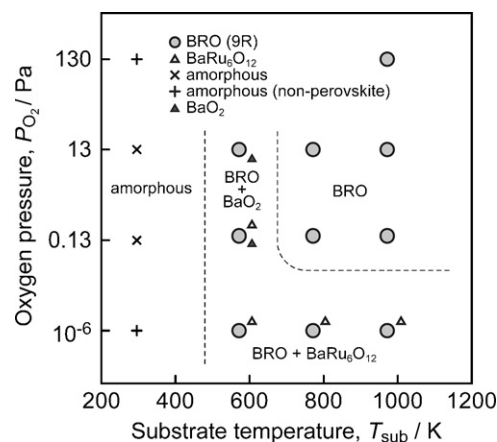


Fig. 2. Phase formation diagram of BRO thin films as functions of  $T_{\text{sub}}$  and  $P_{O_2}$  for  $t_{\text{dep}} = 3.6$  ks.

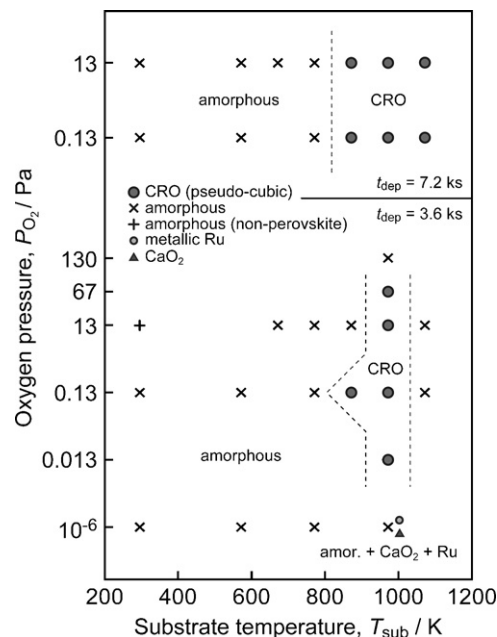


Fig. 3. Phase formation diagram of CRO thin films as functions of  $T_{\text{sub}}$  and  $P_{O_2}$  for  $t_{\text{dep}} = 3.6$  and 7.2 ks.

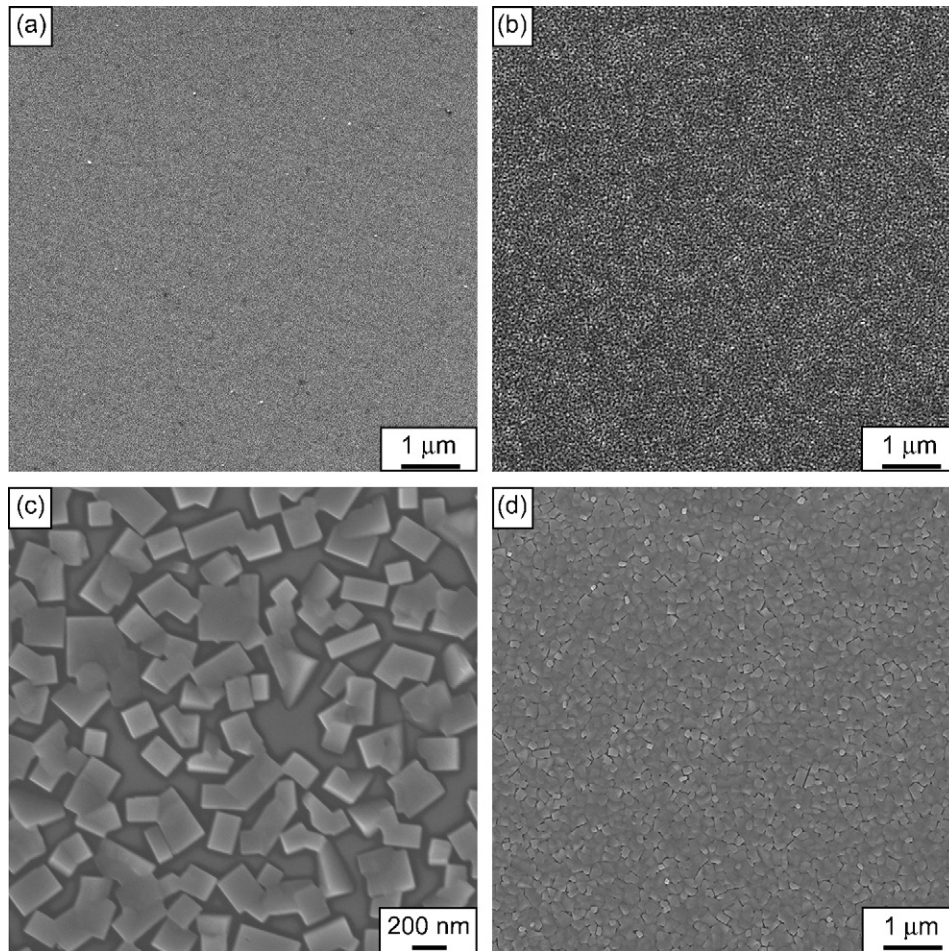


Fig. 4. FESEM images of SRO thin films prepared at  $P_{O_2} = 13$  Pa,  $T_{sub} = 773$  K (a), and  $T_{sub} = 973$  K (b) for  $t_{dep} = 3.6$  ks, and CRO thin films prepared at  $P_{O_2} = 0.013$  Pa and  $T_{sub} = 973$  K for  $t_{dep} = 3.6$  ks (c),  $P_{O_2} = 13$  Pa, and  $P_{O_2} = 0.13$  Pa and  $T_{sub} = 973$  K for  $t_{dep} = 7.2$  ks (d).

at low  $T_{sub}$  (small circles and filled triangle in Fig. 1, respectively).

9R-type BRO thin films were obtained at  $P_{O_2} > 0.13$  Pa and  $T_{sub} > 573$  K (filled circles in Fig. 2).  $BaRu_6O_{12}$  was formed as a second phase at  $P = 10^{-6}$  Pa and  $T_{sub} > 573$  K, and  $P_{O_2} = 0.13$  Pa and  $T_{sub} = 573$  K (open triangles in Fig. 2). BRO thin films prepared at  $T_{sub} = 298$  K were amorphous independently of  $P_{O_2}$  (×-indicators in Fig. 2). As in the case of SRO, the alkaline earth oxide phase of  $BaO_2$  in the BRO thin films were identified by XPS studies for the thin films prepared at  $P_{O_2} = 0.13$  to 13 Pa and  $T_{sub} = 573$  K (filled triangles in Fig. 2). The O 1s and Ba 3d XPS spectra of BRO thin films prepared at  $T_{sub} = 298$  K and  $P_{O_2} = 130$  Pa implied that the chemical bonds in the BRO thin films were different from those of the perovskite structure (cross marks in Fig. 2).

Pseudo-cubic CRO thin films were obtained at  $T_{sub} = 973$  K and  $P_{O_2} = 0.013$  to 67 Pa, and  $T_{sub} = 873$  K and  $P_{O_2} = 0.13$  Pa in the case of  $t_{dep} = 3.6$  ks, and  $T_{sub} > 873$  K and  $P_{O_2} = 0.13$  and 130 Pa in the case of  $t_{dep} = 7.2$  ks (filled circles in Fig. 3). At  $T_{sub} < 773$  K, CRO thin films were amorphous independently of  $P_{O_2}$  and  $t_{dep}$  (× and cross marks in Fig. 3). Amorphous CRO thin films with the free metallic Ru and  $CaO_2$  phase and were formed at  $T_{sub} = 973$  K,  $P = 10^{-6}$  Pa and  $t_{dep} = 3.6$  ks (×-indications with a small circle and triangle in Fig. 3).

### 3.2. Microstructure

Fig. 4 shows the typical surface images of SRO, BRO and CRO thin films.<sup>16–18</sup> Amorphous-like SRO thin films prepared at  $P = 10^{-6}$  Pa and  $T_{sub} < 773$  K showed a flat, heterogeneous morphology (Fig. 4(a)). SRO thin films were crystallized as fine grains several tens of nanometers in size at  $P_{O_2} > 0.13$  Pa and  $T_{sub} > 773$  K (Fig. 4(b)), but then a coarse-grained surface was formed at higher  $T_{sub}$  and  $P_{O_2}$ , i.e.,  $T_{sub} = 1073$  K and  $P_{O_2} = 13$  Pa and  $T_{sub} = 973$  K and  $P_{O_2} = 67$  Pa. BRO thin films prepared in a low  $T_{sub}$  and  $P_{O_2}$  region were amorphous or had a secondary phases of  $BaO_2$  showed a non-uniform texture, whereas BRO thin films obtained at  $T_{sub} > 773$  K and  $P_{O_2} = 13$  Pa consisted of slightly elongated fine grains several tens of nanometers in size as shown in Fig. 4(b) and CRO thin films prepared at  $T_{sub} = 973$  K and  $P = 10^{-6}$  Pa consisted of fine grains; however, rectangular-shaped island grains grew on the CRO thin films with the introduction of oxygen gas of  $P_{O_2} > 0.013$  Pa (Fig. 4(c)). XRD results implied that the facet of the rectangular-shaped island grains would be the pseudo-cubic (1 0 0) CRO plane. The island grains showed a stoichiometric composition independent of  $T_{sub}$ , whereas the Ca fraction of the matrix increased with increasing  $T_{sub}$ . On the other hand, a flat, smooth morphology was observed at  $T_{sub} < 573$  K and



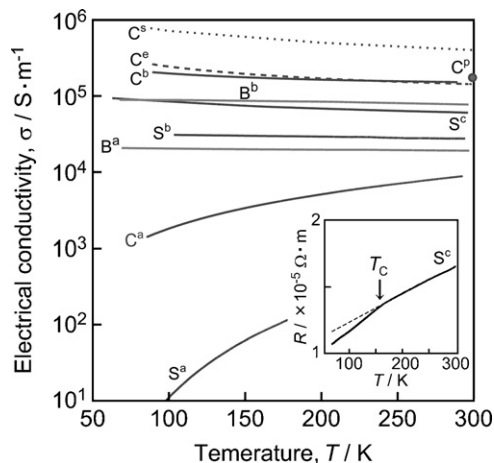


Fig. 5. Temperature dependence of electrical conductivity at low temperatures for SRO thin films prepared at  $P_{O_2} = 13$  Pa and  $T_{sub} = 573$  K ( $S^a$ ), 673 K ( $S^b$ ) and 973 K ( $S^c$ ), BRO thin films prepared at  $P_{O_2} = 13$  Pa and  $T_{sub} = 573$  K ( $B^a$ ) and 773 K ( $B^b$ ), CRO thin films prepared at  $P_{O_2} = 0.13$  Pa and  $T_{sub} = 873$  K ( $C^a$ ) and 973 K ( $C^b$ ) for  $t_{dep} = 7.2$  ks, and the literature data of CRO: epitaxial thin film ( $C^c$ ), polycrystalline sintered body ( $C^p$ ) and single crystal ( $C^s$ ). Inset shows the temperature dependence of electrical resistivity ( $R$ ) around the Curie temperature ( $T_C$ ) of the sample  $S^c$ .

$P_{O_2} = 13$  Pa. With increasing  $T_{sub}$  from 573 to 973 K, the size and distribution density of the rectangular-shaped island grains increased. At higher  $T_{sub}$  of 1073 K, the edges of island grains were only rounded off, were not connected with each other. In the case of  $t_{dep} = 7.2$  ks and  $P_{O_2} = 0.13$  to 13 Pa, pseudo-cubic CRO thin films with rectangular-shaped island grains were obtained at  $T_{sub} > 873$  K, whereas amorphous CRO thin films were formed at  $T_{sub} < 773$  K. CRO thin films with the densely connected grains were obtained only at  $T_{sub} = 973$  K and  $P_{O_2} = 0.13$  Pa, and  $T_{sub} = 873$  K and  $P_{O_2} = 13$  Pa (Fig. 4(d)).

### 3.3. Electrical properties

Fig. 5 shows the representative temperature dependence of  $\sigma$  for SRO, BRO and CRO thin films prepared at various  $T_{sub}$  and  $P_{O_2}$ .<sup>16–18</sup> SRO thin films prepared at  $P_{O_2} = 13$  Pa and  $T_{sub} < 573$  K showed semi-conducting behavior, i.e., the  $\sigma$  increased with increasing temperature (Fig. 5( $S^a$ )). With increasing  $T_{sub}$ , the  $\sigma$  increased, changing the electron conduction from semi-conducting behavior to metallic conduction, i.e., the  $\sigma$  decreased with increasing temperature (Fig. 5( $S^b$ ) and ( $S^c$ )). For SRO thin films prepared at  $P_{O_2} = 13$  Pa and  $T_{sub} = 773$  and 973 K, the temperature gradient of electrical resistivity changed at the Curie temperature of  $T_C = 163$  K (Fig. 5, inset), implying a magnetic phase transition from paramagnetic to ferromagnetic. BRO thin films showed a tendency similar that of SRO thin films, and BRO thin films prepared at  $T_{sub} > 573$  K showed metallic conduction (Fig. 5( $B^a$ ) and ( $B^b$ )). CRO thin films prepared at  $t_{dep} = 3.6$  ks had a semi-conducting behavior independent of  $T_{sub}$  and  $P_{O_2}$ , whereas the CRO thin films prepared at  $P_{O_2} = 0.13$  Pa and  $T_{sub} = 973$  K (Fig. 5( $C^b$ )) exhibited metallic conduction with high  $\sigma$  almost the same as that of the polycrystalline sintered body (Fig. 5( $C^p$ ))<sup>19</sup> and epitaxial thin film (Fig. 5( $C^c$ )).<sup>20</sup>

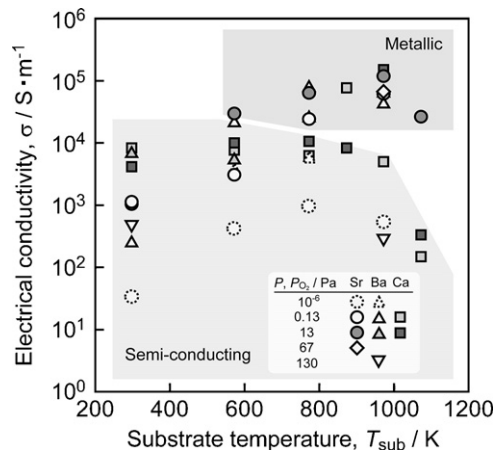


Fig. 6. Effect of  $T_{sub}$  and  $P_{O_2}$  on the  $\sigma$  of SRO (circles and diamond), BRO (triangles) and CRO (squares) thin films prepared at various  $P_{O_2}$ .

Fig. 6 depicts the effect of  $T_{sub}$  on the  $\sigma$  of SRO, BRO and CRO thin films prepared at various  $P_{O_2}$ . The  $\sigma$  tended to increase with increasing  $T_{sub}$  and  $P_{O_2}$ , i.e.,  $T_{sub} = 773$  to 973 K and  $P_{O_2} = 0.13$  to 13 Pa, respectively, but then the  $\sigma$  decreased at higher  $T_{sub}$  and  $P_{O_2}$ . The electron conduction transition from semi-conducting behavior to metallic conduction occurred when  $\sigma$  of  $10^4$  S m<sup>-1</sup> was reached independent of alkaline earth metal and microstructure. This transition at same regions ranging from  $10^3$  to  $10^4$  S m<sup>-1</sup> have been reported in cases of the epitaxial SRO and CRO thin films which had Ru deficiency,<sup>21</sup> lattice strain<sup>22,23</sup> and disordered-structure.<sup>24</sup> Since the p-d hybridized orbital in  $RuO_6$  octahedra, namely the strong correlation between Ru 4d  $t_{2g}$  and O 2p states, might play a significant role in the electrical conduction of  $ARuO_3$ , the structural disorder reduced the interactions between Ru and O orbital in  $RuO_6$  octahedra, resulting in the decrease of  $\sigma$  and electron conduction transition.<sup>25</sup>

### 3.4. Optimum deposition conditions

Fig. 7 summarizes the effect of the deposition conditions on the microstructure and electrical properties. In low  $T_{sub}$  and  $P_{O_2}$  regions, the SRO and BRO thin films were amorphous and showed a low  $\sigma$  with semi-conducting behavior. The alkaline-earth metal oxide phases, SrO and BaO<sub>2</sub> were identified in a portion of those thin films at low  $T_{sub}$  and relatively high  $P_{O_2}$ . At  $P = 10^{-6}$  Pa, the co-existence of the free metallic Ru and BaRu<sub>6</sub>O<sub>12</sub> phase were observed for SRO and BRO, respectively. In the case of CRO, separated rectangular-shaped island grains tended to grow on the thin films, inhibiting metallic conduction of those thin films.

In general, the crystal structure and phase stability of perovskite ABO<sub>3</sub> can be estimated by the tolerance factor ( $t$ ), namely the ratio between the radii of A- and B-site ions, and oxygen ion.<sup>26</sup> SRO with  $t = 0.95$  has a nearly ideal perovskite structure such as SrTiO<sub>3</sub> ( $t = 1.0$ ). BRO with  $t = 1.1$  shows the perovskite-related structure due to the large ionic radius of Ba atom that leads a corner-sharing or face-sharing of Ru octahedral. This large ionic radius might allow a Ru-rich phase

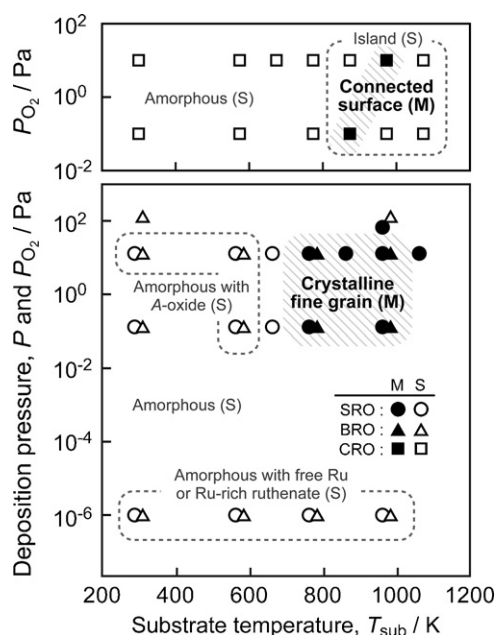


Fig. 7. Effect of  $T_{\text{sub}}$  and  $P_{\text{O}_2}$  on the microstructure and electron conduction of SRO (circles), BRO (triangles) and CRO (squares) thin films prepared at various conditions. Filled and open marks indicate metallic conduction (M) and semi-conducting behavior (S), respectively.

$\text{BaRu}_6\text{O}_{12}$  formation rather than the metallic Ru precipitation. For CRO with  $t=0.88$ , the small ionic radius of Ca atom causes the lowering of symmetry in perovskite due to tilting of the octahedral and reduces the size of the oxygen sublattice. Thus the small radius of Ca atom might lead a rectangular-shaped island growth which reflects the oxygen sublattice. We have figured out that the  $\text{CaTiO}_3$  (CTO) thin films prepared by laser ablation onto the metallic Ti substrate grew as the island grains and Yang et al. has also been reported that rectangular-shaped island growth of CTO on quartz glass substrate by laser ablation.<sup>27</sup> Although Ca-perovskite films prepared by laser ablation seems to tend to grow as the rectangular-shaped island, the present authors have recently reported that the addition of Ba to CRO was effective to prevent the growth of rectangular-shaped island grains.<sup>28</sup>

With increasing  $T_{\text{sub}}$  and  $P_{\text{O}_2}$ , the thin films crystallized into fine grains and the  $\sigma$  increased. At higher  $T_{\text{sub}}$  and  $P_{\text{O}_2}$ , the  $\sigma$  tended to decrease due to coarse-grains and non-stoichiometric composition. The thin films prepared at higher  $T_{\text{sub}}$  and  $P_{\text{O}_2}$ , which had high crystallinity and structural order without deficiency, would be highly conductive with metallic conduction. The continuous surface of CRO thin films with densely connected grains exhibited metallic conduction with excellent  $\sigma$ . The optimum conditions to prepare  $\text{ARuO}_3$  thin films with higher  $\sigma$  were  $T_{\text{sub}} = 773$  to  $973$  K and  $P_{\text{O}_2} = 0.13$  to  $13$  Pa.

#### 4. Conclusions

SRO, BRO and CRO thin films were prepared in a wide range of deposition conditions by laser ablation, and the optimum conditions for preparing a highly conductive thin film were investigated. Non-stoichiometric composition, structural disorder and co-existence of metallic Ru and mono-oxide reduced an

electrical conductivity, causing semi-conducting behavior. High crystallinity and low oxygen deficiency led to the higher electrical conductivity with metallic conduction of the  $\text{ARuO}_3$  thin films. Rectangular-shaped island grains grew on the CRO thin films, inhibiting metallic conduction; however, CRO thin films with densely connected grains exhibited high  $\sigma$ . The electron conduction transition from semi-conducting behavior to metallic conduction occurred when  $\sigma$  of  $10^4 \text{ S m}^{-1}$  was reached independent of alkaline earth metal and microstructure. The optimum conditions to prepare  $\text{ARuO}_3$  thin films with higher  $\sigma$  were  $T_{\text{sub}} = 773$  to  $973$  K and  $P_{\text{O}_2} = 0.13$  to  $13$  Pa.

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